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FEVER

An approach to analyze feature-oriented changes and artefact co-evolution in highly configurable systems

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FEVER: Feature-oriented Changes and Artefact Co-evolution in Highly Configurable Systems

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Abstract The evolution of highly configurable systems is known to be a challenging task. Thorough understanding of configuration options their relationships, and their implementation in various types of artefacts (variability model, mapping, and implementation) is required to avoid compilation errors, invalid products, or dead code.

Recent studies focusing on co-evolution of artefacts detailed feature-oriented change scenarios, describing how related artefacts might change over time. However, relying on manual analysis of commits, such work do not provide the means to obtain quantitative information on the frequency of described scenarios nor information on the exhaustiveness of the presented scenarios for the evolution of a large scale system.

In this work, we propose FEVER and its instantiation for the Linux kernel. FEVER extracts detailed information on changes in variability models (KConfig files), assets (preprocessor based C code), and mappings (Makefiles). We apply this methodology to the Linux kernel and build a dataset comprised of 15 releases of the kernel history.

We performed an evaluation of the FEVER approach by manually inspecting the data and compared it with commits in the system's history. The evaluation shows that FEVER accurately captures feature related changes for more than 85% of the 810 manually inspected commits. We use the collected data to reflect on occurrences of co-evolution in practice. Our analysis shows that complex co-evolution scenarios occur in every studied release but are not among the most frequent change scenarios, as they only occur for 8 to 13% of the evolving features. Moreover, only a minority of developers working on a given release will make changes to all artefacts related to a feature (between 10% and 13% of authors).

While our conclusions are derived from observations on the evolution of the Linux kernel, we believe that they may have implications for tool developers as well as guide further research in the field of co-evolution of artefacts.

Keywords highly variable systems, co-evolution, feature, variability

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1 Introduction

Highly configurable software systems allow end-users to tailor a system to suit their needs and expected operational context. This is achieved through the development of *configurable* components, allowing systematic reuse and mass-customization [van Gurp et al., 2001]. The benefit of such development strategies is reduce the time to market, as mass-customization facilitates the creation of tailored solutions, and improve software quality, as re-used components are tested in various contexts [Clements, 2002]. Examples of such systems can be found in various domains, such as database management [Rosenmüller et al., 2008; Batory et al., 1988], SOA based systems [Kumara et al., 2013], operating systems [Berger et al., 2010], and a number¹ of industrial and open source software projects [Liebig et al., 2010] among which the Linux kernel may be the best known.

A constraint of such a development strategy is the fragmentation of concerns among development artefacts in such a way that re-use and customization can be achieved. Configuration options, or *features*, play a significant role in a number of inter-related artefacts of different nature. For systems where variability is mostly resolved at build-time, features will play a role in, at least, the following three spaces [Neves et al., 2015; Dietrich et al., 2012b]:

1. the *variability space* - describing available features and their allowed combinations;
2. the *implementation space*, comprised of re-usable assets, among which configurable implementation artefacts; and finally
3. the *mapping space* - relating features to assets and often supported by a build system like Makefiles;

When such systems evolve, information about feature implementation across those three spaces is actively sought by engineers [Heider et al., 2012]. Consistent co-evolution of artefacts is a necessity adding complexity to an already non-trivial evolutionary process [Mens et al., 2005], occurring in both industrial [Hellebrand et al., 2014] and open-source contexts [Passos et al., 2015; Hunsen et al., 2015]. Inconsistent modifications across the three spaces (variability, mapping, and implementation) may lead to the incapacity to derive products, code compilation errors, or dead code [Tartler et al., 2011; Nadi and Holt, 2012; Abal et al., 2014].

Recent studies [Passos et al., 2015; Neves et al., 2015] described typical changes occurring in such systems, giving insight on how each space could evolve, and revealing the relationship between the various artefacts. In [Passos and Czarnecki, 2014], Passos et al. proposed a dataset capturing the addition and removal of features.

Unfortunately, the most detailed change descriptions currently available [Passos et al., 2015; Neves et al., 2015] were obtained using extensive *manual* analysis of commits. Moreover, those studies focused on specific types of changes, such as addition and removal of features [Passos et al., 2015], or product line refinement scenarios [Neves et al., 2015]. Consequently, the set of co-evolution scenarios documented is limited, and, save by performing a similar extensive manual analysis of a large number of commits,

¹ <http://splc.net/fame.html>

the identification of new scenarios remains difficult. Finally, the current state of the art offers neither data nor methods to obtain information on the prevalence of co-evolution in practice nor the frequency of those specific scenarios over a long period of time.

Such feature-related change information is important in various practical scenarios.

- (S1) A release manager is interested in finding out which commits participated in the creation of a feature, to build the release notes for instance. In such cases, he would be interested in commits introducing the feature, and the following ones, adjusting the behaviour of the feature.
- (S2) A developer introducing a new feature to a subsystem is interested in finding how similar features were supported by similar subsystems in the past. Then, (s)he needs to look for changes in those subsystems, involving that such features.
- (S3) During bug triage, a maintainer is searching for a developer who might be able to resolve a specific issue. The maintainer would then be looking for developers with knowledge in the implementation on the possibly faulty features.
- (S4) Researchers focusing on feature-oriented evolution of systems are interested in automatically identifying instances of co-evolution patterns or templates, or extending the existing pattern catalog presented by Passos et al. [Passos et al., 2015] and Neves et al. [Neves et al., 2015]
- (S5) Researchers working in the field bug prediction for highly configurable systems are interested in the relationship between variability changes and error-proneness. A database of detailed feature-related change information could facilitate their work.

Unfortunately, given the current state of the art, obtaining the necessary information require extensive manual analysis of changes and in-depth knowledge of the system under study.

We present in this paper the extension of FEVER (Feature EVolution ExtractoR) [Dintzner et al., 2016], a tool-supported approach designed to automatically extract changes in commits touching artefacts in at least one of the three spaces. FEVER retrieves the commits from a versioning system and rebuilds a model of each artefact before and after their modification. Then it extracts detailed information on the changes using graph differencing techniques. Finally, relying on naming conventions and heuristics the changes are aggregated based on the affected feature(s) across all commits in a release. The resulting data is then stored in a database relating the features and their evolution in each commit.

We then manually compare the data obtained by FEVER and the commits as presented in the source control system to first evaluate the improvement in terms of change extraction accuracy obtained by the FEVER approach over its previous installment, and perform a second complete evaluation on a larger set of commits. We use this evaluation to answer the following research questions:

- RQ1: To what extent is the new version of FEVER more accurate in capturing feature-related changes?
- RQ2: To what extent does the new version FEVER data match changes performed by developers?

We use the resulting dataset to perform an exploratory study of feature evolution over 15 releases of the Linux kernel. We focus on the co-evolution of artefacts during feature evolution, in terms of affected spaces, under two different points of view: a feature perspective, focused on feature and the artefacts touched during their evolution, and an author-centric view, focused on commit authors and the spaces affected during

maintenance operations. Using FEVER data, we aim at answering the following two research questions:

- RQ3: To what extent do artefact in different variability spaces co-evolve during the evolution of features?
- RQ4: To what extent are developers facing co-evolution over the course of a release?

While the tool we built to extract changes is centered on the Linux kernel, the approach itself is applicable to a larger set of systems [Berger et al., 2013; Hunsen et al., 2015] with an explicit variability model, where the implementation of variability is performed using annotative methods (pre-processor statements in our case), and where the mapping between features and implementation assets can be recovered from the build system.

Through this paper, we make the following key contributions: (1) a model of feature-oriented co-evolving artefacts, (2) an approach to automatically extract instances of the model from commits, (3) a dataset of such change descriptions covering 15 recent releases of the Linux kernel history (3.10 to 4.4 in separate databases), (4) an evaluation of the accuracy of our heuristics showing that we can extract accurately the information out of 87% of the commits, (5) we show that most (69.27%) of features evolve solely through their implementation, and that a majority of authors do not touch other spaces than the implementation space. Finally, the tool and datasets used for this study are available on our website.²

This study is an extension of our previous work on co-evolution of artefacts in highly variable systems [Dintzner et al., 2016]. In this paper, compared to [Dintzner et al., 2016], we improved the model to better describe complex changes, with additional relationships between artefacts and information on artefact changes. We also improved the heuristics use to capture changes, leading to a higher change extraction accuracy. We also extracted a larger dataset, comprised of more detailed changes and over a longer period of time. Finally, research questions RQ3 and RQ4, on the quantitative aspect of co-evolution, are entirely new to this work.

We first provide background information on highly variable systems and the implementation of features in the Linux kernel in Section 2. Then, we present the FEVER approach and its change meta-model in Section 3, and the change extraction process in Section 4. We evaluate our approach by first comparing the performance of FEVER with its previous version presented in [Dintzner et al., 2016], and then provide a complete evaluation, including new change attributes in Section 5. We show the usefulness of FEVER and the collected data in the aforementioned scenarios in Section 6. With the collected data, we perform an exploratory study of co-evolution occurrence in Section 7. We discuss our results and present the threats to the validity of our approach and complete study in Section 8. Finally, we present related work in Section 9 and conclude our work in Section 10.

2 Background

In this section, we present how variability is supported in the Linux kernel, the different artefacts involved in its realization and their relationships.

² <http://swrl.tudelft.nl/bin/view/NicolasDintzner/>

2.1 Variability Model

A variability model (VM) formalizes the available configuration options (which we assimilate to “features” in this work) of a system as well as their allowed configurations. In the context of the Linux kernel, the VM is expressed in the Kconfig language.³ An example of a feature in the Kconfig language is shown in Listing 1. Features have at least a name (following the “config” keyword on line 3) and a type. The “type” attribute specifies what kind of values can be associated with a feature, which may be “boolean” (selected or not), “tristate” (selected, selected but compiled as a module, or not selected), or a value (when the type is “int”, “hex”, or “string”). In our example, the `SQUASHFS_FILE_DIRECT` feature is of type *boolean* (line 2). In the remainder of this work, we will refer to boolean and tristate features simply as “boolean features”, while features with type “int”, “hex”, or “string”, will be referred to as “value-based features”. The text following the type on line 3 is the “prompt” attribute. Its presence indicates that the feature is visible to the end user during the configuration process. Features can also have default values. In our example the feature is selected by default (*y* on line 4). The default value might be conditioned by an “if” statement.

Kconfig expresses feature dependencies using the “depends on” statements (see line 5). If the expression is satisfied, the feature becomes selectable during the configuration process. In this example, the feature `SQUASHFS` must be selected. Reverse dependencies are declared using the “select” statement. If the feature is selected then the target of the “select” will be selected automatically as well (`ZLIB_INFLATE` is the target of the “select” statement on line 6). The selection occurs if the expression in the following “if” statement is satisfied by the current feature selection (e.g., if `SQUASHFS_ZLIB` is already selected).

In the context of this study, we consider additions and removals of features as well as modifications of existing ones i.e., modifications of any attributes of a feature.

```
1 config SQUASHFS_FILE_DIRECT
2     bool
3     prompt "Decompress files in page cache"
4     default y
5     depends on SQUASHFS
6     selects ZLIB_INFLATE if SQUASHFS_ZLIB
7     help
8     Decompress file data in page cache.
```

Listing 1 A feature declaration in Kconfig

To create a new kernel image, an end-user uses a configurator tool (“menuconfig” for instance) which reads the variability model, and presents the features to the user in a tree like structure. At the end of the configuration process, a list of selected features is passed on to the build system which uses it to select artefacts and artefact fragments to include in the image before compiling them.

2.2 Feature-Asset Mapping

The mapping between features and assets determines which assets should be included in a product upon the selection of specific features. In highly-configurable systems,

³ Kconfig: <https://www.kernel.org/doc/Documentation/kbuild/kconfig-language.txt>

the assets could be source code, documentation, or any other type of resources (e.g., images). In the context of this study, we consider the following types of assets : implementation artefacts (i.e., source files), data artefacts (i.e., hardware description files), folders, and compilation flags. The addition of the mapping between a feature and code in a Makefile⁴, as performed in the Linux kernel, is presented in Listing 2. In this example, the mapping is done between features and object files (but may link source code directly on occasion). We use the relationship between object files and source files to identify the mapped source file.

Upon feature selection, the name of the feature used in the Makefile (symbol prefixed with `CONFIG_`) will be replaced by its value. As a result, the compilation units (“`.o`” files) will be added to different lists “`obj-y`”, “`obj-n`”, and “`obj-m`” (for modules), based on the value of the macros `CONFIG_SQUASHFS_FILE_DIRECT`. Compilation units added to the list “`obj-y`” are compiled into the kernel image while those in “`obj-m`” are compiled as external modules, and objects in “`obj-n`” are not compiled.

Alternatively, a developer may chose to directly include “`obj-y`” list in his Makefile, in which case, the content of the list will be included in the compilation process as soon as the Makefile is included in the build process. The inclusion of a Makefile in the build process may be subject to feature selection.

```
1 + obj-$(CONFIG_SQUASHFS_FILE_DIRECT) +=
2 +     file_direct.o page_actor.o
```

Listing 2 Mapping between features and assets as performed in the Linux kernel

The language used to describe the mapping and implement the compilation process is a complete programming language, and the exact mapping between feature and assets can be very complex. Makefiles are organized in a hierarchy, and constraints from one may affect others, leading to complex presence conditions for artefacts.

2.3 Assets

Many types of assets exists, such as images, code, or documentation. We consider only configurable implementation assets (source files). We focus specifically on pre-processor based variability implementation (using `#ifdef` statements), which, despite known limitations [Spencer and Collyer, 1992], is still widely used today [Liebig et al., 2010]. An example of an addition of a pre-processor statement is presented in Listing 3 where feature `SQUASHFS_FILE_DIRECT` is used to condition the compilation of two code blocks, one pre-existing (line 2 to 7) and a new one (lines 9 to 13). As a result, based on the selection of the feature `SQUASHFS_FILE_DIRECT` during the configuration phase, only one of the two code blocks will be included in the final product.

```
1 + #ifndef CONFIG_SQUASHFS_FILE_DIRECT
2 static inline void *squashfs_first_page
3     (struct squashfs_page_actor *actor)
4 {
5     return actor->page[0];
6 }
7 + #else
8 + static inline void *squashfs_next_page
```

⁴ Makefile in the Linux kernel: <https://www.kernel.org/doc/Documentation/kbuild/makefiles.txt>

```

9 +     (struct squashfs_page_actor *actor)
10 + {
11 + return actor->squashfs_next_page(actor);
12 + }
13 + #endif

```

Listing 3 Creating an `#ifdef` block in Linux

Value-based features will be referenced in the implementation, acting as a place-holder for a value defined during the configuration process, as shown in Listing 4.

```

1 #define DSL CONFIG_DE2104X_DSL

```

Listing 4 Referencing to a value feature where the variable DSL will take the value associated with feature DE2104X_DSL

3 Describing Co-Evolution

The objective of this work is to obtain a consolidated view of changes occurring to features and their implementation. This information is meant to be used for further analysis, and should capture the most relevant aspects of the changes regarding features and their evolution in the different spaces. In this section, we present the meta-model we use to describe feature-related changes in the different artefacts, and how we relate those changes to one-another. We illustrate the usage of the model with an example of actual feature changes, affecting all spaces, extracted from release v3.11. In this scenario, a developer commits a new driver for an ambient light sensor, “APDS9300”. The commit⁵ message for that change reads as follows:

```
iio: add APDS9300 ambient light sensor driver
```

```
This patch adds IIO driver for APDS9300 ambient light sensor (ALS).
http://www.avagotech.com/docs/AV02-1077EN
```

```
The driver allows to read raw data from ADC registers or calculate
lux value. It also can handle threshold interrupt.
```

3.1 FEVER Change Meta-model

An overview of the FEVER change meta-model is shown in Figure 1. This overview highlights the different entities we use to describe what occurs in a commit, from a feature perspective.

The **commit** represents a commit in a version control system. **Commit** entities are related to one another through the “next” relationship, capturing the sequence of changes over time. Each **commit** “touches” a number of artefacts, and those changes are captured in **ArtefactEdit** entities. The **commit** may affect any of the three spaces, leading to **SourceEdit** entities when code blocks related to features are modified, **MappingEdit** entities when the mapping between feature and assets is affected, or finally **FeatureEdit** entities when the variability model changes.

⁵ commit id: 03eff7b60d

While the **ArtefactEdit** indicates a change to a file, **Source-**, **Mapping-** and **Feature-** **Edit** entities are all representing the change related to individual features within those files. We omitted the following relationship in the model for readability purposes: **FeatureEdit**, **MappingEdit**, and **SourceEdit** entities are linked to **ArtefactEdit** with a “in” relationship, pointing to the artefact in which the change took place.

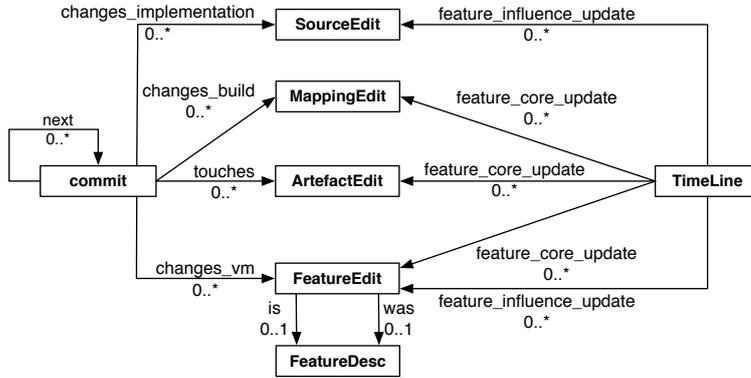


Fig. 1 The FEVER change meta-model for feature-oriented change description

For a commit in the repository we record the commit id (sha1) to link our data with the original repository. We save the commit message which may contain information about the rationale of a change. Finally, to keep track of who touches which feature, we record users-related information such as commiter and author of each commit. Table 1 summarizes the commit-related information stored in the FEVER database, exemplified with the commit adding the “APDS9300” feature.

Attribute	Details	Example
hash	10 first digits of the commit unique ID	03eff7b60d
author	author’s name	Oleksandr Kravchenko
committer	committer’s name	Jonathan Cameron
message	complete commit message, including sign-offs	ii: add APDS9300 ambient light sensor driver (...)
time	commit time	Sat Aug 03 19:40:37 CEST 2013

Table 1 FEVER **Commit** entity attributes

3.2 Variability Model Changes

A **FeatureEdit** entity represents the change of one feature within the variability model performed in the context of a **commit**. We are interested in the affected feature, as well as the change operation that took place (*addition*, *removal*, or *modification* of an existing feature). The **FeatureEdit** entity also points to a more complete description

of the feature, **FeatureDesc** entities. **FeatureDesc** presents the feature as it “was” before the change (if existing) and how it “is” after the edit operation (if existing).

In our example, the developer added a new feature, APDS9300, to the variability model. The change that can be observed in the source control system is shown in Figure 2.

```

config ADJD_S311
  tristate "ADJD-S311-CR999 digital color sensor"
  select IIO_BUFFER
  select IIO_TRIGGERED_BUFFER
  depends on I2C
  help
  If you say yes here you get support for the Avago ADJD-S311-CR999
  digital color light sensor.

  This driver can also be built as a module. If so, the module
  will be called adjd_s311.

+config APDS9300
+  tristate "APDS9300 ambient light sensor"
+  depends on I2C
+  help
+  Say Y here if you want to build a driver for the Avago APDS9300
+  ambient light sensor.
+
+  To compile this driver as a module, choose M here: the
+  module will be called apds9300.
+
config HID_SENSOR_ALS
  depends on HID_SENSOR_HUB
  select IIO_BUFFER

```

Fig. 2 Variability model change: addition of the feature APDS9300

The information recorded by FEVER on **FeatureEdit** entities are summarized in Table 2.

Attribute	Details	Example
name	name of the touched feature	APDS9300
change	change operation affecting the feature	ADDED
visibility	feature visibility to user during configuration	visible
type	type of the feature, defines its possible values	TRISTATE

Table 2 FEVER **FeatureEdit** entity attributes

The possible values for the “change” attribute are: “ADDED”, “REMOVED”, or “MODIFIED”. The type attribute matches the configuration option type in the Kconfig language (“BOOLEAN”, “TRISTATE”, “INT”, “HEX”, or “STRING”). The feature is either “visible” or “internal”. Note that the type, and visibility information stored on the **FeatureEdit** entity correspond to the state of the feature after the edition takes place. For additional information on the state of the feature before and after the change, one can refer to the **FeatureDesc** entities connected to the **FeatureEdit** entity.

The **FeatureDesc** entity captures the information presented in Table 3.

Attribute	Details	Example
name	name of the touched feature	APDS9300
type	feature type	TRISTATE
visibility	feature visibility to the user during configuration	visible
depends on	dependencies of the feature	I2C
selects	the selected features	(none)
default values	default values, with conditions if any	(none)

Table 3 FEVER **FeatureDesc** entity attributes

3.3 Mapping Changes

Regarding the evolution of the mapping, we are mainly interested in the evolution of the mapping between feature and asset. For this study, we consider the following types of assets: implementation artefacts, data artefacts, folders, and compilation flags. The evolution of the mapping space is represented by **MappingEdit** entities characterized by: the feature involved and the type of artefacts it is mapped to. We describe the feature-mapping change operation (*added*, *removed*, or *modified*), referring to the association of a feature to any type of assets, and the change affecting the target within that mapping (*added* or *removed*). Finally, if the asset is an artefact (file), then the change meta-model also includes the change to the artefact itself. We can thus make the difference between a situation where a new mapping is introduced (*addition* of a mapping with an *added* target) and an existing mapping being extended (*modification* of a mapping with an *added* target). If the asset is not an artefact (such as a folder or a compilation flag) the value of the “artefact change” attribute is set to “NA”.

In our example, the developer adds a mapping between the newly created feature and a newly added file by modifying an existing Makefile as shown in Figure 3. The information contained within the **MappingEdit** entity to represent this change are presented in Table 4.

```
#
# Makefile for IIO Light sensors
#

# When adding new entries keep the list in alphabetical order
obj-$(CONFIG_ADJD_S311) += adjd_s311.o
+obj-$(CONFIG_APDS9300) += apds9300.o
obj-$(CONFIG_HID_SENSOR_ALS) += hid-sensor-als.o
obj-$(CONFIG_SENSORS_LM3533) += lm3533-als.o
```

Fig. 3 Mapping change: introduction of a new association between feature and asset

3.4 Source Code Changes

Feature related changes within source code, such as modifications to conditionally compiled blocks and feature references, are captured as **SourceEdit** entities. Features in `#ifdef` code block conditions and feature references within a given file are an indication

Attribute	Details	Example
type	element mapped to the asset (variable or feature)	FEATURE
feature	name of the feature involved	APDS9300
target	target of the mapping	apds9300.o
target type	type of the target (folder, flag, data, compilation unit)	COMPILATION_UNIT
mapping change	change to the mapping of the feature	ADDED
target change	change to the target entity within the feature's mapping	ADDED
artefact change	change to the artefact pointed to by the target	ADDED

Table 4 FEVER MappingEdit entity attributes

that the behaviour of the feature mapped is configurable, and its exact behaviour is determined by other features.

Feature references are references to feature names within the code, meant to be replaced by the feature's value at compile-time. Such references may only be *added* or *removed*. In such cases, the **SourceEdits** entity contains the name of the affected feature and the change in question.

Conditionally compiled code blocks are identified by the conditions under which they will be included in the final product. A change to such a block is represented by a **SourceEdit** containing the condition of the block, the change to the block itself (*added*, *removed*, *modified*), and the change of the implementation within that block: *added* if the code is entirely new, *removed* if the whole block was removed, *modified* when the changed block contains arbitrary edits, or finally *preserved* if the code itself has not been touched. An example of the code change is depicted in Figure 4.

```

+
+   return 0;
+}
+
++#ifdef CONFIG_PM_SLEEP
++static int apds9300_suspend(struct device *dev)
+{
+   ...
+   +   return ret;
+}
+
++#define APDS9300_PM_OPS (&apds9300_pm_ops)
++#else
++#define APDS9300_PM_OPS NULL
++#endif
+

```

Fig. 4 Source change: addition of conditionally compiled code blocks

In our example, two code blocks are added. Table 5 presents the information we obtain for the creation of the *else* fragment of this change. A similar entity is created for the first part of that new code block, the only different being the value of “interaction” attribute which would reflect the condition of the first block, namely “*defined(CONFIG_PM)*”

Attribute	Details	Example
Change	change to the code block itself, or the feature reference	ADDED
Interaction	presence condition of the block, or feature name for feature reference	!(defined(CONFIG_PM_SLEEP))
Code Edit	transformation of the code inside the changed block, "null" for references	ADDED

Table 5 FEVER **SourceEdit** entity attributes

3.5 TimeLines: Aggregating Feature Changes

Changes pertaining to the same features are then aggregated into **TimeLine** entities. For this study, we created **TimeLine** entities for entire releases. We divide the types of changes that may affect a feature into two broad categories: *core changes* and *influence changes*.

A *feature core update* indicates that the behaviour of the feature itself or its definition is being adjusted. This comprises changes to the feature definition in the VM, changes to the mapping between the feature and assets, and changes affecting assets mapped to that feature.

A *feature influence update* indicates that the feature is playing a role in the behaviour of another feature. This occurs in two contexts: in the source code, as part of a **SourceEdit**, or in the variability model as part of a **FeatureEdit**.

Figure 5 depicts all entities and relationships used to describe the changes occurring in commit 03eff7b60d.

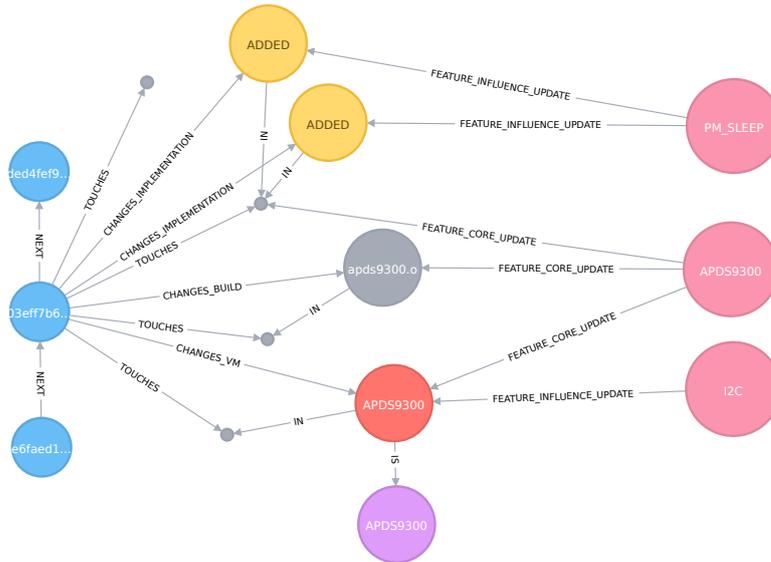


Fig. 5 FEVER representation of commit 03eff7b60d - all entities and relationships. For readability purposes, **ArtefactEdits** are represented by small unlabelled gray dots. From top to bottom, they represent edits to the following files: a documentation file, the source file containing the behavior of feature APDS9300, the Makefile containing the new mapping, and the Kconfig file containing the new feature declaration.

Artefact type	Expression used for identification
V.M. file	"Kconfig.*"
Build file	"Makefile.*"; "Kbuild.*"; "Platform.*"
Source file	"*.c"; "*.h"; "*.s"; "*.S"
Binary file	"*.dll"; "*.so"; "*.a"; "*.lib"
Data file	"*.dts"; "*.dtb"

Table 6 Artefact types: regular expression used to identify the different types of artefacts

In Figure 5, three **TimeLine** entities are depicted in pink, on the right hand side of the diagram, annotated with the feature name. The first one relates to the feature that was introduced. We can see that the "APDS9300" node is connected to the **FeatureEdit**, in red in the diagram marked with the feature name "APDS9300", the **MappingEdit** in gray annotated with the name of the changed target (apds9300.o), and an **ArtefactEdit** (represented by a small gray dot for visibility purpose) with a "feature_core_update" relationship. The connection between the **TimeLine** for this feature and the **ArtefactEdit** is deduced from the **MappingEdit**: because the new mapping assigns this artefact to feature APDS9300, then the introduction of this artefact is a "core" update of this feature. The APDS9300 **TimeLine** connects the different changes occurring in 3 different types of artefacts, all related to the same operation: the addition of a feature.

We can also see that a **TimeLine** for feature PM_SLEEP is present and connected to two **SourceEdit** entities. This indicates that, at the creation time, the driver APDS9300 interacts with the power management "sleep" feature, and this interaction occurs in two different code blocks. Finally, a **TimeLine** for feature I2C point to the **FeatureEdit** introducing feature APDS9300. Note that, APDS9300 depends on I2C, and that relationship is new. For that reason, in this commit the influence of feature I2C was changed, and does not affect APDS9300.

It is important to note that changes are extracted on an "per artefact basis". This means that entities being moved within the same artefacts (a feature in a Kconfig file, or a mapping in Makefile) will be seen as modified. However, if an entity is moved from one artefact to another, this is captured as two separate operations: a removal and an addition, and as such, two **Edits** entities. Those two **Edit** entities are linked together by a **TimeLine** entity, referring to the modified feature.

4 Populating FEVER

4.1 Overview

The FEVER approach starts from a set of commits and outputs an instance of the FEVER change model covering the given commit range. Figure 6 presents an overview of the change extraction process. From the initial set of commits, FEVER first analyses each commit separately, and then consolidates the extracted change information. For each commit, Steps 1 to 4 are executed as follows:

Step 1 is the identification of the touched artefacts and the dispatch to the appropriate change parser. In the Linux kernel, artefact types are characterized by naming conventions and file extensions.

Step 2 performs the artefact-specific data extraction processes. The next subsections (Section 4.2, Section 4.3, and Section 4.4) detail the process for each type of

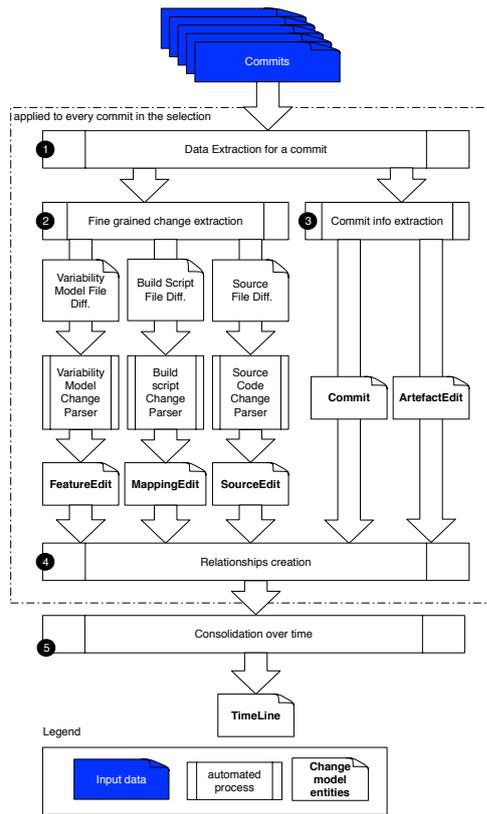


Fig. 6 Overview of the FEVER change extraction and consolidation process

artefact, but all of them follow the same general steps. First FEVER rebuilds a model of the artefact as it was before the change, and a second one representing the same artefact after the change. Then, FEVER uses the EMF Compare⁶ infrastructure to identify the differences between the two versions of the model. EMF Compare identifies the differences between the two models, and extracts them in terms of the EMF meta-model. FEVER then translates those changes into the different **Edit** entities depending on the artefact type. The reconstruction of the models, and the identification of changes (based on EMF Compare results) are based on heuristics and assumptions on the structure of the artefacts. We provide an evaluation of the accuracy of those heuristics in Section 5.

Step 3 is the extraction of changes in artefacts for which we do not extract detailed changes. This includes only commit-related information from which we create a **commit** entity, and “untyped” artefacts (i.e., documentation, or scripts), represented by **ArtefactEdit** entities.

In *Step 4*, FEVER creates the relationships between **Edit** entities, the **Commit**, and **ArtefactEdit**.

⁶ http://wiki.eclipse.org/EMF_Compare

Step 5 of our approach consists in creating entities and relationships spreading beyond single commits: “next” relationships among commits to keep track of the sequence of changes, and feature **TimeLine** entities with their respective relationships to edit entities. This is done by navigating through every commit, and identifying touched feature(s), creating if necessary a new **TimeLine** entity and the appropriate relationships between the **TimeLine** and relevant edits.

4.2 Extracting Variability Model Changes

We describe in this section the artefact-specific change extraction process (Step 2 in Figure 6) that takes place when a commit contains changes to the variability model of the system.

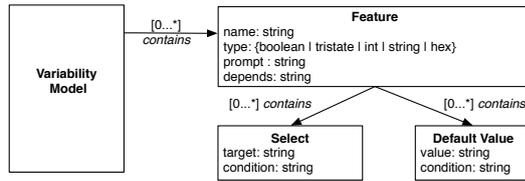


Fig. 7 Representation of the variability model used for change extraction

The characteristics of the changed features that we focus on are their type (boolean or value-based) and the change affecting the feature. We first reconstruct two instances of the VM depicted in Figure 7 per VM file touched, one representing the VM before the change, the other after the change. If, like in the case of the Linux kernel, the VM is described in multiple files, we reconstruct the parts of the model described in the touched files, i.e., the model we rebuild is always partial. The extraction process follows the FMDiff approach [Dintzner et al., 2015a], including the usage of “dumpconf”. This tool takes as an input a Kconfig file and translates it into XML. “dumpconf” is designed to work on the complete Kconfig model, where the different files are linked together with a “source” statement, similar to #include in C. To invoke “dumpconf” successfully on isolated files, we remove the “source” statements as a pre-processing steps. “dumpconf” also affects the attributes of features, and the details of the change operation are described in [Dintzner et al., 2013]. We use this XML representation of the Linux VM to build the model shown in Figure 7.

We then use EMF Compare to extract the differences and compile the information in a **FeatureEdit** entity. To successfully compare two model instances, FEVER needs to provide EMF with the capability to determine that two features in the two model instances are the same entity. For this, we rely on the feature name as a unique identifier during the model comparison phase.

We attach to this entity the snapshot of the feature as it was before and after the change in **FeatureDesc** entities. If the feature is new, respectively deleted, we do not create a “before”, respectively “after”, **FeatureDesc** entity. As mentioned, the “source” statement in the Kconfig language is used to link Kconfig files together. Such statements can be used in combination with other constructs, such as menus, or “if”

blocks. In this situation, the presence condition of the menu, or the condition of the “if” blocks, in practice applies to all features within “sourced” file, and any of the files it might “source” itself. By working on a file level (touched Kconfig file), FEVER will not capture such complex changes.

4.3 Extracting Mapping Changes

We describe in this section the artefact-specific change extraction process (Step 2 in Figure 6) that takes place when a commit contains changes to the mapping between features and assets.

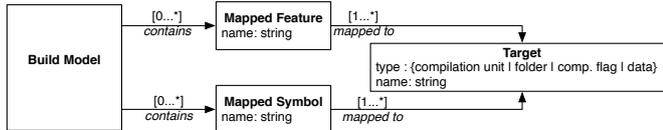


Fig. 8 Representation of the feature-asset mapping used for change extraction

Similar to the extraction of VM changes, **MappingEdit** entities are created based on the differences of reverse engineered models of a Makefile, before and after the change. We use the model shown in Figure 8.

The model contains a set of features and symbols mapped to targets. “Symbol” refers to any variable mapped to any assets which is not a feature. We identify feature names in Makefiles by their prefix “CONFIG_”. We scan the Makefiles and extract pairs of symbols by searching for assignment operators (“+=” and “:=”). We consider that the symbol on the left hand side is mapped to the symbol on the right hand side (target).

To determine the type of a targeted asset, we use the following rules: Compilation unit names finish with either “.o”, “.c” or “.h”; mapped data artefacts in the Linux kernel are identified by the extensions “.dts”, “.dtb”; compilation flags either start by the following strings “-D”, “-L”, “-m”, or “-W”, “-I”, “-f”. We identify folder names by “/”, or single words, not containing any special characters nor spaces.

Makefiles may contain lists of assets that will be included in the compilation as soon as the Makefile itself is included. Those assets are assigned to Makefile variables whose names depend on the implementation of the build process. In the Linux kernel, those are identified by⁷: “obj-y”, “lib-y”, “ccflags-y”, “asflags-y”, and “ldflags-y”. When we find assets associated with such variables, we map them to a temporary variable, using the following convention: we use the key word “guarded_” and append the name of folder containing the Makefile. We later use this naming convention with the extracted information on features mapped to folders to assign the changes of such Makefile variables to the appropriate feature(s).

When features are found as part of “ifeq” or “ifneq” statements, we consider that they are mapped to any targets contained within their scope. In Listing 5, both CONFIG_OF and CONFIG_SHDMA will be mapped to the compilation unit “shdma.o”.

⁷ <https://www.kernel.org/doc/Documentation/kbuild/makefiles.txt>

We also resolve aliases within Makefiles. An example of an alias is presented in Listing 5, where feature `TREE_TEST` is mapped to the alias `tree_test.o` referring to two compilation units `tree_main.o` and `tree.o`. This step is performed as a post-processing step for each build model instance, and is based on heuristics, also evaluated in Section 5.

```

1 ifeq ($(CONFIG_OF),y)
2     shdma-$(CONFIG_SHDMA) += shdma.o
3 endif
4 obj-$(CONFIG_TREE_TEST) += tree_test.o
5 tree_test-objs := tree_main.o tree.o

```

Listing 5 Example of an “ifeq” statement and aliases used in Makefiles

Finally, FEVER uses a Linux specific heuristic for mapping files contained within specific folders. Part of the mapping between feature and folder is done using variable names, and dynamic path reconstruction. In general, FEVER does not attempt to recover this mapping, but for a specific set of folder in the Linux kernel, namely the architecture folders, this mapping is important. Upon compilation, the chosen hardware architecture of the kernel forces the selection of a given subfolder of the `./arch` folder. There is no explicit declarations of that mapping in any Makefile (it uses variables and name reconstruction). For this reason, FEVER assumes that any file within the `arch/x86` folder maps to feature `X86` if no other mapping is found. The accuracy of this heuristic to recover the link between features and artefacts is evaluated in the next section as the *feature-file mapping* change attribute.

Our model reconstruction is based on heuristics and therefore do not take into account all the possible constructs used in the Linux kernel to link artefacts to features, however, FEVER focuses on those mentioned above. The constructs that FEVER does not capture are based on variable name manipulation, to build artefacts names (e.g. folder names, or file names), or combining lists of artefacts together. Then, as mentioned in Section 2, the exact mapping between features and files is the result of a complex Makefile hierarchy. By focusing on the mapping as described in a single Makefile, FEVER only captures a part of the presence condition of each file.

Once the two instances of the model are reconstructed, we use EMF Compare to extract the differences between them, giving us the list of feature mappings that were added or removed in that commit. For the comparison of two instances of our mapping model, we use the name of features as unique identifiers.

4.4 Extracting Implementation Changes

We describe in this section the artefact-specific change extraction process (Step 2 in Figure 6) that takes place when a commit contains changes to the implementation (source code).

At the implementation level, we consider changes to `#ifdef` blocks and changes to feature references in the code, as presented in Section 2. To extract those changes, we rebuild a model of each implementation file in its before and after state following the model presented in Figure 9.

To rebuild the models, we rely on CPPSTATS [Liebig et al., 2010] to obtain starting and ending lines of each `#ifdef` block as well as their guarding condition. It should be noted that CPPSTATS expends conditions of nested blocks within a file, facilitating the

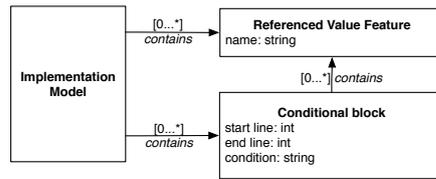


Fig. 9 Representation of the feature-asset mapping used for change extraction

identification of block conditions. In the model, code blocks and their `#else` counterparts are captured as two distinct entities. “Referenced value features” are obtained by scanning each modified source file looking for the usage of the “`CONFIG_`” string outside of comments and `#ifdef` statements. Note that we report reference changes once per feature and per file.

We then use EMF Compare to compare the two models and build the **SourceEdit** entities. For this comparison, FEVER needs to use a unique identifier for each code block contained within a source file. The condition on a block may not be unique, and hence cannot be used to uniquely identify a block in two versions of the source model. The location of the block within the file may change during a commit without the block being changed itself (i.e., if code is added or removed above it). FEVER uses a combination of the condition of the block combined with its content (the actual code) as a unique identifier. This proved to be an efficient technique, but in the context of the Linux kernel a number of files contain identical code blocks, with the same block condition. While this may seem surprising, one may consider a logging mechanism: if the logger feature is selected, write an entry in the log file. This might be repeated in multiple functions in a file. As a result, the EMF comparison process cannot correctly identify changed blocks and returns a number of false positive changes. To compensate for this, we add indices to the identifier of code blocks when we find such duplication.

We determine the code changes occurring inside `#ifdef` blocks to compute the value of the “code edit” attribute of **SourceEdit** entities. This is performed as a separate step, once we found the changed code blocks. We extract from the commit the diff of the file in the “unified diff” format, and identify which lines of code were modified. We compare this information with the first and last lines of each modified code block to determine which code block is affected by the code changes.

FEVER extracts and records changes to all conditionally compiled code blocks - whether features play a role in their presence condition or not. Changes to code blocks that are not tied to any feature will be captured as **SourceEdit**, but such entities will not be linked to any **TimeLine** in the next step of our process.

4.5 Change Consolidation and TimeLines

The final step consists in the creation of feature **TimeLine** entities and relate them to the appropriate entities. We create such entities for every feature touched by any change in any **Edit** entity. We apply the following strategy:

- if a feature is touched in the VM, mapping or source file, the corresponding **Edit** entity is associated with a **TimeLine** with a “core update” relationship;

- if a feature A is added to another feature B’s attribute (as part of a constraint), then the **FeatureEdit** entity representing this change is connected to the feature **TimeLine** with an “influence update” relationship if feature A did not participate at all in the definition of B before the change.
- if a feature A is removed from another feature B’s attribute (as part of a constraint), then the **FeatureEdit** entity representing this change is connected to the feature **TimeLine** with an “influence update” relationship if feature A no longer participate at all in the definition of B after the change.
- if a feature is part of the condition in a **SourceEdit** entity, the **SourceEdit** is connected to one **TimeLine** entity per feature present in the condition with an “influence update” relationship;
- if an artefact is touched, it is linked to the **TimeLine** entity of the feature to which it is mapped with a “core update” relationship. This is done for each feature mapped to the file.

In order to map file changes to features, we need to know the mapping between features and files. Note that FEVER only focuses on mapping changes, leaving us with a gap with respect to mappings that are not touched. As a result, many files, whose mapping has not evolved would not be mapped - wrongly - to any features. To compensate for this, we create a snapshot of the complete mapping based on the state of the artefacts on the first commit of the commit set. To support systems which do not follow Linux naming convention (the `CONFIG_` prefix used in Makefile and the source code), we also extract the list of features present at the beginning of the studied time-frame. For both the initial feature list and initial mapping, we rely on the FEVER parser to obtain the information by invoking it for every Kconfig file and Makefile present in the system.

We then run through all commits, starting from the leaves in a breadth-first manner, creating or updating **TimeLine** as necessary, and updating the known mapping between files and features as we encounters **MappingEdits**. Some files in the Linux kernel cannot be mapped directly to features. This concerns mostly header files, contained in “include” folders. “Include” folders do not contain Makefiles, which prevents direct mapping between features and such artefacts. Moreover, such files are included in the compilation process on the basis that they are referenced by implementation files (`#include` statement), which by definition bypasses any possible feature-related condition. For those reasons, we do not attempt to map such files to features. They are, however, highly conditional, and often contain many `#ifdef` statements, which we track.

5 Evaluating FEVER with Linux

The FEVER change extraction process is based on heuristics and assumptions about the structure of the artefacts of the system under study. Those heuristics affect the model build phase and the comparison process - the mapping between EMF model changes and higher-level feature oriented changes. It is then important to evaluate whether the data captured by FEVER reflects the changes that are performed by developers in the source control system.

The objective is two-fold. First, we aim at evaluating how the changes to the heuristics impacted the accuracy of the FEVER approach. Secondly, we aim at providing a

complete evaluation of the FEVER approach and its accuracy, including all new change attributes, against a larger and more representative set of commits as before.

Throughout this section, we consider that a FEVER change description is “accurate” if the changes performed by developers are captured correctly by FEVER as described in the previous section. We evaluate the accuracy of the approach in terms of precision and recall with respect to changes performed by developers on the observed artefacts.

With this work, we improved on the existing FEVER prototype [Dintzner et al., 2016] in several ways. Section 3 described the FEVER approach with its improvements. From the initial version of this work, we improved the following aspects of the approach:

- heuristics for code reference identification
- heuristics for code changes within modified code blocks
- heuristics for asset-feature mapping identification (compilation flag, default list, and artefact extensions management)
- the build change model to support more types of artefacts (namely data artefacts)
- the build change extraction to include artefact changes when describing mapping changes
- the timeline model to include “influence updates” on feature changes

With those changes, FEVER captures more information than before, and should be able to capture previous information more accurately. This leads us to formulate the first research question driving this evaluation:

RQ1: To what extent is the new version of FEVER more accurate in capturing feature-related changes?

However, the enhancements of FEVER also include the addition of new information regarding feature-related changes. The overall accuracy of the tool, should also be evaluated. We propose to answer the following research question:

RQ2: To what extent does the improved FEVER data match changes performed by developers?

To assess whether the FEVER data matches the content of commits, we perform here a two-steps evaluation. First, we apply FEVER on the commits used in [Dintzner et al., 2016] and compare the results obtained during the first evaluation of FEVER and the improved algorithm. Then, we perform a second, entirely new evaluation on two different releases using a different heuristic to select commits.

For both steps, the evaluation is performed manually and consists in comparing the content of the FEVER database with changes performed by developers. We first present how this comparison is performed. Then, we present the results of the replication of the evaluation and finally present the results of the evaluation on the new set of commits.

5.1 Evaluation Method

The objective is to evaluate the accuracy of the heuristics and the model comparison process used for artefact change extraction and the change consolidation process. To do

so, we manually compared the content of the FEVER dataset with the information that can be obtained from Git, using the GitK user interface. The evaluation was performed by the main author of this paper.

For a set of commits, we checked that the different **Edit** entities and their attributes can be explained by the changes observed in Git. Conversely, we ensured that feature-related changes seen in Git have a FEVER representation. At variability model level, we checked whether the features captured by FEVER as added, removed, or modified are indeed changed in a similar fashion in the Linux Kconfig files.

Regarding mapping changes, we checked that the pairing of features and files is accurate and that the type of targeted artefact is also correct. Special consideration was given to the validation of the mapping between features and assets. The mapping between features and files may be the results of complex Makefile constructs and may be distributed over several files through inclusion mechanism. FEVER only takes into account a number of such constructs as mentioned in Section 3, but not all possible ones. In cases where a mapping change can be observed in a Makefile, but FEVER does not report any change, we checked in the Makefile hierarchy if a feature should have been mapped to that change. If, during the manual inspection, we reached the root folder of the Linux file hierarchy and we have not encountered any explicit declaration of a link between the changed mapping and any feature, we considered that this change could not have been mapped by FEVER, and FEVER should not report any feature-related mapping change. For instance, a developer modifies “./mm/Makefile” (memory management), and adds a compilation unit to the “obj-y” variable. We see that the file “./mm/Makefile” is not constrained by any feature in the root “./Makefile” of the kernel source tree. Hence, we consider that FEVER cannot map this mapping change to any feature, and should not report it. We emphasize that FEVER will still report that the Makefile has been touched in the form of a **ArtefactEdit**, but no **MappingEdit** entity should be present.

At the code level, we checked that the blocks seen as touched are indeed touched, and we compared the condition of each block. Then, by inspecting the patch, we validated that the code changes within the blocks were correct.

Regarding **TimeLine** entities, we did not check whether all relevant changes in all commits were indeed gathered into **TimeLine** entities. We made the assumption that if **TimeLine** entities were properly linked in the commits we checked, then the algorithm is correct, and the check on the complete release is therefor unnecessary. We also kept track of the commits for which all extracted information is accurate, giving us an overview of the accuracy on a commit basis.

5.2 Replication

In our previous work [Dintzner et al., 2016], we evaluated our tool as follows. Using FEVER, we extracted feature changes from release 3.12 and 3.13 of the Linux kernel, and randomly extracted 150 commits from each release (out of 11,907 and 13,288 respectively). The selection of commits in those two releases was performed as follows: we randomly selected 50 commits touching at least the variability model, 50 among the commits touching at least the mapping, and 50 touching at least source files. Those three sets are non-overlapping. So the creation of three different sets ensures that our random sample covers all three spaces. During the evaluation, we ignored merge and release tag commits.

Attribute	Reference algorithm [Dintzner et al., 2016]			Current algorithm		
	Population	Precision (%)	Recall (%)	Population	Precision (%)	Recall (%)
VM operations						
change: <i>added</i>	208	100	100	206	100	99
change: <i>removed</i>	73	100	100	74	100	100
change: <i>modified</i>	140	80	100	138	81.4	98.6
Mapping operations						
target: <i>folder</i>	17	100	94	17	100	100
target: <i>compilation unit</i>	437	100	98	430	100	99.8
target: <i>compilation flag</i>	10	67	60	14	100	100
mapping change: <i>added</i>	278	99	97	271	98.9	98.9
mapping change: <i>removed</i>	84	100	95	133	100	100
mapping change: <i>modified</i>	98	100	98	68	98.6	100
target change: <i>added</i>	326	99	97	328	98.2	97.9
target change: <i>removed</i>	133	100	97	139	100	100
<i>file-feature mapping</i>	622	81	97	728	93.4	92.6
Source operations						
block change: <i>added</i>	381	81	97	321	98.7	92.6
block change: <i>removed</i>	229	100	99	230	100	97.8
block change: <i>modified</i>	237	97	99	233	96.3	100
code change: <i>added</i>	365	99	97	307	99.0	98.4
code change: <i>removed</i>	195	99	99	190	96.4	98.4
code change: <i>edited</i>	237	96	99	236	95.9	100
code change: <i>preserved</i>	46	32	83	45	93.2	91.1
reference change: <i>added</i>	6	100	83	106	100	100
reference change: <i>removed</i>	7	88	100	5	83.0	100
TimeLine	743	93	98	11225	95.5	97.5

Table 7 Comparison of accuracy of the initial FEVER heuristics [Dintzner et al., 2016] with its new version.

To evaluate our improved algorithm, we performed the same analysis over the same set of commits using the enhanced FEVER prototype and compared the results obtained with what was previously established. Table 7 presents a comparison between the previous precision and recall obtained on change attributes as well as the precision and recall for the new algorithm.

In addition to the information presented in the table, our evaluation showed that the percentage of commits for which FEVER correctly extracted all change attributes increased from 82,7% to 85,3%.

Let us first discuss the differences in terms of change population between the two evaluations. We note that between the two evaluations, only few population are exactly the same. For instance, the first evaluation recorded 208 added features, but the second one found a total of 206. The evaluation process being inherently manual, it is reasonable to observe slight differences (as in the variability model changes for instances). However, variation of population is more significant for the following attributes: feature-file mapping, block changes added, added code, added references, and timelines. Regarding the feature-file mapping, the new version of FEVER attempts to resolve the mapping of more files - rather than focusing only on source code. Previously, FEVER did not do so for files located within an “include” folder (at any level of its path). Changes to files in folder such as “arch/.../include” are now mapped.

The variation in terms of “block changes: added blocks” and “code change: added code” are related. During the first evaluation, we found 381 added code blocks (block changes: added blocks) with 365 occurrences of new code blocks containing only new code (code change: added code), while during the second evaluation the number of added code blocks dropped to 321, and the number of code blocks with added code dropped to 307. The difference between the two values stems from changes obtained from a single commit. A file with the extension “.S_shipped” containing a large number (60+) of added interactions was included in the initial evaluation. We adjusted the algorithm

to identify files, enforcing strict file extension (.S), hence the file was ignored during the second evaluation. This results in less added code blocks, and the less added code blocks containing only new code. While this raises the question of which artefacts one should consider during the experiment, it does not undermine the ability of FEVER to capture accurately code changes from within a well defined set of artefacts.

The number of added references increased significantly between the two evaluations. Once again, explanation for this difference is contained within a single commit⁸ where a hundred features are added, and then referenced in the code. During the first evaluation, those references were incorrectly identified as local macros by the tool and the reviewer, and not noted as added references. During the second review, with the updated algorithm, the references were correctly identified by FEVER as feature references. A deeper analysis of the code and the related artefacts showed that those were indeed feature references and should be recorded as such.

Finally, with the improved approach, **TimeLines** now may be created as the result of a feature relationship change. Since this was not taken into account during the first evaluation, the number of **TimeLines** obtained with the improved algorithm (11,225) is *de facto* larger than during the first evaluation (743).

Despite those differences, the results in Table 7 indicate improvement of the accuracy of most change attributes related to mapping and code changes. The most significant being the detection of preserved code inside changed code blocks (from a precision of 32% to 93,2%) and the detection of changes to compilation flags during mapping evolution (from a precision and recall of 67% and 60% to 100%). Code change capture was improved by avoiding false positives when multiple code blocks were identical. The detection of compilation flag changes was improved by capturing changes to compilation flags not mapped “directly” to a feature, but indirectly (the flag is mapped to an internal variable and will be activated when a guard feature is selected).

With this information we can answer our first research question, RQ1: To what extent is the new version of FEVER better at capturing feature-related changes?

The overall accuracy of FEVER slightly improved (by 2,6%), while the ability to capture certain change attributes increased significantly (by more than 30%). The changes to the heuristics used by FEVER lead to an improvement over its previous version.

While this increases our confidence in FEVER’s ability to capture changes, the improved algorithm allowed us to capture change in artefacts and feature relationships that were not taken into account before - hence, not covered in this comparison. Moreover, we used for this comparison the same set of randomly selected commits as in our previous work [Dintzner et al., 2016]. However, the methodology used to build this set did not allow for commits not affecting any feature to be included in the evaluation, which, in our opinion creates a bias in the evaluation. We continue the evaluation of FEVER by performing a complete evaluation, including new attributes on a more complete and different set of randomly selected commits.

⁸ 206f060c21

5.3 Evaluation on a New Set of Commits

The results of the previous sub-section highlight improvements on the ability of FEVER to capture certain types of changes. However, we extended the change model to capture additional change information, as presented in the beginning of this section.

To evaluate the improved FEVER algorithm, we extended the evaluation of the data used in the replication presented above (300 commits) to cover the additional changes and created a new dataset from two releases using a different random selection approach (510 additional commits). For the additional dataset, instead of three groups of commits affecting different spaces, we randomly selected commits from five different groups: 51 commits not affecting any artefact, 51 commits affecting arbitrary artefacts, 51 commits affecting at least the variability model, 51 commits affecting at least the mapping, and finally 51 commits affecting at least code blocks, for a total of 255 commits per release. With this approach, we ensure that every commit within the FEVER database may be selected. Consequently, the complete dataset used for this evaluation is comprised of 810 commits, from 4 different releases (150 commits from release 3.12, 150 commits from release 3.13, 255 commits from release 3.14, and finally 255 commits from release 4.2).

FEVER does not capture changes inside merges. The rationale behind this decision is to avoid capturing changes multiple times: once when they are implemented by their original authors, and possibly a second time if the merge operation results in a conflict (same file modified twice). During our evaluation, we checked whether some information was missed by skipping merge commits altogether. We used the following methodology: we inspected a subset of the merge commits and checked that all changes that occurred can be found within the parent commits - i.e. all modifications pre-existed, they are simply integrated together. We identify “new content” in merge commits by using the following “git log” command to visualize the changes:

```
git log <commit_hash> -p -cc
```

The “-p” option displays the patch, and “-cc” displays the patch “diff” from all parents simultaneously. Using this view of the patch, we searched for content added or removed from all parents. Practically, this amounts of searching for lines in the “diff” where the number of “+” or “-” symbols at the beginning of modified lines of text equals the number of parents.⁹ Given that FEVER omits merge commits, any of such change is accounted for as a false negative for the relevant change attribute during the evaluation. Table 8 summarizes the results for the 4 datasets, comprised of a total of 810 commits.

The results show that, for a majority of attributes (26 out of 27), FEVER precision and recall is at least of 88%. On the other hand, we note that detection of reference changes can be problematic. During this evaluation, we found two cases where developers created local variables (using the `#define C` directive) whose name matched feature naming convention (`CONFIG_` prefix). This explains the lower precision, but FEVER still exhibit for this change a high recall of a 100% when capturing removals of feature references.

With this information we can now answer our second research question, RQ2: To what extent does the new version FEVER data match changes performed by developers?

⁹ <https://git-scm.com/docs/git-log>

Attribute	Population	Precision (%)	Recall (%)
VM operations			
change: <i>added</i>	309	100	98.7
change: <i>removed</i>	88	100	98.9
change: <i>modified</i>	293	89.8	98.6
Mapping operations			
target: <i>folder</i>	52	100	98.1
target: <i>compilation unit</i>	735	99.3	95.6
target: <i>compilation flag</i>	32	100	100
target: <i>data</i>	61	100	100
mapping change: <i>added</i>	506	98.4	95.1
mapping change: <i>removed</i>	201	100	90.0
mapping change: <i>modified</i>	180	97.7	92.8
target change: <i>added</i>	644	98.7	94.6
target change: <i>removed</i>	224	99.1	100
artefact change: <i>added</i>	366	98.2	91.5
artefact change: <i>removed</i>	113	99.0	89.4
artefact change: <i>modified</i>	31	100	80.6
artefact change: <i>untouched</i>	290	88.7	92.4
artefact change: <i>NA</i>	82	100	98.8
<i>file-feature mapping</i>	1650	95.1	93.5
Source operations			
block change: <i>added</i>	656	99.4	93.5
block change: <i>removed</i>	355	100	97.2
block change: <i>modified</i>	529	95.6	99.6
code change: <i>added</i>	583	99.1	97.9
code change: <i>removed</i>	271	97.0	96.7
code change: <i>edited</i>	556	95.3	99.3
code change: <i>preserved</i>	124	95.7	88.7
reference change: <i>added</i>	117	99.2	100
reference change: <i>removed</i>	9	69.7	100
TimeLine	2367	97.1	97.5
Correct commits	810	87.2%	

Table 8 FEVER change extraction accuracy evaluated on 810 commits

The results showed that the data collected by the new version of FEVER matches the changes performed by developers in 87% or more of the commits. The newly included change attributes (artefact changes, “data” artefact types) are captured with a high accuracy (of at least 80%).

Those results give us confidence on the viability of the FEVER approach, and in the quality of the extracted data. We proceed to explore usages of the dataset, before continuing with an exploratory study of co-evolution of artefacts in the context of feature evolution in Section 7.

6 FEVER usage scenarios

In this section, we illustrate how using FEVER or the data collected using the approach can be of use to developers, maintainers and researchers in the scenarios **S1** to **S2** mentioned in the Section 1.

The FEVER data is stored in a Neo4j graph database.¹⁰ Every entity of the FEVER change meta-model is a node of the graph, and the relationships are edges. Data types are represented using node labels, and attributes are stored as node properties. The queries presented in this section are written in the Cypher query language.¹¹

6.1 FEVER for Software Development Activities

In scenario **S1**, we consider the work of a release manager building the release notes. He is interested in highlighting important features, and matching those to the commits that participated in their implementation. The release notes of Linux v3.13¹² mention the following change “add[s] option to disable kernel compression” with a single commit. Looking at the commit, we know that a new configuration option named “KERNEL_UNCOMPRESSED” is introduced. We can check this with FEVER by querying the commits associated with the **TimeLine** of “KERNEL_UNCOMPRESSED” as follows:

```
match
(t:TimeLine)-[]->()-[]-(c:commit)
where t.name = "KERNEL_UNCOMPRESSED"
return distinct c;
```

This query returns two commits. The first commit (id:69f055) mentioned in the release note is associated with a **FeatureEdit** entity denoting the addition of a feature. The second commit (id:2d3c62), occurring a few days later, is also associated with a **FeatureEdit** entity, but, surprisingly, *removes* the feature. A check in release v3.14 showed that the feature was never re-introduced. This means that the release notes written by the 3.14 release managers were, in fact, incorrect. We argue that a dataset such as FEVER would provide release manager with more accurate information on changes that were performed by developers and may have prevented this erroneous entry in the release notes.

In scenario **S2**, a developer is about to introduce a new driver for a touch-screen supporting the power management “SLEEP” feature. The developer might want to know how such support was implemented in other drivers and compare it with its own implementation. Using FEVER, he queries the database for commits where a new feature (f1) is added (fe.change = “ADDED”), and interacts with a second feature (f2) whose name is “PM_SLEEP” as follows:

```
match (f1:TimeLine)-[:FEATURE_CORE_UPDATE]->
(fe:FeatureEdit)-[]-(c:commit),
(c)-[]->()-[:FEATURE_INFLUENCE_UPDATE]-(f2:TimeLine)
where f2.name = ‘PM_SLEEP’ and fe.change = ‘ADDED’
return f1,f2, distinct c;
```

When ran against database containing commits of release 3.14 of the Linux kernel, this query returns ten results, giving the name of the newly introduced features, and the commits in which those changes occurred. Among the results, the developer might notice that feature “TOUCHSCREEN_ZFORCE” and might consider using this as an example to drive his own development.

¹⁰ <http://neo4j.com/>

¹¹ <http://neo4j.com/docs/stable/cypher-query-lang.html>

¹² http://kernelnewbies.org/Linux_3.13

FEVER can also be of use in our third scenario **S3** in the context of bug triaging. Let us consider the bug #928561 reporting issues with keyboards mentioning that “*multimedia and macro keys are not working*”.¹³ The bug report author provide traces and logs pointing to issues with the Linux Human Interface Devices (HID) subsystem. This issue was fixed by and the patch was introduced in the kernel in release 3.12. In the FEVER database for release 3.12, we run the following query to see who among the commit authors committed the most changes affecting HID related features.

```
match (c:Commit)-->()<--(t:TimeLine)
  where t.name=~"(?ism).*HID.*"
  return distinct (c.author), count(c)
  order by count(c) desc;
```

The name of the developer who analyzed and fixed the issue comes first in the results, with 22 commits affecting “*HID*” features - among which one corresponds to the patch fixing the keyboard issue. In second place, we find an official maintainer for three of kernel subsystems with 17 commits, followed by another official maintainer for two HID related subsystems and the name of a Linux branch manager with 16 commits each affecting such features. It is interesting to note that the names of the developers who fixed the issue in question are not present in the official maintainers list of the kernel for releases 3.11 nor 3.12. Through this scenario, we suggest that the FEVER database can be of use to identify feature expertise, and possibly facilitate bug triaging [Matter et al., 2009]. A maintainer in charge of bug triage may use a simple query with information on potentially faulty features to find which developers can provide insight on an issue or even fix it.

6.2 FEVER for Software Engineering Research

In scenario **S4**, a researcher in the domain of evolution of highly variable software systems is interested in the typical structure of feature related changes. For instance, he would like to observe the occurrences of the introduction of abstract features, in the sense of Thuem et al. [Thuem et al., 2009]: a feature only exists in the VM. Using FEVER, we can identify the introduction of such features with this query:

```
match
  (t:TimeLine)-[:FEATURE_CORE_UPDATE]->(f:FeatureEdit)
where
  not (t)-[:FEATURE_CORE_UPDATE]->(:MappingEdit)
  and not (t)-[:FEATURE_CORE_UPDATE]->(:ArtefactEdit)
  and not (t)-[:FEATURE_INFLUENCE_UPDATE]->(:SourceEdit)
  and f.change="Add"
return t
```

In release v3.13, this query returns 42 features. Because **TimeLine** entities are re-grouping changes across spaces and commits, we know that those 42 features are indeed abstract, and this is not the result of a developer who first modified the variability model and in a later commit adjusted the implementation. The addition of an abstract feature has not yet been described as a co-evolution pattern, and further analysis is necessary to fully describe such changes. Nonetheless, this illustrates how FEVER can be of use to discover patterns or identify instances of known patterns. An earlier version of FEVER was used by Sampaio et al. to facilitate the identification of instance of

¹³ https://bugzilla.redhat.com/show_bug.cgi?id=928561

changes affecting certain spaces in the context of their work on safe evolution templates [Sampaio et al., 2016].

In scenario **S5**, we consider the work of a researcher focusing on variability related bugs [Abal et al., 2014] and bug prediction [Giger et al., 2011]. The data captured by FEVER may reveal information on features involved in bug-fixing commits. A basic approach would consist in using regular expression on commit messages to identify bug-fixing commits. Using this, one can identify features involved in bug-fixing commits using the following query:

```
match (c:commit)-->()<--(t:TimeLine)
  where not c.message =~ "(?ism).*copyright notices.*"
        and c.message =~ "(?ism).* bug.*"
        or c.message =~ "(?ism).* error.*"
        or c.message =~ "(?ism).* fix.*"
        or c.message =~ "(?ism).* revert.*"
return t.name, count(distinct c);
```

We note that Tian et al. devised a methodology to identify bug-fixing commits in the Linux kernel [Tian et al., 2012]. Combining such an approach with FEVER should yield more accurate results than the query presented here. However, with such a simple query, one can identify which features are more error-prone than others. It would be interesting to see if the number of features involved in a commit influences the bug-proneness of commits.

Finally, the data provided by German et al. [German et al., 2015] can be used to track commits over time and across repositories. Combining this information with the FEVER database would allow us to track feature development across Git repositories, and observe how the Linux community collaboratively handles the development of inter-related features.

7 Co-evolution in Linux

In this section we explore the data collected by FEVER over 15 releases of the Linux kernel. Given the relatively high accuracy of the approach established in Section 5, we can rely on FEVER data to explore co-evolution of artefacts in the context of feature evolution in the Linux kernel.

The state of the art on feature-oriented co-evolution of artefacts in highly configurable software systems focused on specific changes [Passos et al., 2015; Neves et al., 2015, 2011]. Those studies were performed using manual analysis. While those provide relevant and important knowledge on change scenarios, little information can be found on their occurrence in large systems. In this section, we report on an exploratory study of the feature-oriented co-evolution of artefacts in the Linux kernel.

We argue that quantitative information on the frequency of co-evolution over the evolution of a complex system would allow tool developers and researchers to determine how relevant the support of co-evolution for the evolution of such systems is. How often is co-evolution occurring, and how many authors actually face co-evolution during their development tasks? What percentage of the touched features actually evolve in multiple variability spaces? And when they do, which spaces are more frequently involved? Should a developer provide tool support for co-evolution, and what should be its main focus to help in a majority of cases? This leads us to formulate the following two research questions:

Release	3.10	3.11	3.12	3.13	3.14	3.15	3.16
Number of commits	14737	11851	11906	13288	13415	14871	13830
Number of authors	1433	1304	1362	1400	1481	1535	1513
Number of features	12511	12603	12780	13022	13134	13297	13453
Number of timelines	5208	4397	4424	4581	4503	4960	4099

Release	3.17	3.18	3.19	4.0	4.1	4.2	4.3	4.4
Number of commits	13331	12361	13652	11306	12965	14750	13282	14082
Number of authors	1461	1507	1495	1495	1576	1630	1607	1636
Number of features	13602	13631	13802	13932	14427	14217	14458	14607
Number of timelines	4322	3797	5131	3432	4082	4316	4159	3967

Table 9 General information on the Linux kernel development: number of commits, authors, features, and FEVER **Timelines** over the studied period of time.

- RQ3: To what extent do artefact in different variability spaces co-evolve during the evolution of features?
- RQ4: To what extent are developers facing co-evolution over the course of a release?

With the first question, we can obtain an estimate of how likely co-evolution is from a technical perspective. If a feature evolves during a release, how likely is it that this evolution will imply the modification of multiple types of artefacts? With the second question, we aim at estimating the potential audience for tools and techniques targeting co-evolution issues. Provided a simple and efficient method can be devised to guarantee correct feature-oriented co-evolution of artefacts, what percentage of the development team would actually benefit from it?

To put our results into perspective, we first provide our readers with general information on the evolution of the Linux kernel as captured by FEVER over the studied period of time. The dataset collected with FEVER covers 15 releases of the Linux kernel, starting at v3.9 (April 2013 - first extracted commit) until v4.4.(January 2016 - last extracted commit). A release of the Linux kernel lasts for approximately six weeks.

7.1 Methodology

Before proceeding, we first provide general information on the studied releases. Table 9 presents the number of features at the beginning of each release, the number of authors, the number of commits, and the number of **TimeLine** entities. The number of features at the beginning of the release is obtained by using the initial feature list produced for the extraction process. The number of **TimeLine** was obtained by querying the FEVER databases, representing the number of features that evolved during that release. The number of commits and authors were obtained by querying the FEVER database and cross-checked using “Git”.

We then proceeded as follows. We built a number of queries to identify features, the spaces in which they evolve and the involved authors. We ran the queries on each extracted release of the Linux kernel and dumped the results in a series of .csv files. For each commit we extracted the type of artefacts affected by the commits as well as the authors. To identify authors, we used the author name, as reported in the Git repository - this information is stored as part of the commit entity in FEVER. We also consolidate the collected information over time. This allows us to contrast the

evolution of feature and variability authorship in each release with the evolution of feature and variability space authorship over multiple releases (15 in this case). To do so we aggregate the collected information by feature (identified by their name), and authors (identified by their name as well). By doing so, we avoid biases caused by complex co-evolution over time. For instance, a feature is touched in the code in six releases, but its mapping or variability model representation change in seventh. Over time, this should be considered as a change to all spaces, where on a release level, we would record a changes in the source code only, or V.M. and build only - which would be correct but partial. We then imported this information into a spreadsheet editor to compile the results.¹⁴

As noted in previous work on mining social information from software repositories [Kouters et al., 2012; Bird et al., 2008], authors are likely to use aliases and submit commits using different email addresses. In this work, we relied on the author’s name, as stored in the Git repository and did not take aliases into account. We evaluated the possible bias caused by aliases on our study by performing a manual analysis of author’s name in release 4.4. To identify aliases, we searched among the list of author names duplicated names and first name. We then decided whether two names are likely to point to the same person using the following strategy: for each name we took into account the following variations mentioned by Kouters et al. [Kouters et al., 2012]: *ordering, diacritics, nicknames, middle initials and middle name*, and finally *irrelevant incorporation, emails instead of name*. This analysis of author’s name in release 4.4 revealed that, out of the 1636 authors, 53 recorded author names are aliases, accounting for 3.23% of author names.

7.2 Results: Feature Co-Evolution Over Time

The results of our quantitative analysis of co-evolution of features in the Linux kernel are presented in Table 10. This table summarizes, for each release, the space(s) in which features of the kernel evolve. In addition, we aggregated the results for feature evolving in a single space, two spaces, and three variability spaces, with raw quantitative information and the percentage of those features (in *italic* in the table). For instance, in release 3.10, FEVER captured 5208 feature **TimeLines**. Among those, 654 evolved solely in the variability model (V.M.), and the total number of features that evolved through changes in a single space is 3407, or 78.19% of the evolving features in that release.

The table also presents the average and median number of features evolving in each combination of spaces over the studied period of time. We can see in the penultimate column of Table 10 that, on average over 15 releases, 4538 feature evolved and that, on average, only 7.43% of them evolved in all three spaces.

Regarding the co-evolution of artefacts with respect to feature evolution, we can see that most features evolve only through their implementation.

On average and over the studied period of time, 69.27% of evolving features only changed in their implementation, either modification of the mapped artefact or modification of code blocks - `#ifdef` block. We can order the combination of spaces in which features are most likely to evolve as follows:

¹⁴ The spreadsheets used during this experiment are available on our website: <http://swirl.tudelft.nl/bin/view/NicolasDintzner/WebHome>

Release	3.10	3.11	3.12	3.13	3.14	3.15	3.16	3.17	3.18
Number of timelines	5208	4397	4424	4581	4503	4960	4099	4322	3797
V.M. only	654	508	909	357	390	608	462	487	337
Mapping only	11	9	3	15	11	7	8	3	15
Source only	3407	2859	2586	3387	3325	3292	2799	2862	2695
<i>Single space</i>	<i>4072</i>	<i>3376</i>	<i>3498</i>	<i>3759</i>	<i>3726</i>	<i>3907</i>	<i>3269</i>	<i>3352</i>	<i>3047</i>
<i>Single space (%)</i>	<i>78.19</i>	<i>76.78</i>	<i>79.07</i>	<i>82.06</i>	<i>82.74</i>	<i>78.77</i>	<i>79.75</i>	<i>77.56</i>	<i>80.25</i>
V.M. & mapping	39	22	14	20	19	15	21	33	14
V.M & source	632	549	588	453	442	522	451	450	366
source & mapping	54	67	56	68	65	59	76	71	50
<i>Two spaces</i>	<i>725</i>	<i>638</i>	<i>658</i>	<i>541</i>	<i>526</i>	<i>596</i>	<i>548</i>	<i>554</i>	<i>430</i>
<i>Two spaces (%)</i>	<i>13.92</i>	<i>14.51</i>	<i>14.87</i>	<i>11.81</i>	<i>11.68</i>	<i>12.02</i>	<i>13.37</i>	<i>12.82</i>	<i>11.32</i>
All spaces	411	383	268	281	251	457	282	416	320
<i>All spaces (%)</i>	<i>7.89</i>	<i>8.71</i>	<i>6.06</i>	<i>6.13</i>	<i>5.57</i>	<i>9.21</i>	<i>6.88</i>	<i>9.63</i>	<i>8.43</i>

Release	3.19	4.0	4.1	4.2	4.3	4.4	Average	Median
Number of timelines	5131	3432	4082	4316	4159	3967	4358	4322
V.M. only	355	330	337	406	387	330	547,1 (10.44%)	390 (9.40%)
Mapping only	3	23	11	29	1	5	10,27 (0.2%)	9 (0.20%)
Source only	3962	2369	2932	2954	2967	2884	3019 (69.27%)	2932 (69.03%)
<i>Single space</i>	<i>4320</i>	<i>2722</i>	<i>3280</i>	<i>3389</i>	<i>3355</i>	<i>3219</i>	<i>3486</i>	<i>3376</i>
<i>Single space (%)</i>	<i>84.19</i>	<i>79.31</i>	<i>80.35</i>	<i>78.52</i>	<i>80.69</i>	<i>81.14</i>	<i>79.96</i>	<i>79.75</i>
V.M. & mapping	9	8	17	21	14	14	18,67 (0.45%)	17 (0.41%)
V.M & source	428	349	415	462	449	410	467 (10.65%)	450 (79.75%)
source & mapping	60	63	86	91	56	71	66,2(1.51%)	65 (1.48%)
<i>Two spaces</i>	<i>497</i>	<i>420</i>	<i>518</i>	<i>574</i>	<i>519</i>	<i>495</i>	<i>549,3</i>	<i>541</i>
<i>Two spaces (%)</i>	<i>9.69</i>	<i>12.24</i>	<i>12.69</i>	<i>13.30</i>	<i>12.48</i>	<i>12.48</i>	<i>12.61</i>	<i>12.48</i>
All spaces	314	290	284	353	284	253	323,1	290
<i>All spaces (%)</i>	<i>6.12</i>	<i>8.45</i>	<i>6.96</i>	<i>8.18</i>	<i>6.83</i>	<i>6.38</i>	<i>7.43</i>	<i>6.95</i>

Table 10 Co-evolution of edited features over time. Values in italics are computed, while values in regular fonts are obtained using Neo4j queries.

1. Source only (69.27%);
2. V.M. only (10.44%), and V.M. with Source (10.65%);
3. All three spaces (7.43%);
4. Any other combination of spaces occurs, on average over the studied period of time less than 2% of the time.

Table 11 show the evolution of all changed features, by spaces, over the entire studied period of time, i.e., 15 releases, approximately two years. The results show that, over the 15 releases, 4111 changed features among the 17448 features that were changed evolved in all three spaces. We can see that half of features (49.94%) evolved in a single space during that time.

Given our results, we can answer our third research question, RQ3: To what extent do artefacts in the different variability spaces co-evolve during the evolution of features?

In a given release, a majority of features (79.96%) evolve without any co-evolution in the different variability spaces, their evolution occurs within a single space. The percentage of feature evolution performed by modification of multiple spaces is low (less than 25%) but remains relatively constant over the studied period of time.

Over a longer period of time, more features will evolve in multiple spaces (50% after 15 releases).

Spaces	Count	Ratio (%)
Number of timelines	17448	100.00
V.M. only	1856	10.64
Mapping only	23	0.13
Source only	6835	39.17
<i>Single space</i>	<i>8714</i>	<i>49.94</i>
V.M. & mapping	214	1.23
V.M & source	4185	23.99
source & mapping	224	1.28
<i>Two spaces</i>	<i>4623</i>	<i>26.49</i>
All spaces	4111	23.56

Table 11 Co-evolution of edited features aggregated by feature, over the entire studied period of time. Values in italics are computed, while values in regular fonts are obtained using Neo4j queries.

7.3 Results: Co-evolution Authorship

Table 12 shows the spaces affected by authors commits in the Linux kernel. For each release, it presents the number of authors and the number of authors whose commits affected the different combinations of spaces. In release 3.18, among the 1507 authors, 12 committed changes modifying only the mapping space. In that same release, the number of authors whose changes modified only a single space is 1134, representing 78.9% of all authors.

The last two columns of the table show the average and median number of authors and the spaces they affected, with the aggregated values per spaces, over the studied period of time. We can see in the last column that the median number of authors in the studied releases is 1495, and the median number of authors who modified all spaces is 161, representing 10.98% of the authors.

Regarding authorship of the different spaces, we can see that a majority of developers, over the course of a release, modified only the implementation space. In this context, this means that they touched the implementation of a feature (mapped artefact) or a code block (`#ifdef` block). Our results show that on average, over the studied period of time, this is true for 77.24% of authors. When authors touch multiple spaces, they are less likely to modify only the variability model and the mapping (0.09% of authors on average) than other combinations of spaces. Finally, between 10.2% and 13.06% of authors perform modifications spreading across all three spaces. We can see from Table 12 that this percentage varies very little over the studied period of time.

Table 13 present the authorship of variability spaces aggregated over the 15 releases we studied. The table shows that 17.47% of the 6645 authors we identified changed features by editing all three variability spaces. Over the studied period of time, 72.47% of authors touched only a space, and a majority (71.33%) of authors focused solely on the source code.

With those results, RQ4: To what extent are developers facing co-evolution over the course of a release?

On a given release, only a minority (less than 25%) of developers will make changes to multiple spaces. The percentage of commit authors dealing with co-evolution is thus low, but stable over time. A majority of authors (approximately

Release	3.10	3.11	3.12	3.13	3.14	3.15	3.16	3.17	3.18
Number of authors	1433	1304	1362	1400	1481	1535	1513	1461	1507
V.M. only	8	6	7	10	12	11	7	5	6
Mapping only	9	7	8	5	7	18	8	21	12
Source only	1064	960	1071	1043	1101	1152	1163	1069	1116
<i>Single space</i>	<i>1081</i>	<i>973</i>	<i>1086</i>	<i>1058</i>	<i>1120</i>	<i>1181</i>	<i>1178</i>	<i>1095</i>	<i>1134</i>
<i>Single space (%)</i>	<i>77.55</i>	<i>77.04</i>	<i>80.03</i>	<i>78.60</i>	<i>77.99</i>	<i>79.58</i>	<i>80.03</i>	<i>77.66</i>	<i>78.59</i>
V.M. & mapping	2	0	2	1	0	0	0	1	4
V.M & source	77	63	78	83	81	63	70	84	75
source & mapping	64	62	52	61	74	77	73	63	70
<i>Two spaces</i>	<i>143</i>	<i>125</i>	<i>132</i>	<i>145</i>	<i>155</i>	<i>140</i>	<i>143</i>	<i>148</i>	<i>149</i>
<i>Two spaces (%)</i>	<i>10.26</i>	<i>9.90</i>	<i>9.73</i>	<i>10.77</i>	<i>10.79</i>	<i>9.43</i>	<i>9.71</i>	<i>10.50</i>	<i>10.33</i>
All spaces	170	165	139	143	161	163	151	167	160
<i>All spaces (%)</i>	<i>12.20</i>	<i>13.06</i>	<i>10.24</i>	<i>10.62</i>	<i>11.21</i>	<i>10.98</i>	<i>10.26</i>	<i>11.84</i>	<i>11.09</i>

Release	3.19	4.0	4.1	4.2	4.3	4.4	Average	Median
Number of authors	1495	1495	1576	1630	1607	1636	1495.67	1495.00
V.M. only	20	6	12	6	7	11	8,93 (0.62%)	7,00 (0.52%)
Mapping only	15	15	14	21	16	17	12,87 (0.88%)	14,00 (0.92%)
Source only	1085	1121	1181	1195	1251	1210	1118,6 (77.24%)	1116,00 (77.49%)
<i>Single space</i>	<i>1120</i>	<i>1142</i>	<i>1207</i>	<i>1222</i>	<i>1274</i>	<i>1238</i>	<i>1140.60</i>	<i>1134.00</i>
<i>Single space (%)</i>	<i>77.78</i>	<i>79.75</i>	<i>79.56</i>	<i>77.44</i>	<i>80.08</i>	<i>79.31</i>	<i>78.73</i>	<i>78.6</i>
V.M. & mapping	1	2	3	1	1	2	1,33 (0.09%)	1,00 (0.07%)
V.M & source	82	73	74	78	75	86	76,13 (5.27%)	77,00 (4.85%)
source & mapping	80	56	79	85	71	66	68,87 (4.75%)	70,00 (4.85%)
<i>Two spaces</i>	<i>163</i>	<i>131</i>	<i>156</i>	<i>164</i>	<i>147</i>	<i>154</i>	<i>146.33</i>	<i>147</i>
<i>Two spaces (%)</i>	<i>11.32</i>	<i>9.15</i>	<i>10.28</i>	<i>10.39</i>	<i>9.24</i>	<i>9.87</i>	<i>10.11</i>	<i>10.26</i>
All spaces	157	159	154	192	170	169	161.33	161.00
<i>All spaces (%)</i>	<i>10.90</i>	<i>11.10</i>	<i>10.15</i>	<i>12.17</i>	<i>10.69</i>	<i>10.83</i>	<i>11.16</i>	<i>10.98</i>

Table 12 Authorship of variability spaces over time. Values in italics are computed, while values in regular fonts are obtained using Neo4j queries

Spaces	Count	Ratio (%)
Authors	6645	100.00
V.M. only	28	0.42
Mapping only	48	0.72
Source only	4740	71.33
<i>Single space</i>	<i>4816</i>	<i>72.47</i>
V.M. & mapping	4	0,06
V.M & source	316	4.76
source & mapping	318	4.79
<i>Two spaces</i>	<i>638</i>	<i>9.60</i>
All spaces	1191	17.92

Table 13 Authorship of variability spaces, aggregated by author over the entire studied period of time. Values in italics are computed, while values in regular fonts are obtained using Neo4j queries.

75% in each release, and approximately 71% over time) will focus only on source code.

7.4 On Co-evolution in Linux

In our experiments, we extracted feature-related changes from release v3.10 (June 2013) until release v4.4.(January 2016). The development of the kernel started much earlier

than the first release studied in this work. Development practices in the Linux kernel are well documented and the development process can be considered as very mature. What we observe are changes occurring in a stream-lined development process. This in itself might explain the regularity in the data we gathered in terms of co-evolution and authors edits in various spaces. This regularity suggests that occurrences of co-evolution in feature evolution, or author experience of co-evolution will remain the same until the next upheaval of the development process or of the system’s architecture.

With this in mind, we note that most developers did not perform changes in multiple spaces. Over time, a majority (71.33%) of developers only modified the implementation space. This is visible in our results, both when describing author’s contributions to individual releases, and their contribution over the studied period of time. However, this does not mean that developers cannot introduce dead code blocks or false optional blocks in the implementation. Valid changes to the implementation do require some knowledge on feature support in all spaces. Developers still benefit from tools focusing on validation of the consistency of features across spaces. Such tools, such as KbuildMiner [Nadi and Holt, 2012], TypeChef [Kenner et al., 2010], or Undertaker [Tartler et al., 2009], usually require the extraction of variability information from all variability spaces. Then they aggregate the information validate their consistency. If, as shown by our results, in most cases the VM and the mapping remain untouched, the information required from those artefacts to run consistency checks can be cached. According to our results, on a given release, more than 75% of authors could use this cached information - making cross-space variability more efficient. This would reduce the cost of variability consistency checks across spaces. Yet, for more complex change scenarios, a thorough and complete analysis is still required.

8 Threats to Validity

We present in this section the threats to validity of the two parts of our study: the change extraction process from developers’ commits, and our exploratory study of co-evolution in the Linux kernel.

8.1 Threats to Validity: Feature-Oriented Change Extraction

Let us first discuss the limitations and threats to the validity of the FEVER change extraction process.

Limitations. Our evaluation shows that FEVER captures feature-related changes with a relatively high accuracy (87,2% of commits extracted completely correctly). However, FEVER does not capture all feature related information in all artefacts. Because FEVER operates on a file-basis, with a text-based parser, certain constructs in the variability model or the mapping are not captured. The limitations of FEVER for each space are mentioned in the relevant sub-sections of Section 3.

Internal validity. To extract and analyze feature-related changes, FEVER uses model-based differencing techniques. We first rebuild a model of each artefact, and then perform a comparison. The construction of the model relies on heuristics, which themselves work based on assumptions on the structure of the touched artefacts - whether they be code, models, or mappings. For this reason, information might be lost in the process. To guarantee that the data extracted by FEVER do match what can

be observed in commits, we performed a manual evaluation, covering change attributes our approach currently consider. The evaluation showed that a large majority of the changes are captured accurately, with a precision and recall of at least 80%. This gives us confidence in the reliability of the data.

Using manual analysis for validation purposes is inherently fault prone. The difference in populations of changes observed between the initial and enhanced versions of the tool does highlight this. For this evaluation, the manual review of commits was performed twice - for the entire dataset, leaving a small time gap (between 2 days and a week) between the two evaluation rounds. While the errors identified in the initial evaluation lead to a significant update for some change attributes (namely “added feature references” in the code), evaluation errors occurred in less than 5% of the commits.

The evaluation of the new FEVER heuristics, compared to its previous version, highlights significant improvement of accuracy on specific change attributes. In particular the capture of code block changes with preserved code block improved from a precision of 32% to 93.3%. Moreover, the added change attributes (namely artefact changes, additional artefact type, and **TimeLine** relationships to **FeatureEdit**) were captured with a good precision and recall (at least 80%).

Because the FEVER approach is based on heuristics, it is neither sound nor complete. But for more than 80% of the extracted commits, the data does reflect changes performed by developers. While this may be a limitation when searching for very specific changes, with specific change attributes, overall trends and statistics done over the course of a release reflect developer’s activities on features in the Linux kernel with sufficient accuracy to draw conclusions from it.

External validity. We devised our prototype to extract changes from a single large scale highly variable system, namely the Linux kernel. In that sense, our study is tied to the technologies that are used to implement this system: the Kconfig language, the Makefile system and the usage of code macros to support fine-grained variability. The models used for comparison do contain attributes that are very tightly related to the technology used in the Linux kernel. However, there are several other systems using those very same technologies, such as aXTLS¹⁵ and uClibc¹⁶, on which our prototype - and thus our approach - would be directly applicable.

For other types of systems, one would need to adapt the model reconstruction phases depending on the system under study. If we consider another operating system such as eCos¹⁷, one would need to rebuild the same change model from features described in the CDL language¹⁸ instead of Kconfig. Concretely, this amounts to creating a CDL parser capable to build the same EMF variability model representation used in this work to initiate the comparison process. Attributes such as default value, select, or visibility would be relevant, and the “select” attribute can simply be left empty. A similar effort would be necessary to consider systems using the Gradle build system¹⁹, rather than the Make system. However, the change model, based on an abstract representation of feature changes, should be sufficient to describe the evolution of highly variable systems, regardless of the implementation technology. Moreover, our work

¹⁵ aXTLS: <http://axtls.sourceforge.net/index.htm>

¹⁶ uClibc: <https://uclibc.org/>

¹⁷ eCos: <http://ecos.sourceforge.org/>

¹⁸ CDL : <http://ecos.sourceforge.org/ecos/docs-3.0/cdl-guide/reference.html>

¹⁹ Gradle: <https://gradle.org/>

shows that model-based differencing is a suitable approach to extract feature related changes from heterogeneous artefacts in large scale systems.

Our work focuses on build-time variability, constructed around the build system and an annotative approach to fine-grained variability implementation (`#ifdef` statements). While we believe that the change model may be useful to describe runtime variability, the extraction process is not suitable to extract feature mappings from the implementation itself at this time. We cannot extend this work to runtime variability analysis without further study.

8.2 Threats to Validity: Co-evolution of Artefacts in the Linux Kernel

We now consider the threats to the validity of our study of co-evolution of artefacts in feature evolution and authorship.

Internal validity. As mentioned in the previous section, the author names used in this experiment do contain aliases. A potential side effect is that more developers many in practice perform changes to multiple spaces and this might not be reported in our results. However, our manual analysis on a single release revealed that few names (less than 5%) could be identified as aliases. A more in-depth study might identify more aliases, but the manual analysis we did covered most name variations taken into account in studies focusing on such problems [Kouters et al., 2012]. The remaining variations were not considered as they did not occur in our sample. Because the number of aliases we found was small, and the percentage of developers not experiencing co-evolution is very high, we do not think that the presence of aliases would lead to a very different conclusion.

As mentioned in the previous sections, the FEVER approach is not exact. As a result, we can expect the actual co-evolution of artefacts and the ratio of developers dealing with co-evolution challenges to be slightly different from what is reported in this paper. However, our conclusions rely on significant trends observed over time (70% of features evolved only through their implementation) and over a long period of time (15 releases). Therefore, we argue that our conclusions hold despite the lack of exactness of the FEVER prototype.

External validity. The Linux kernel has been under development for more than two decades. This system is mature and has a well defined development process. This is observable in the regularity of our results over the studied time period. For less mature systems, one could expect feature-oriented co-evolution of artefacts to be more prominent. This could be confirmed by applying the FEVER approach to the first releases of the Linux development or running a case-study on a newer system. Moreover, the ratio of co-evolution of artefacts for evolving features or the ratio of developers dealing with co-evolution in other systems may differ from what we observed in the Linux kernel. Nonetheless, we argue that our results are representative of co-evolution for a long-lived highly variable system developed by a large team (more than a thousand developers).

9 Related Work

Variability implementation in highly-configurable systems has been extensively studied in the past [Thüm et al., 2014]. Our approach relies on extraction and consolidation

of variability evolution across the different variability spaces. While many approaches can be found to analyze features in each individual space, few focus on their detailed evolution or the consolidation of such changes.

The evolution of variability models was studied in the past as a mean to obtain insights on the evolution of the system as a whole [Lotufo et al., 2010], or manage the impact of changes to the system’s capabilities [Heider et al., 2012; Dintzner et al., 2015b]. In our previous work [Dintzner et al., 2015a], we introduced FMDiff, an approach to extract feature model changes, that we reuse for the approach presented in this paper.

To capture the evolution of features, we need to track the evolution of their mapping. Studies focusing on co-evolution of artefacts [Passos et al., 2015; Neves et al., 2015] also place the mapping as a central element in the description of feature evolution. As shown by Adams et al. [Adams et al., 2008] in the Linux kernel, the build system evolves: the size and complexity of the build scripts increase over time, thus highlighting the relevance of build system evolution in the overall evolution of such highly configurable system.

Several studies present methods to extract variability information from build systems (Makefiles) [Dietrich et al., 2012a; Zhou et al., 2015; Nadi et al., 2014]. Such approaches are designed to study the current state of the system, and rely on a complete description of the system. In this study, we took a different approach: FEVER focuses on changes performed on individual changed files. We developed a custom Makefile parser allowing us to extract information relying on modified artefacts only. Similarly to Nadi et al. [Nadi et al., 2014] and Dietrich et al. [Dietrich et al., 2012a] we rely on parsing rather than symbolic execution as was done by Zhou et al. [Zhou et al., 2015].

Variability implementations using annotative methods in source files were also studied in the past [Liebig et al., 2013], often for error detection [Kenner et al., 2010; Tartler et al., 2009, 2011]. In this study, we used the approach presented in [Liebig et al., 2010] to identify code blocks and their conditions, and we then relied on this representation to build a model of implementation assets.

The variability model of a system, the mapping between features and assets, and variability support inside the implementation can all be supported by different technologies. In the eCos environment,²⁰ assets associated with features are directly included in the variability modeling language (CDL) specification. The Puppet²¹ infrastructure offers a practical way of decoupling configuration and implementation [Sharma et al., 2016]. Variability support at an implementation level can also be performed in a number of ways [Kästner and Apel, 2008]. The FEVER approach does not encompass of possible ways of supporting variability in software system. However, this indicates that FEVER could be extended to be applied to a wide range of systems.

Only few studies focused on the co-evolution of artefacts in all three variability spaces: variability model (VM), mapping, and implementation. Neves et al. [Neves et al., 2015] describe the core elements involved in feature changes (VM, mapping, and assets). A collection of 23 co-evolution patterns is presented by Passos et al. [Passos et al., 2015]. Each pattern describes a combination of changes that occur in the three variability spaces. These papers aimed at identifying common change operations and relied on manual analysis of commits. In this work, we relied on such change descriptions

²⁰ CDL Language: <http://ecos.sourceforge.org/docs-1.3.1/cdl/language.properties.html>

²¹ Puppet : <https://puppet.com/>

to design the FEVER change meta-model, and we focused on how to extract such changes automatically.

Change consolidation across heterogeneous artefacts has been a long standing challenge. For instance, Begel et al. proposed a large database aggregating code level information, people, and work items [Begel et al., 2010]. We take a different approach, and propose to extract more detailed information focusing on implementation artefacts only. Recently, Passos et al. created a database of feature addition and removal [Passos and Czarnecki, 2014] in the Linux kernel. We extend this work by extracting detailed changes on *all* commits and provide such descriptions on *all* types of artefacts. The FEVER dataset is, to the best of our knowledge, the first dataset providing a consolidated view of complex feature changes across the variability, mapping, and implementation space.

10 Conclusion and Research Directions

In this paper, we presented FEVER, an approach to automatically extract and build a feature-centered representation of changes in commits affecting the implementation of features in highly variable software systems. FEVER retrieves commits from versioning systems and, using model-based differencing, extracts detailed information on the changes, to finally combine them into feature-oriented changes. We applied this approach to the Linux kernel and used the constructed dataset to evaluate its accuracy in terms of complex change representation. We showed that we were able to accurately extract and integrate changes from various artefacts in 87.2% of the studied commits.

Our exploratory study of co-evolution in the Linux kernel showed that co-evolution of artefacts during feature evolution does occur, but, over a single release, most features only evolve through their implementation. A majority of developers focus only on the feature implementation and, over the course of a release, only few modify variability spaces beyond the implementation. We also found that, while co-evolution of artefacts occurs in every release, they account for less than 22% of feature evolution scenarios, and only 11% of authors will modify all variability spaces over the course of a release, but over time, 69,51% of authors will only modify the implementation of features without affecting the variability model or feature-asset mapping.

Through this work we make the following key contributions:

- a model-based approach to extract and consolidate feature changes across variability spaces
- an model of feature-oriented changes, focusing on the co-evolution of artefacts in different variability spaces during feature evolution
- an evaluation of the FEVER prototype implementation, as well as a evaluation of the improvement with respect to its previous installment
- a quantitative study describing the frequency of artefact co-evolution in the context of feature changes from a feature perspective, and authorship perspective
- several examples demonstrating the potential usage and value of the data gathered by such an approach for developers and researchers working on configurable software systems
- an implementation of FEVER as well as the full dataset, available for download²²

²² <http://swerl.tudelft.nl/bin/view/NicolasDintzner/WebHome>

There several ways in which the FEVER approach and its evaluation can be further enhanced in the future. First, let us consider potential improvement regarding the approach itself. At a variability model level, one could consider extracting semantic changes rather than syntactic changes as suggested by the work of Rothberg et al. [Rothberg et al., 2016]. Efficient semantic differencing on a variability model as large as the Linux kernel V.M. is a challenging task. Moreover, given the potential size of a configuration of the Linux kernel, i.e., thousands of features, one would have to consider how to present this information in a way that can be useful to a human developer, making this an interesting research challenge. Regarding mapping changes, the current FEVER approach captures only change information contained within changed Makefiles. A more precise approach would be to capture the exact presence condition of assets, rather than the main features participating in that condition. Changes in the presence conditions will require a computationally intensive process, and the output might be difficult to interpret by a human. This is a direction we did not explore so far, but would be valuable to obtain a more sound and complete view on co-evolution changes in highly variable systems. On a source code level, FEVER does not consider file dependencies. A change to an `#include` statement could be a sign of changes in the relationships between features implemented within those files. Such changes are not necessarily represented in the mapping nor the variability model. A potential improvement of the FEVER approach would consist in taking into account file dependencies, and identify the nature of the symbols tying those files (functions, variable, type definitions, and so on).

To further evaluate the capabilities of the FEVER approach, we intend to apply FEVER to other systems. Candidates for such work would be systems relying on different technologies for variability model description and feature-asset mappings. The improved FEVER change meta-model and algorithm, as well as our observation on co-evolution open new exciting research directions. The information captured by FEVER on changed features could prove to be useful in the domain of test case selection for highly configurable systems. Combining the work of Vidacs et al. [Vidacs et al., 2015] on test selection in highly configurable software based on configuration and code coverage, and the work on of Soetens et al. [Soetens et al., 2016] on change-based test selection supported by FEVER data could lead to the discovery of efficient new techniques to support testing in the context of highly variable software.

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