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DOI

[10.1061/\(ASCE\)WR.1943-5452.0001123](https://doi.org/10.1061/(ASCE)WR.1943-5452.0001123)

Publication date

2019

Document Version

Accepted author manuscript

Published in

Journal of Water Resources Planning and Management

Citation (APA)

Abdallah, M., & Kapelan, Z. (2019). Fast Pump Scheduling Method for Optimum Energy Cost and Water Quality in Water Distribution Networks with Fixed and Variable Speed Pumps. *Journal of Water Resources Planning and Management*, 145(12), Article 04019055. [https://doi.org/10.1061/\(ASCE\)WR.1943-5452.0001123](https://doi.org/10.1061/(ASCE)WR.1943-5452.0001123)

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1 Fast Pump Scheduling Method for Optimum Energy Cost and Water Quality in 2 Water Distribution Networks with Fixed and Variable Speed Pumps

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15 **Abstract**

16 Supplying high quality water at competitive cost is a major challenge for water utilities worldwide,
17 especially with ever increasing water quality standards and energy prices. A number of pump
18 scheduling methods for optimising simultaneously water quality and energy cost have been developed
19 already. However, none of these methods is ideal due to the complexity of water networks and the
20 nonlinear behaviour of water flow. In this research, a new optimisation method named iterative
21 Extended Lexicographic Goal Programming (iELGP) is developed to optimize energy cost and water
22 quality (residual chlorine) in water networks with a mixture of fixed speed pumps (FSPs) and variable
23 speed pumps (VSPs). Two different approaches were used to indirectly improve chlorine. The new
24 method was tested on the C-Town water network and compared with the graph theory method of
25 Price and Ostfeld (2016). The results obtained show the ability of the iELGP method to optimize
26 energy cost and water quality in water networks and in a computationally very efficient manner. They

27 also show that the iELGP method can identify lower energy cost pump schedules and do this faster
28 than the above comparison method. Using VSPs instead of FSPs improves the water quality and
29 decreases the related energy and maintenance cost in water networks.

30

31 **Keywords**

32 Pump scheduling, Goal Programming, Energy Cost, Water Quality, Variable Speed Pumps.

33 **Introduction**

34 A recent comprehensive literature review of more than 200 publications on pump scheduling (Mala-
35 Jetmarova et al 2017) has concluded that “water distribution operational optimisation problems are far
36 from being solved, despite the large body of literature on this subject published over the last 20-30
37 years.” This is because the truly holistic pump scheduling problem formulation that addresses all
38 relevant issues related to water flow, quality, operational risks and costs of energy and power used is
39 currently missing. Additionally, there is still no agreement on the unique best optimisation method that
40 gives global optimum solution in a short computational time for a general water distribution network.

41 Simultaneous optimisation of energy cost and water quality in water networks is important to ensure
42 that energy cost is minimized without worsening the water quality. Several attempts to achieve this
43 have been made in the past. Mehrez et al. (1992), Ostfeld and Shamir (1993a), Ostfeld and Shamir
44 (1993b) and Percia et al. (1997) all used Non-Linear Programming (NLP) to minimize energy cost
45 with water quality substances at demand nodes being constrained (or penalized in the objective
46 function). However, all these approaches were made for conservative water quality substances that do
47 not decay, hence these approaches cannot be used for optimization of chlorine concentration in water
48 networks.

49 Goldman and Mays (1999) and Sakarya and Mays (1999) linked the hydraulic and water quality
50 simulator EPANET with Simulated Annealing (SA) and NLP optimisation methods; respectively, to
51 minimize pumping energy cost whilst constraining chlorine concentrations at demand nodes. Both

52 methods were applied on the same case studies and their results were compared. Both methods needed
53 to be run multiple times with different values for optimisation parameters to ensure optimality of the
54 solution.

55 Biscos et al. (2002) and Biscos et al. (2003) used Mixed Integer Non-Linear Programming (MINLP)
56 to minimize energy cost and to maintain the required chlorine concentration at demand nodes.
57 However, the method required the network model to be simplified and could result in practically
58 infeasible solutions.

59 Genetic Algorithms (GA) was used in multiple approaches to optimize energy cost and chlorine in
60 water networks (Ostfeld and Salomons 2006; Gibbs et al. 2010a). Murphy et al. (2007) used GA to
61 minimize energy cost and water age, which is inversely proportional to chlorine in water network.

62 However, GA, used in all these approaches, is a computationally expensive optimisation method.

63 Artificial Neural Networks (ANN) were used to address this issue (see e.g. Broad et al. 2010) but the
64 downside of this is that ANN needs to be trained prior to optimisation which requires substantial
65 computational time as well. Also, the ANN based approach may still give inaccurate or suboptimal
66 solutions due to ANN's inability to act as a perfect surrogate model.

67 Kurek and Ostfeld (2014) used the Strength Pareto Evolutionary Algorithm II (SPEA2) multi-
68 objective optimisation method to optimize chlorine, water age, tank sizing cost, and pumping energy
69 cost in water distribution networks that have VSPs. Authors claim that generating a Pareto set with
70 pump relevant schedules for 24 hours took approximately 4 hours for EPANET Example 3 network
71 (USEPA, 2013). Thus, the method cannot be used for real-time control.

72 The use of VSPs instead of FSPs reduces the energy consumption, reduces the leakage, reduces the
73 number of pump switches, and provides a better control in water distribution networks (Wood and
74 Reddy 1995; Lamaddalena and Khila 2012). Despite of these potential benefits of VSPs, many
75 existing pump scheduling methods including some recent ones (Giacomello et al. 2013; Odan et al.
76 2015) did not consider the VSPs, most likely because this increases the complexity of the pump

77 scheduling problem. Having said this, a number of papers did consider scheduling the operation of
78 VSPs.

79 Several attempts to schedule the operation of VSPs relies on problem decomposition which could
80 result in suboptimal solution. Coulbeck et al. (1988a) and Coulbeck et al. (1988b) solved the problem
81 by decomposing it into three levels. The upper level finds optimum tanks' trajectories, then the
82 intermediate level finds optimum flow from each pumping station, and finally the lower level finds
83 the optimum operation of pumps in each pumping station. Ulanicki et al. (2007) solved the problem in
84 two levels. The first level treats the number of pumps switched on during a time step as continuous
85 decision variable (i.e. allowing fraction of pump to start during a time step), then in the second level,
86 Branch and Bound method is used to find optimum integer number of running pumps and their speeds
87 during each time step. Pump scheduling method should directly solve for the speed of each pump
88 during each time step to ensure optimality of the solution.

89 Some of the previous attempts to optimise VSPs depended on discretisation of the VSP speed (Chen
90 and Coulbeck 1991, Ulanicki et al. 1993; Pezeshk and Helweg 1996, Moreira and Ramos 2013).
91 However, discretisation increases number of decision variables, computation time, and leads to
92 suboptimal solution.

93 Several existing pump scheduling methods used metaheuristic methods like GA (Lingireddy and
94 Wood 1998; Kelner and Leonard 2003; Wu 2007; Wu and Zhu 2009; Selek et al. 2012), Particle
95 Swarm (Wegley et al. 2000), Ant Colony (Hashemi et al. 2013) to optimize the operation of VSPs. In
96 Rao and Salomons (2007), ANN are used in conjunction with GA to reduce the computational time
97 for hydraulic calculations. As mentioned previously, metaheuristics and ANN are time expensive and
98 might give suboptimal solutions.

99 Verleye and Aghezzaf (2015) used Generalized Bender Decomposition Algorithm to schedule the
100 operation of VSPs. The method gives optimal solution for large water networks, however the authors
101 claim that the method needs to be carefully constructed and it includes parameters that need to be

102 tunned, otherwise the method will be computationally intensive and give suboptimal solutions. Thus,
103 the method is not fully automated and requires preparatory work prior optimisation.

104 Several existing pump scheduling methods assumed constant efficeincy of VSPs, regardless of the
105 speed, for the sake of simplicity (Chen and Coulbeck 1991; Kurek and Ostfeld 2013). However,
106 efficiency of VSP changes with speed and flow (Morton 1975; Sárbu and Borza 1998). If true
107 efficiency is not used, then the calculated power for a VSP running at low speed will be lower than
108 the actual power used resulting in inaccurate energy cost estimate and hence suboptimal solution
109 identified.

110 The initial development of the new iELGP pump scheduling methodology presented in this paper is
111 available in Abdallah and Kapelan (2017). The main objective of the initial development was to
112 minimize the energy cost of FSPs in a computationally efficient manner, for water distribution
113 networks with multiple tanks and pumping stations. In this research, the iELGP pump scheduling
114 method is further extended to optimize the operation of VSPs, to improve the water quality (chlorine)
115 in water networks and to overcome multiple deficiencies of exiting scheduling approaches (mentioned
116 in above paragraphs). Indeed, unlike in most existing pump scheduling approaches, the new iELGP
117 pump scheduling methodology proposed here can schedule simulateneously both fixed and variable
118 speed pumps (with both being modelled using true pump efficiency) whilst addressing energy cost
119 and water quality issues in a general water distirbution system. The methodology is based on a
120 computationally fast iELGP optimisation method which makes use of linearised energy cost and other
121 equations and continuous decision variables to present pump schedules and speeds. This method also
122 does not have parameters to calibrate hence overcoming the related difficulties with GA and many
123 other heuristic optimisation methods developed over the years. Despite this, as it will be illustrated in
124 the case study, the new methodology is capable of identifying near optimal solutions.

125 This paper is organized as follows. First, the problem and the assumptions used to solve the problem
126 are mentioned. Then, the paper presents in detail iELGP method and the solution steps for the
127 problem. Then, the method is applied on a water network that was used to test another pump
128 scheduling method, and the results obtained from iELGP method and the other method are compared

129 and discussed intensively. Finally, the key findings are summerized and the future recommendations
130 are mentioned.

131 **Methodology**

132 ***Pump Scheduling Problem and Assumptions***

133 The pump scheduling problem is formulated and solved here as an optimisation problem driven by the
134 minimization of pumping energy cost whilst indirectly improving the residual chlorine in the network
135 (details below). This problem is subjected to a number of constraints shown below.

136 Pump scheduling problem is an NP-hard problem due to its non-linearity and non-convexity
137 (D'Ambrosio et al. 2015, Verleye and Aghezzaf 2015). The non-linearity is due to the non-linear
138 relationship of pump's head with respect to flow, the non-linear relation between head loss and flow
139 in pipes and the non-linear water quality changes in the system, due to nonlinearity of reactions and
140 water mixing inside pipes and tanks. The non-convexity in pump scheduling problem comes from the
141 changing flow paths in pipes and tanks, different discrete choices of pumps to run at a given time of
142 the day and the nonlinearity of the scheduling problem which is present in both optimisation
143 objectives and constraints. In addition to above, water quality simulation typically requires a short
144 time step (e.g. 5 minutes) and long time horizons, to reach periodic behaviour.

145 All of the above makes the pump scheduling problem addressed here computationally expensive,
146 especially for larger real life networks. Given this, the pump scheduling problem is relaxed here using
147 the following assumptions:

- 148 1. The optimisation period is divided into time steps of fixed length. During each time step,
149 demand is assumed to be known and fixed. Pumps' operating points during each time step are
150 also fixed and will be determined by the optimisation method. These assumptions were used
151 in the initial development of iELGP method in Abdallah and Kapelan (2017) and in most
152 pump scheduling methods available in literature.
- 153 2. VSPs are allowed to run at specific relative speeds (defined as fractions of the maximum
154 speed) ranging between 0.7 and 1.0. This is done for the following reasons: (a) VSP relative

155 efficiency (efficiency at actual speed over efficiency at maximum speed) is high i.e. almost
156 equal to 1 in this range (Marchi et al. 2012; Coelho and Andrade-Campos 2016); (b) the
157 efficiency of Variable Frequency Drive (VFD), the most common technology used to vary the
158 speed of pump's motor, is usually between 95% and 98% in the aforementioned range of
159 relative speeds and it drops significantly at lower speed (Ulanicki, et al. 2008). Additionally,
160 motor's efficiency increases with the increase in its load and most motors reach their
161 maximum efficiency when their load is above 75% of their rated load (Kaya et al. 2008;
162 Marchi and Simpson 2013; Kalaiselvan et al. 2016). Please note that there are other energy
163 losses that varies with speed such as pump-motor vibrations (Luo et al., 2012), efficiency of
164 pump-motor coupling (e.g. magnetic coupling, oil coupling), efficiency of electric cables
165 (Moreno et al., 2007). However, these energy losses have not been included in the work
166 presented here.

167 Note that constraining the relative speed of VSPs between 0.7 and 1.0 requires VSPs not to be
168 oversized, otherwise running oversized VSPs at high speeds will increase discharge pressure,
169 leakage and energy consumption.

170 3. The minimum required chlorine at demand nodes can be achieved implicitly by decreasing
171 tanks' maximum water level (Kennedy, et al. 1993; Oslon and Deboer 2011; Price and
172 Ostfeld 2016). This prevents storing big amounts of water for long time and keeps water
173 fresh. However, doing so might decrease the pressure at demand nodes. Additionally, it is not
174 a good choice for emergency or maintenance cases when tanks are needed to recover water
175 shortage in the network. Given this, alternative approach is used here (to have the minimum
176 required chlorine at demand nodes) which is to keep tank's storage capacity as it is and to
177 minimize the inlet/outlet flow. This, in turn, enables providing sufficient water in tanks for
178 emergency cases and, at the same time, chlorine concentration in the network is improved.

179 Note that tank's inlet/outlet flow is minimized to a rate that doesn't worsen the chlorine level
180 in the tanks themselves. Note also that both approaches do not take chlorine at demand nodes
181 into account during the optimisation. Instead, chlorine at demand nodes is evaluated using the
182 water quality simulator in the post processing phase of the optimisation.

183 The above two approaches are used here to shed the light on pump scheduling as an important
 184 tool not only to reduce energy cost but also to improve water quality without the need to add
 185 chlorine boosters or increase chlorine dosing set-points. These approaches might be of interest
 186 for water utilities, and could draw their attention to the decay in water quality caused by
 187 excessive use of tanks. Additionally, our approach allows to improve water quality in a fast
 188 manner without dealing with the nonlinear water quality equations.

189 The aforementioned two-objective pump scheduling is solved here by using iELGP method, a variant
 190 of goal programming (GP) method that was introduced by Romero (2001). The iELGP is a promising
 191 new method that has already shown great potential for solving a more conventional pump scheduling
 192 focused on energy minimisation only (Abdallah and Kapelan 2017).

193 In iELGP, each goal (i.e. objective) must be a linear function of decision variables. In addition, each
 194 objective is given a target and the deviation between the value of the objective and its target is then
 195 minimized. Therefore, the aforementioned two objectives are combined into the following single
 196 objective function:

$$197 \text{ Minimize } PEC_i + w \cdot \sum_{z=1}^Z \sum_{t=1}^T (PVC_{z,t,i} + NVC_{z,t,i}) \quad \forall i \in I \quad \text{Eq. (1)}$$

198 where PEC_i = positive deviation variable for energy cost at iteration i (£); $PVC_{z,t,i}$ = positive
 199 deviation variable for water volume change in tank z (m^3); $NVC_{z,t,i}$ = negative deviation variable for
 200 water volume change in tank z (m^3); w = weighting factor; i = iELGP iteration index; I = total number
 201 of iterations; z = tank index; Z = total number of tanks; t = time step index; and T = total number of
 202 time steps. Note that in each time step one of the deviation variables $PVC_{z,t,i}$ and $NVC_{z,t,i}$ is equal to
 203 or greater than zero and the other one is equal to zero due to the nature of GP.

204 The positive deviation variable for energy cost is defined as follows:

$$205 PEC_i = EC_i - ECT \quad \forall i \in I \quad \text{Eq. (2)}$$

206 where EC_i = energy cost at iteration i (£); and ECT = energy cost target (£). The energy cost target
 207 ECT is an ideal, optimistic value that cannot be reached in real life. Thus, the achieved energy cost
 208 EC_i will always positively deviate from the energy cost target ECT by an amount equal to PEC_i . ECT
 209 is estimated initially as described in the next section.

210 Further, energy cost for pumps (VSPs and FSPs) is calculated as follows:

$$211 \quad EC_i = \sum_{t=1}^T \left(\left(\sum_{v=1}^V P_{v,t,i}^{Actual} + \sum_{f=1}^F P_{f,t,i} \cdot x_{f,t,i} \right) \cdot E_t \cdot S_t \right) \quad \forall i \in I \quad \text{Eq. (3)}$$

212 Where $P_{v,t,i}^{Actual}$ = VSP power at actual speed; v = VSP index; V = total number of VSPs; $P_{f,t,i}$ = FSP
 213 power; $x_{f,t,i}$ = decision variable denoting pump f status; f = FSP index; F = total number of FSPs; E_t
 214 = cost of electricity for given time step t (£/KWh); and S_t = time step length (hr).

215 Affinity Laws provide a good approximation for VSPs power when they are run at high speeds
 216 (Simpson and Marchi 2013). The relative power curve is almost linear for relative speeds between 0.7
 217 and 1.0 (Coelho and Andrade-Campos 2016) hence it is possible to fit the following regression line:

$$218 \quad P_{v,t,i}^{Actual} = (s \cdot x_{v,t,i} - y \cdot b_{v,t,i}) \cdot P_{v,t,i}^{Maximum} \quad \forall v \in V, \forall t \in T, \forall i \in I \quad \text{Eq. (4)}$$

219 where $P_{v,t,i}^{Maximum}$ = VSP power at maximum speed; s = the slope of the regression line which is
 220 equal to 2.1850; $x_{v,t,i}$ = decision variable denoting relative speed of VSP v at time t and iteration i ; y
 221 = the y-intercept of the regression line which is equal to 1.2176; and $b_{v,t,i}$ = binary variable that is
 222 equal to zero when pump is not running and equal to one when pump is running. The fitted regression
 223 line in Eq. (4) has coefficient of determination equals to 0.9899. Note that whilst the values of s and y
 224 are virtually constant for a VSP running at relative speed between 0.7 and 1.0, the same cannot be
 225 claimed for the relative speeds below 0.7.

226 The relative VSP speed is constrained as follows:

$$x_{v,t,i} = \begin{cases} 0, & \text{If pump is not running} \\ 0.7 \leq x_{v,t,i} \leq 1.0, & \text{If pump is running} \end{cases} \quad \forall v \in V, \forall t \in T, \forall i \in I \quad \text{Eq. (5)}$$

The minimum speed can be increased to more than 0.7 in case the pump is under-sized, to avoid getting zero flow.

Branch and bound method (Land and Doig 1960) is used to find the optimum value of $x_{v,t,i}$ during optimisation.

Pump power $P_{v,t,i}^{Actual\ Speed}$ in Eq. (4) should be equal to 0 when pump speed $x_{v,t,i}$ is equal to 0. Thus, the second term in Eq. (4) is multiplied by binary variable $b_{v,t,i}$. The following two constraints are applied with the aim to enforce $b_{v,t,i}$ to be equal to 1 when $x_{v,t,i}$ is between 0.7 and 1.0 and to enforce $b_{v,t,i}$ to be equal to 0 when $x_{v,t,i}$ is equal to 0:

$$b_{v,t,i} \geq x_{v,t,i} \quad \forall v \in V, \quad \forall t \in T, \quad \forall i \in I \quad \text{Eq. (6)}$$

$$s \cdot x_{v,t,i} - y \cdot b_{v,t,i} \geq 0 \quad \forall v \in V, \quad \forall t \in T, \quad \forall i \in I \quad \text{Eq. (7)}$$

The VSP power at maximum speed can be calculated using the following equation:

$$P_{v,t,i}^{Maximum\ Speed} = \frac{\gamma Q_{v,t,i}^{Maximum\ Speed} h_{v,t,i}^{Maximum\ Speed}}{\eta_{v,t,i}^{Maximum\ Speed}} \quad \forall v \in V, \forall t \in T, \forall i \in I \quad \text{Eq. (8)}$$

where γ = specific weight of water (kN/m³); $Q_{v,t,i}^{Maximum\ Speed}$ = flow rate (m³/h) of pump v running at

maximum speed; $h_{v,t,i}^{Maximum\ Speed}$ = head (m) of pump v running at maximum speed; and

$\eta_{v,t,i}^{Maximum\ Speed}$ = efficiency of pump v running at maximum speed. The values of $Q_{v,t,i}^{Maximum\ Speed}$

, $h_{v,t,i}^{Maximum\ Speed}$ and $\eta_{v,t,i}^{Maximum\ Speed}$ will be adjusted after each iteration upon the feedback from

the hydraulic simulator as will be shown in the next section.

For FSPs, the decision variable $x_{f,t,i}$ in Eq. (3) is the fraction of time step during which the pump is

running and it is constrained:

247 $0 \leq x_{f,t,i} \leq 1 \quad \forall f \in F, \quad \forall t \in T, \quad \forall i \in I$ Eq. (9)

248 If $x_{f,t,i}$ is equal to zero, then the pump is off and if $x_{f,t,i}$ is equal to one, then the pump is on for the
 249 full duration of time step t . However, if $x_{f,t,i}$ has a value between zero and one then pump is on from
 250 the beginning of time step t for duration equal to $x_{f,t,i}S_t$ and then it is off until the end of that time
 251 step. Other options like FSP is off in the first part of the time step and then turns on within the same
 252 time step are not considered in our methodology. This is because having the other options would
 253 increase the computational time (due to increase in trials and iterations) without having significant
 254 beneficial effect on the optimality of the solution, especially if the time step length is not long (e.g. 1
 255 hour) which is usually the case.

256 The following equation is used to calculate the FSP power:

257 $P_{f,t,i} = \frac{\gamma Q_{f,t,i} h_{f,t,i}}{\eta_{f,t,i}} \quad \forall f \in F, \quad \forall t \in T, \quad \forall i \in I$ Eq. (10)

258 where $Q_{f,t,i}$ = flow rate (m³/h) of pump f ; $h_{f,t,i}$ = head (m) of pump f ; and $\eta_{f,t,i}$ = efficiency of pump
 259 f . The values of $Q_{f,t,i}$, $h_{f,t,i}$, and $\eta_{f,t,i}$ will be adjusted after each iteration upon the feedback from the
 260 hydraulic simulator as will be shown in the next section.

261 If a group of parallel FSPs exists in a water network and they are all identical then what matters only
 262 is the number of pumps running in each time step (Gleixner, et al. 2012; Menke, et al. 2016; Bonvin,
 263 et al. 2017). Thus, the following constraint is used for each group of identical parallel FSPs:

264 $x_{g,t,i} \geq x_{g+1,t,i} \geq \dots \geq x_{G,t,i} \quad \forall t \in T, \quad \forall i \in I$ Eq. (11)

265 where g = is pump index in a group of parallel FSPs; and G = total number of pumps in a group of
 266 parallel FSPs. If all parallel FSPs in a group are identical then the number of possible solutions
 267 reduces from 2^G to $G + 1$ in each time step; thus reducing computational time.

268 Further, parallel identical VSPs should run at the same relative speed to have the same outlet flow rate
 269 from each pump. This concept is known as load sharing (Jones, et al. 2008) and it reduces the energy
 270 consumption, number of possible solutions and computational time. To enable load sharing concept in

271 the iELGP method, parallel identical VSPs are remodelled into combined pumps. Each combined
 272 pump has head, efficiency, and power curves of certain number of pumps in parallel. For example, if
 273 there is a group of two identical parallel VSPs, then these pumps should be remodelled into the
 274 following two combined pumps: (1) the first combined pump has head, efficiency, and power curves
 275 of one pump and (2) the second combined pump has head, efficiency, and power curves of two pumps
 276 in parallel. Only one combined pump is allowed to start during each time step. Thus, the following
 277 constraint is used for each group of parallel identical VSPs:

$$278 \sum_{cv=1}^{CV} b_{cv,t,i} \leq 1 \quad \forall t \in T, \quad \forall i \in I \quad \text{Eq. (12)}$$

279 where cv = index of combined VSP; and CV = total number of possible VSPs combinations in a group
 280 of parallel identical VSPs.

281 The negative and positive deviation variables for water change in each tank during each time step can
 282 be calculated as follows:

$$283 NVC_{z,t,i} - PVC_{z,t,i} = VCT_z - VC_{z,t,i} \quad \forall z \in Z, \quad \forall t \in T, \quad \forall i \in I \quad \text{Eq. (13)}$$

284 where $VCT_{z,t}$ = water volume change target (m^3) in tank z ; and $VC_{z,t,i}$ = water volume change (m^3) in
 285 tank z .

286 The weighting factor w in Eq. (1) is needed to scale the two objectives (energy cost and water volume
 287 change in tanks) onto the same unit of measurement so they can be added up. The weighting factor is
 288 usually equal to the target value of the objective that is multiplied by the weight factor (Romero
 289 1991), in this case the weight factor is equal to the target value of tanks water volume change VCT_z .
 290 Since VCT_z is required to be an optimistic value, it could be set to zero. However, here, the value of
 291 VCT_z is set to a small amount of $1 m^3$, to avoid multiplication by zero. The weighting factor w can be
 292 set by a pump scheduler (e.g. control room operator) to reflect his/her attitude toward balancing the
 293 two objectives.

294 To reduce the number of variables and to increase the computational efficiency, we related the change
 295 of water volume in tanks to pumps flow and demands. The following equation calculates the water
 296 volume change in each tank during each time step:

$$297 \quad VC_{z,t,i} = \left(\left(\sum_{v=1}^V Q_{v,t,i}^{Max. Speed} \cdot x_{v,t,i} \right) + \left(\sum_{f=1}^F Q_{f,t,i} \cdot x_{f,t,i} \right) - D_{z,t} \right) \cdot S_t \quad \forall z \in Z, \forall t \in T, \forall i \in I \quad \text{Eq. (14)}$$

298 where $D_{z,t}$ = total demand from tank z during time step t (m^3/hr). The first term

299 $Q_{v,t,i}^{Maximum Speed} \cdot x_{v,t,i}$ gives the flow of the VSP at the actual speed according to the Affinity Laws. If
 300 a pump draws water from tank z , then its flow value is negative.

301 The water volume in each tank is constrained during each time step as shown in the following
 302 equation:

$$303 \quad V_{z,min} \leq \left(\sum_{t=1}^t VC_{z,t,i} \right) + V_{z,initial} \leq V_{z,max} \quad \forall i \in I, \forall z \in Z, \forall t \in T \quad \text{Eq. (15)}$$

304 where $V_{z,min}$ = minimum water volume in tank z (m^3); $V_{z,initial}$ = initial water volume in tank z (m^3);
 305 $V_{z,max}$ = maximum water volume in tank z (m^3).

306 The following constraint is used to ensure that the final water volume in each tank is at least equal to
 307 the initial one:

$$308 \quad \sum_{t=1}^T VC_{z,t,i} \geq 0 \quad \forall i \in I, \quad \forall z \in Z \quad \text{Eq. (16)}$$

309 The following mass balance constraint is used in case where there is no tank in a pressure zone (or
 310 water system):

$$311 \quad VC_{z,t,i} = 0 \quad \forall z \in Z, \quad \forall t \in T, \quad \forall i \in I \quad \text{Eq. (17)}$$

312 Energy balance constraint is solved implicitly by the hydraulic simulator as will be shown in the next
 313 section.

314 Weighted average chlorine is used to quantify the spatial distribution of chlorine in the demand nodes
 315 as follows (motivated by a similar metric used for network water age in Marchi et al. (2014)):

$$316 \quad WAC = \frac{\sum_j^J \sum_t^T k \cdot Q_{j,t} \cdot C_{j,t}}{\sum_j^J \sum_t^T Q_{j,t}} \quad \text{Eq. (18)}$$

317 Where WAC = weighted average chlorine in the network; $Q_{j,t}$ = demand in node j ; $C_{j,t}$ = chlorine in
 318 node j ; j = node index; J = total number of nodes; and k = constant that equals to 1 if $C_{j,t}$ is above
 319 predefined chlorine threshold or 0 otherwise. Nodes with high demand have more impact on the
 320 weighted average chlorine. Nodes with chlorine below the predefined threshold reduces the weighted
 321 average chlorine.

322 **Scheduling Problem Solution**

323 The pump scheduling problem defined in the previous section is solved here by using the iterative
 324 Extended Lexicographic Goal Programming (iELGP) method, as shown in Fig. 1. The solution
 325 process starts by setting the value for energy cost target ECT which needs to be carefully specified. If
 326 ECT is set too pessimistically then the resulting solution will be Pareto inefficient. If, on the other
 327 hand, ECT is set too optimistically (e.g. set equal to zero) then the method will focus on the energy
 328 cost target and will not take into consideration the other target (water volume change in tanks). The
 329 way that energy cost target is estimated is shown in the following solution steps:

- 330 1- Set iteration index $i = 1$.
- 331 2- For each VSP, find its flow and head values at its best efficiency point (BEP) when speed is
 332 maximum, then substitute these values in Eq. (8) to calculate $P_{v,t,i}^{Maximum\ Speed}$ and use it in
 333 Eq. (4). Each VSP has the same $P_{v,t,i}^{Maximum\ Speed}$ for all time steps in the first iteration.
- 334 3- For each FSP, find its flow and head values at its BEP, then substitute these values in Eq. (10)
 335 to calculate $P_{f,t,i}$. Each FSP has the same $P_{f,t,i}$ for all time steps in the first iteration.
- 336 4- Find the optimum statuses for VSPs and FSPs (i.e. $x_{v,t,1}$ and $x_{f,t,1}$) and the minimum energy
 337 cost EC_1 using Mixed Integer Linear Programming (MILP), where Eq. (3) is the objective
 338 function to be minimized and Eqs. (4), (5), (6), (7), (9), (11), (12), (15), (16), and (17)

339 are the constraints.

340 5- Set energy cost target ECT equals to energy cost EC_1 which is found in solution step 4. As
341 can be seen, energy cost target equals to the optimum energy cost when all pumps have flow
342 values at their BEP. This is an ideal optimistic value that is not realistic.

343 The optimum pumps' statuses ($x_{v,t,1}$ and $x_{f,t,1}$) which are found in step 4 are based on unreliable flow
344 values. The flow values and the optimum pumps' statuses are corrected in an iterative way as shown
345 in the following steps:

346 6- Set time step index $t = 1$.

347 7- Apply the optimum pumps' statuses ($x_{v,t,i}$ and $x_{f,t,i}$) during time step t on a hydraulic
348 simulator for the water network which needs to be optimized.

349 8- Retrieve flow of VSPs $Q_{v,t,i}^{Actual\ Speed, Simulator}$ and FSPs $Q_{f,t,i}^{Simulator}$ from the hydraulic
350 simulator. Find $Q_{v,t,i}^{Maximum\ Speed, Simulator}$ using affinity laws.

351 9- For all VSPs at time step t , if percentage differences between $Q_{v,t,i}^{Maximum\ Speed, Simulator}$ and
352 $Q_{v,t,i}^{Maximum\ Speed}$ (which were used in Eq. (8) to calculate $P_{v,t,i}^{Maximum\ Speed}$ in the current
353 iteration i) are all less than 1%, then move to step 12. The 1% tolerance was selected after
354 limited sensitivity analysis on 3 case studies (2 in Abdallah and Kapelan (2017) and 1 in this
355 paper). These case studies have different topologies, demand patterns, pipes and pumps
356 characteristics. The threshold value proposed results in convergence in the three case studies.
357 Having said this, if a smaller tolerance value is used, then the number of iterations will
358 increase (without significant improvement in the final optimal solution) and, in the worst,
359 case scenario, the iELGP method may not converge to an optimal solution. This tolerance
360 may have to be adjusted for other case studies.

361 10- If percentage difference between $Q_{v,t,i}^{Maximum\ Speed, Simulator}$ and $Q_{v,t,i}^{Maximum\ Speed}$ for at least
362 one of the VSPs v^* is more than 1%, then substitute $Q_{v,t,i}^{Maximum\ Speed}$ with

363 $Q_{v,t,i}^{Maximum\ Speed, Simulator}$ in Eq. (8), and Eq. (14) for pump v^* and for all other pumps that
364 are running in parallel and in series with pump v^* in time step t .

365 11- Find heads and efficiencies for all VSPs that change their $Q_{v,t,i}^{Maximum\ Speed}$ values in solution
366 step 10 using their head and efficiency curves, then recalculate their $P_{v,t,i}^{Maximum\ Speed}$ using
367 Eq. (8). Move to step 13.

368 12- For all FSPs at time step t , if percentage differences between $Q_{f,t,i}^{Simulator}$ and $Q_{f,t,i}$ (which
369 were used in Eq. (10) to calculate pump power $P_{f,t,i}$ in current iteration i) are all less than 1%,
370 and if t is not the last time step, then move to the next time step $t = t + 1$ and go back to step
371 7. If t is the last time step, then move to step 17.

372 13- If percentage difference between $Q_{f,t,i}^{Simulator}$ and $Q_{f,t,i}$ for at least one of the FSPs f^* is more
373 than 1%, then substitute $Q_{f,t,i}$ with $Q_{f,t,i}^{Simulator}$ in Eqs. (10), and (14) for pump f^* and for all
374 other pumps that are running in parallel and in series with pump f^* in time step t .

375 14- Find heads and efficiencies for all FSPs that change their $Q_{f,t,i}$ values in solution step 13
376 using their head and efficiency curves, then recalculate their $P_{f,t,i}$ using Eq. (10).

377 15- Find the optimum statuses ($x_{v,t,i}$ and $x_{f,t,i}$) for all pumps during all time steps and find the
378 minimum deviation variables (PEC_i , $PVC_{z,t,i}$, and $NVC_{z,t,i}$) using GP, where Eq. (1) is the
379 objective function and Eqs. (2), (3), (4), (5), (6), (7), (9), (11), (12), (13), (14), (15), (16), (17)
380 are the constraints.

381 16- Start new iteration $i = i + 1$ and go back to step 6.

382 17- If t is the last time step, then iteration will terminate.

383 18- Find chlorine concentration at each demand node by running the water quality simulator.

384 The solution in the last iteration has the minimum energy cost and water volume change in tanks. The
385 flow chart for the previous steps is shown in Fig. 1.

386 A pump scheduling program is developed in *MATLAB R2011b* computer software. The iELGP-based
387 optimiser calls the hydraulic simulator *EPANET 2.0* to do the hydraulic and water quality
388 calculations, and the MILP solver *lp_solve 5.5.2.0* (Berkelaar et al. 2016) to do the optimisation.

389 **Case Study**

390 **Description**

391 The iELGP method is applied here on the same, real-life C-Town network that was used in Price and
392 Ostfeld (2016). The EPANET input file for this network is available online (WDSA 2014). All of the
393 following descriptions and assumptions for C-Town network were used in Price and Ostfeld (2016).
394 This enables a fair comparison of solutions to be made. The C-Town network is shown in Fig. 2 and it
395 consists of 1 water source, 11 FSPs, 7 tanks, 388 junctions, and 1 valve that is always opened. All
396 pumps are assumed a fixed efficiency of 70%.

397 The residual chlorine is fixed to 0.50 mg/l upstream of all pumps and at tanks T2 and T6 at all times.
398 Other tanks have initial chlorine value of 0 mg/l. Water mixing in tanks is assumed to be
399 instantaneous and complete. The first order bulk decay rate is set to -0.55 mg/l/day and the first order
400 wall decay rate is set to 0 m/day. The minimum required residual chlorine in all demand nodes is 0.28
401 mg/l.

402 The network is optimized for 1 week which is divided into 168 equal time steps of 1 hour length.
403 Time step length in the hydraulic simulation is 1 hour and in the water quality simulation is 5 minutes.
404 The hourly electrical tariff is shown in Fig. 3.

405 Three cases of C-Town network are optimized. In case I, the minimum required residual chlorine of
406 0.28 mg/l at demand nodes is reached by reducing tanks' maximum levels (the second term in Eq. (1)
407 is set equal to zero in Case I), as in Case 1e of Price and Ostfeld (2016). This was done to compare the
408 performance of the iELGP method to the graph theory method of Price and Ostfeld (2016).

409 After careful study of the C-Town network, it was found that demand nodes which can be supplied
410 from tank T3 have very low residual chlorine. Thus, in cases II and III, the minimum required residual

411 chlorine at all demand nodes is reached by minimizing tank T3 inlet and outlet flow rate. In other
412 words, tank T3 is allowed to loose and gain water at minimum rates, to increase chlorine in its related
413 demand nodes and, at the same time, to keep its water fresh. This was done to test the effect of
414 minimizing tanks flow on demand nodes chlorine and compare it to the effect of minimizing tanks
415 maximum water level (Case I).

416 In addition, in Cases I and II only FSPs are used (as it was done in Case 1e of Price and Ostfeld
417 (2016)) whilst in Case III pumps P1, P2, and P3 are assumed to have variable speeds (with respective
418 maximum speeds set equal to their fixed speeds in Case 1e of Avi and Ostfeld (2016), Case I and
419 Case II). This enables to analyse the potential benefits of using variable speed pumps in Case III.

420 In all cases, initial water level in each tank is set equal to half of that tank's maximum water level in
421 Case 1e of Price and Ostfeld (2016). Minimum water level in all tanks in all cases is 0 m.

422 The computer used in Price and Ostfeld (2016) is based on the Intel® Core™ i7-3770 CPU running at
423 3.40 GHz and the RAM available is 8 GB. The computer used in this research is based on the Intel®
424 Core™ i7-3612 QM CPU running at 2.10 GHz and the RAM available is 8 GB.

425 ***Results and Discussion***

426 The results obtained for each of the three cases analysed are summarised in Table 1.

427 As it can be seen from Table 1, in Case I, the optimal pump schedule identified by using the iELGP
428 method has lower energy cost of 381.10 \$/day than the corresponding solution identified by Price and
429 Ostfeld (2016) which has the energy cost of 395.40 \$/day. However, the latter solution has lower total
430 number of pump switches (230) than the former solution (342). This means that there is a trade-off
431 between energy cost and total number of pump switches. The iELGP method identifies solution with a
432 lower energy cost but also with a higher number of pump switches. This is because the iELGP method
433 allows pumps to run for a fraction of each time step, unlike the approach proposed by Price and
434 Ostfeld (2016). Note that both methods have not constrained the number of pump switches. This is
435 because reducing the number of pump switches increases water age and hence reduces residual

436 chlorine in the network (Price and Ostfeld 2016). Having said this, it is possible to reduce the number
437 of pump switches in iELGP by increasing the length of time steps (instead of one hour) and allowing
438 pumps to start only once during a time step. This was already proved in Abdallah and Kapelan (2017).
439 The optimum tanks' levels obtained by the iELGP method for Case I are shown in Fig. 3. As it can be
440 seen from this figure, as expected, tanks' levels increase (i.e. tanks refill) during low electrical tariff
441 periods and decrease (i.e. empty) during high electrical tariff periods. Tanks' final levels are also
442 equal to or above their initial levels meaning that all tanks in the analysed network are balancing well.
443 Tanks T2 and T6 have high water levels most of the time because they have lower elevation than
444 respective parallel tanks T1 and T7. Having high water levels in tanks T2 and T6 most of the time
445 increases their water age and decreases their chlorine. To avoid that, chlorine is set to 0.5 mg/l at
446 tanks T2 and T6 at all times, as mentioned previously.

447 Fig. 4 shows the hourly tank T3 levels for Cases I, II and III and tank T3 chlorine concentration in
448 Cases II and III. As it can be seen from this figure, water level in tank T3 in Case I has many hikes
449 (tank drains and refills frequently). This is because tank T3 maximum level is reduced by 85% to have
450 minimum chlorine of 0.28 mg/l at nearby demand nodes. In contrast, tank T3 level in Case II is almost
451 steady and it is smooth in Case III when compared to Case I. This is because in Cases II and III, the
452 0.28 mg/l minimum residual chlorine in the network was reached by minimizing tank T3's inlet/outlet
453 flow. In Cases II and III, pump P4 (which supplies tank T3) starts at the beginning of every time step
454 and stops before the end of each time step. This is to provide sufficient water supply to demand nodes
455 and to, at the same time, avoid storing excess water in T3. Table 1 shows that pump P4 in Cases II
456 and III has the highest number of pump switches. This causes tank T3 to have good chlorine range in
457 Cases II and III as shown in Fig. 4.

458 As shown in Table 1. VSPs benefits Case III when compared to Case II. The number of switches for
459 pumps P1, P2, and P3 are reduced from 34 to 6 and the total energy cost is reduced from 394.60 to
460 385.04 \$/day.

461 Fig. 5 shows tank T1 water level and pumps P1, P2, P3 status/speed in Cases II and III. As it can be
462 seen from this figure, FSPs P1, P2, and P3 in Case II start with the maximum constant speed during
463 low electrical tariff and stop during high electrical tariff. However, in Case III, VSPs P1, P2, and P3
464 are running all the time (except during time steps 163, 164, and 167) and at the minimum relative
465 speed of 0.70 (except for few time steps where relative speed is 0.80). Additionally, when parallel
466 VSPs P1, P2, and P3 are running in Case III, they are running at the same speed, to equally share the
467 load and reduce energy cost, as mentioned previously.

468 The above mentioned difference in pumps P1, P2, and P3 running between Cases II and III makes the
469 water level of tank T1 (which is supplied by pumps P1, P2, P3) different in Cases II and III. Tank T1
470 water level in Case II increases steeply during low electrical tariff and decreases steeply during high
471 electrical tariff. This is because pumps P1, P2, and P3 in this case start (with the maximum constant
472 speed) during low electrical tariff and stops during high electrical tariff. Tank 1 level in Case III
473 increases during the peak tariff hours because in this case VSPs 1, 2, and 3, which supply water to this
474 tank, are running during the peak tariff hours. However, FSPs 4, 5, 6, 7, 8, 9, 10 and 11, which are
475 drawing water from the same tank, are not running during the peak tariff hours.

476 The above mentioned running behaviour of pumps in Case III increases the number of water level
477 cycles in tank T1 and allows water to reside in tank T1 for less time than in Case II. Thus, tank T1
478 have lower water age and higher residual chlorine in Case III than in Case II. Additionally, having the
479 source pumps P1, P2, and P3 running almost all the time in Case III at minimum speed of 0.70
480 provides more fresh water for the whole network all the time than in Case II where pumps P1, P2, and
481 P3 are running at maximum speeds during low electrical tariff (and not running during the high
482 electrical tariff). As a consequence, the weighted average network chlorine in Case III (0.429 mg/l) is
483 slightly higher than that in Case II (0.419 mg/l). The improved water quality represents an additional
484 advantage of using VSPs, i.e. in addition to previously mentioned lower energy cost and lower
485 number of pump switches.

486 Table 1 also shows that the iELGP method is highly computationally efficient, as evidenced by short
487 optimisation times required in all three cases to generate hourly pump schedules for a whole week.

488 Out of the three cases analysed, Case III requires the largest computational time to identify optimal
489 pump schedule. This is because of the time consuming Branch and Bound method that is used in this
490 case to optimise the operation of VSPs P1, P2, and P3. Note also that in all three cases (I, II, and III),
491 *Epanet 2.0* reinitializes hydraulic simulations to the first time step in each iteration. This consumes a
492 lot of computational time (Price and Ostfeld 2015) and avoiding this could further reduce the total
493 computational time required.

494 **Further Remarks**

495 All pumps in the C-Town network case study were assumed a fixed efficiency of 70%, for the sake of
496 simplicity. However, iELGP optimisation method can deal with variable efficiencies (Abdallah and
497 Kapelan 2017) and unlike several existing pump scheduling methods (Chen and Coulbeck 1991; Price
498 and Ostfeld 2015) which assume fixed efficiency for pumps.

499 It is required to know in advance which tanks deteriorates chlorine and water age in the demand nodes
500 by running a water quality simulation. The deterioration depends on many things such as tanks' sizes,
501 how far tanks are from their supply pumps and demand pattern downstream the tanks'.

502 As it can be further seen from Table 1, the energy cost in Case I is lower than the corresponding
503 energy costs in Cases II and III. This is because in Cases II and III, there is no energy saving made in
504 pump P4 which supplies tank T3, because it starts and stops during all time steps including high
505 electrical tariff time steps. Additionally, the weighted average network chlorine in Case I is 0.435
506 mg/l, while it is 0.419 mg/l in Case II and 0.429 mg/l. This is because in Case I tanks' maximum
507 levels are reduced to have minimum chlorine of 0.28 mg/l everywhere in the network, while in Cases
508 II and III only tank T3 flow was minimized to have minimum chlorine of 0.28 mg/l everywhere in the
509 network. If tanks flow other than tank T3 flow are also reduced in Cases II and III, and if weight
510 factors w for tanks' flow are reduced, then Cases II and III might have better energy cost and
511 weighted average chlorine than Case I. Thus, although Case I gives lower energy cost and higher
512 weighted average chlorine than Cases II and III, one cannot conclude that reducing tanks' maximum
513 level is better than minimizing tanks' flow in terms of energy cost and chlorine.

514 Minimizing tank T3 flow in Cases II and III did not decrease the residual chlorine in tank T3 as
515 shown in Fig. 4. However, there is possibility in other cases studies that minimizing tanks flow will
516 reduce residual chlorine in the tanks because water age in tanks will increase. This problem can be
517 solved by reducing the weight factor w in the objective function Eq. (1). This will decrease the weight
518 of water volume change in the objective function and make the optimisation method focus more on
519 minimizing the energy cost; thus giving more freedom to tanks to increase and decrease their water
520 levels based on electrical tariff. In general, the value of the weight factor w needs to be carefully
521 chosen due to the sensitivity of the objective function Eq. (1) to this factor and to ensure identifying
522 efficient Pareto optimal solutions (Cohon 1978; Walski, et al. 2003, Jones and Tamiz 2010). The two
523 objectives (energy cost and water volume change in tanks) are inversely proportional to each other,
524 i.e. reducing energy cost by running pumps during low tariffs and stopping pumps during high tariffs
525 causes high water volume changes in tanks and reduces chlorine in the network. In contrast, running
526 pumps based on demand only regardless of electrical tariff increases energy cost and reduces water
527 volume changes in tanks (improves chlorine in the network). So, once the value of the weighting
528 factor w is selected, then the minimum chlorine concentration should be fulfilled by the optimal
529 solution every time the optimisation method is run. This, of course, does not hold if there is a major
530 change in demand patterns or if the network configuration changes. In this case, the value of the
531 weighting factor should be changed to reflect these changes.

532 Several research works proved that decreasing VSP speed (and thus the VSP flow rate) causes
533 decrease in chlorine decay in the water network (Ramos, et al. 2010; Mohammed and Khudiar 2012;
534 Jamwal and Kumar 2016). This is due to the decrease in pipe wall reaction and biofilm removal.
535 However, the above effect of VSPs on chlorine decay does not appear in *EPANET 2.0* water quality
536 simulator because it does not account for mass flux between the water and the pipe wall which
537 depends on the flow rate.

538 The ability of the iELGP method to find optimal solutions in three different cases (I, II, and III)
539 represents a good sensitivity test that proves the robustness of this method under different conditions
540 in the network. Additionally, note that, unlike many other stochastic pump scheduling methods

541 (especially the ones based on Evolutionary Algorithms, e.g. Wu and Zhu (2009) and Hashemi et al.
542 (2013)), the iELGP method does not have parameters that require tuning before running the
543 optimisation and it is a deterministic optimisation method that gives the same solution for the same
544 initial conditions every time the optimisation is run.

545 As stated in Abdallah and Kapelan (2017), the iELGP pump scheduling method does not guarantee
546 obtaining the minimum required pressures at demand nodes because these are not constrained. The
547 iELGP method assumes that the water distribution network is designed in such a way that minimum
548 required pressures are always provided under normal operating conditions, i.e., regardless of tanks'
549 levels or pumps running. This potential drawback can be overcome by increasing the minimum water
550 volume in Eq. (15) only for tanks that supply demand nodes which are expected to have pressure
551 below the minimum required pressure during the optimization period.

552 Water demand changes from day to day and hence can affect the identification of optimal pump
553 schedules. This can be overcome by linking a demand forecaster to the pump scheduling
554 methodology. However, it was not preferred to do so in this paper as it would shift the focus and also
555 make the paper too long.

556 **Conclusions**

557 A new pump scheduling method based on the iELGP optimisation method is developed and presented
558 here. The method aims to optimize energy cost and water quality (residual chlorine) in large scale
559 multi-tank water networks that have mixture of variable and fixed speed pumps. The method is tested
560 and validated on the real-life C-Town network. The results obtained by using the iELGP method are
561 compared with the results obtained by the pump scheduling introduced and tested on the same
562 network by Price and Ostfeld (2016). The key findings obtained are as follows:

- 563 1. The iELGP based methodology is capable of determining optimal, low cost pump schedules
564 whilst trading-off energy costs and water quality. The optimal schedules for both fixed and
565 variable speed pumps can be generated in a computationally very efficient manner. Given
566 this, the iELGP method has potential to be applied to real-time scheduling of pumps in larger,

- 567 water distribution networks and without the need to simplify the respective hydraulic models
568 or replace these with surrogate models in the form of ANN or otherwise.
- 569 2. The comparison of the iELGP and Price and Ostfeld (2016) graph theory based method shows
570 that the iELGP method can identify pump schedules with lower energy cost and in a
571 computationally more efficient manner (albeit at the cost of increased number of pump
572 switches, even though neither of the two methods constrained this).
- 573 3. Two different approaches were used to improve water quality (i.e. increase residual chlorine)
574 in the analysed C-Town network whilst scheduling pumps, by reducing tanks' maximum
575 water levels and by minimizing tanks' in/out flows. Both approaches proved their ability to
576 improve water quality through pump scheduling without the need to change chlorine dosing
577 set-point or add chlorine boosters.
- 578 4. When comparing the pump schedules obtained by using fixed and variable speed pumps at
579 the source of the C-Town network, it was found that using variable speed pumps reduces the
580 total cost of energy used for pumping, it reduces the total number of pump switches, and it
581 also improves the water quality by increasing the weighted average residual chlorine in the
582 network.

583 Future work should include scheduling of network valves (in addition to pumps) and finding better
584 approach to determine the weight factor used to combine the two objectives into a single-objective
585 pump scheduling problem.

586 **Notation**

587 *The following symbols are used in this paper:*

588 $b_{v,t,i}$ = binary variable that is equal to zero when pump is not running and equal to one when
589 pump is running;

590 $C_{j,t}$ = chlorine in node j ;

591 CV = total number of possible VSPs combinations in a group of parallel identical VSPs;

592 cv = index of combined VSP;

593 $D_{z,t}$ = total demand from tank z during time step t (m^3/hr);

594 E_t = cost of electricity for given time step t (£/KWh);

595 EC_i = energy cost at iteration i (£);

596 ECT = energy cost target (£);

597 F = total number of FSPs;

598 f = FSP index;

599 G = total number of pumps in a group of parallel pumps;

600 g = pump index in a group of parallel pumps;

601 $h_{v,t,i}^{\text{Maximum Speed}}$ = head (m) of pump v running at maximum speed;

602 $h_{f,t,i}$ = head (m) of pump f ;

603 I = total number of iterations;

604 i = iELGP iteration index;

605 J = total number of nodes;

606 j = node index;

607 k = constant that equals to 1 if $C_{j,t}$ is above predefine chlorine threshold or 0 otherwise.

608 $NVC_{z,t,i}$ = negative deviation variable for water volume change in tank z (m^3);

609 PEC_i = positive deviation variable for energy cost at iteration i (£);

610 $PVC_{z,t,i}$ = positive deviation variable for water volume change in tank z (m^3);

611 $P_{v,t,i}^{\text{Actual Speed}}$ = VSP power at actual speed;

612 $P_{f,t,i}$ = FSP power;

613 $P_{v,t,i}^{\text{Maximum Speed}}$ = VSP power at maximum speed;

614 $Q_{v,t,i}^{\text{Maximum Speed}}$ = flow rate (m^3/h) of pump v running at maximum speed;

615 $Q_{f,t,i}$ = flow rate (m^3/h) of pump f ;

616 $Q_{j,t}$ = demand in node j ;

617 S_t = time step length (hr);

618 s = the slope of the regression line which is equal to 2.1850;

619 T = total number of time steps;

620 t = time step index;

621 $VCT_{z,t}$ = water volume change target (m^3) in tank z ;

622 $VC_{z,t,i}$ = water volume change (m^3) in tank z ;

623 $V_{z,min}$ = minimum water volume in tank z (m^3);

624 $V_{z,initial}$ = initial water volume in tank z (m^3);

625 $V_{z,max}$ = maximum water volume in tank z (m^3);

626 V = total number of VSPPs;

627 v = VSP index;

628 WAC = weighted average chlorine in the network;

629 w = weighting factor;

630 $x_{f,t,i}$ = decision variable denoting pump f status;

631 $x_{v,t,i}$ = decision variable denoting relative speed of VSP v at time t and iteration i ;

632 y = the y-intercept of the regression line which is equal to 1.2176;

633 Z = total number of tanks;

634 z = tank index;

635 γ = specific weight of water (kN/m^3);

636 $\eta_{v,t,i}^{Maximum\ Speed}$ = efficiency of pump v running at maximum speed;

637 $\eta_{f,t,i}$ = efficiency of pump f ;

638

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817 **List of tables**

818 **Table 1.** Data and optimisation results for different cases of C-Town water network
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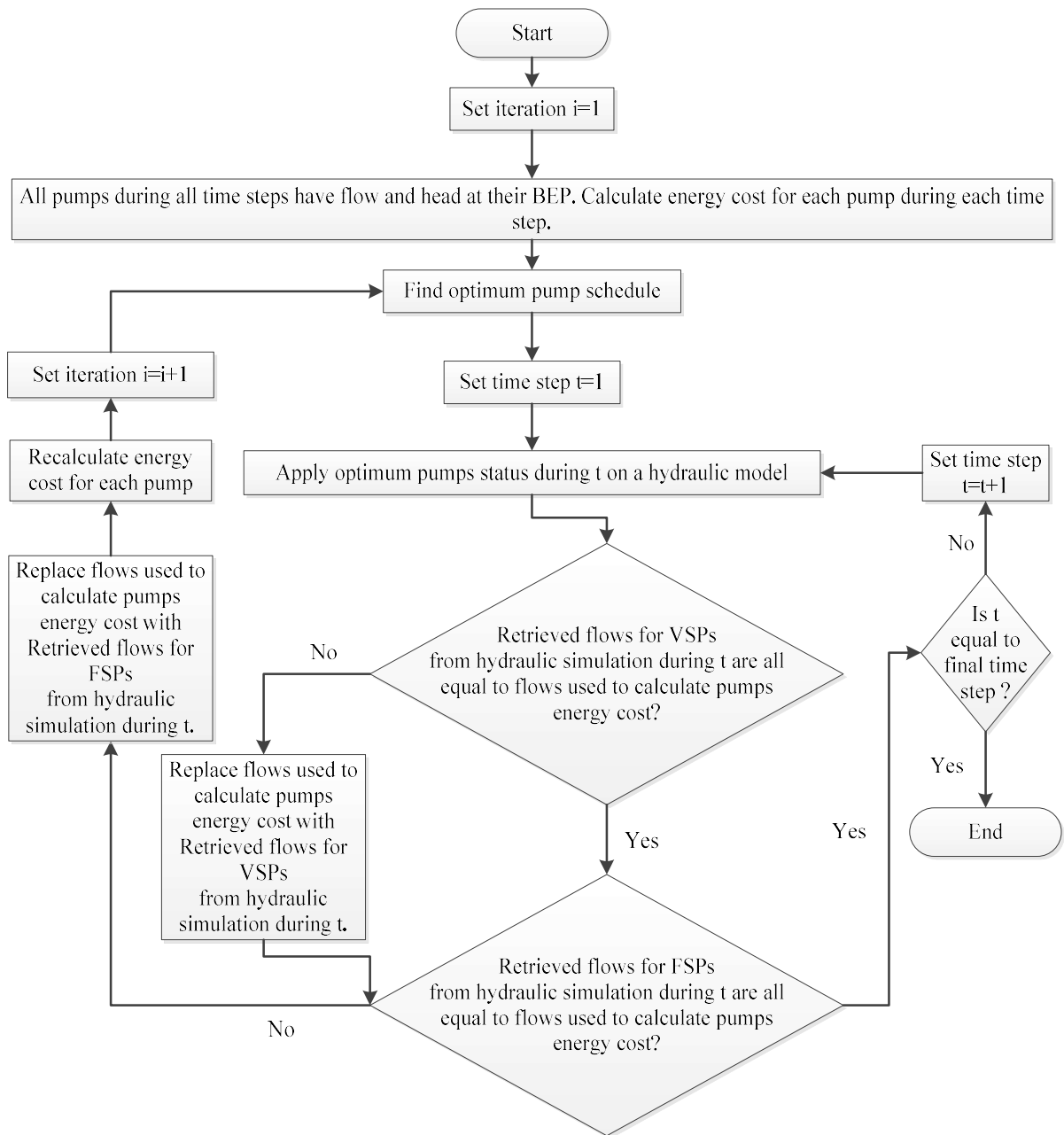
820 **Table 1.** Data and optimisation results for different cases of C-Town water network

Optimisation Method	Graph theory Case 1e from Price and Ostfeld (2016)	iELGP Case I	iELGP Case II	iELGP Case III
Reaching the 0.28 mg/l minimum residual chlorine	By reducing tanks’ maximum level: T1 by 65%, T2 by 30%, T3 by 85%, T4 by 15%. These percentages were found by Price and Ostfeld (2016) and fixed before optimisation.		By minimizing inlet and outlet flow of tank T3 only.	
Tank’s Maximum Water Level (m)				
T1	2.28		6.50	
T2	4.13		5.90	
T3	1.01		6.75	
T4	4.00		4.70	
T5	4.50			
T6	5.50			
T7	5.00			
Pump speed	Fixed	Fixed	Fixed	Fixed except P1, P2, P3
Optimisation Results				
Optimum energy cost (\$/day)	395.40	381.10	394.60	385.04
Computation time (min)	17.2	12.3	11.9	22.7
Weighted average network chlorine (mg/l)	Information not available	0.435	0.419	0.429
Pump switches				
P1	8	12	13	2
P2	1	33	13	2
P3	17	10	8	2
P4	58	93	168	167
P5	3	0	0	0
P6	31	54	46	33
P7	18	27	17	23

P8	42	58	47	34
P9	16	0	1	0
P10	21	50	41	28
P11	15	5	3	10
Total	230	342	367	301

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822 **List of figures**

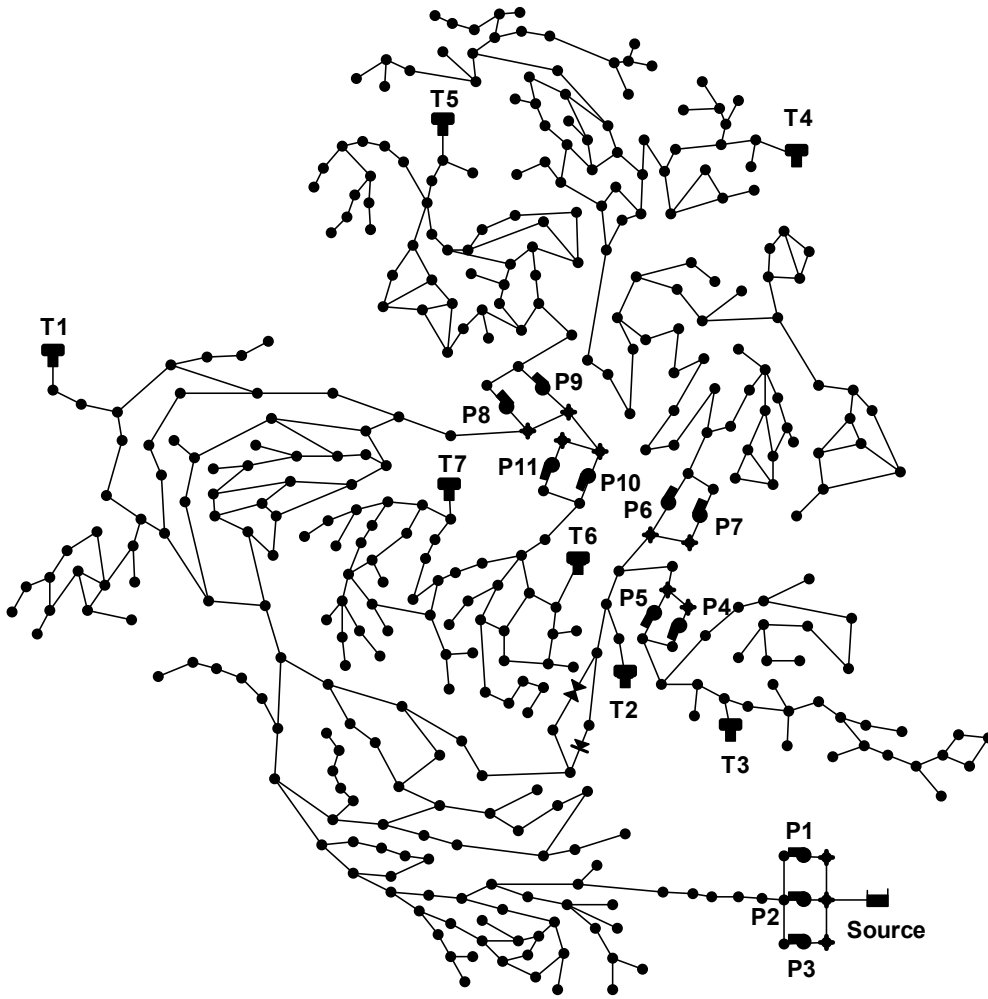


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Fig. 1. Flow chart for iELGP pump scheduling method

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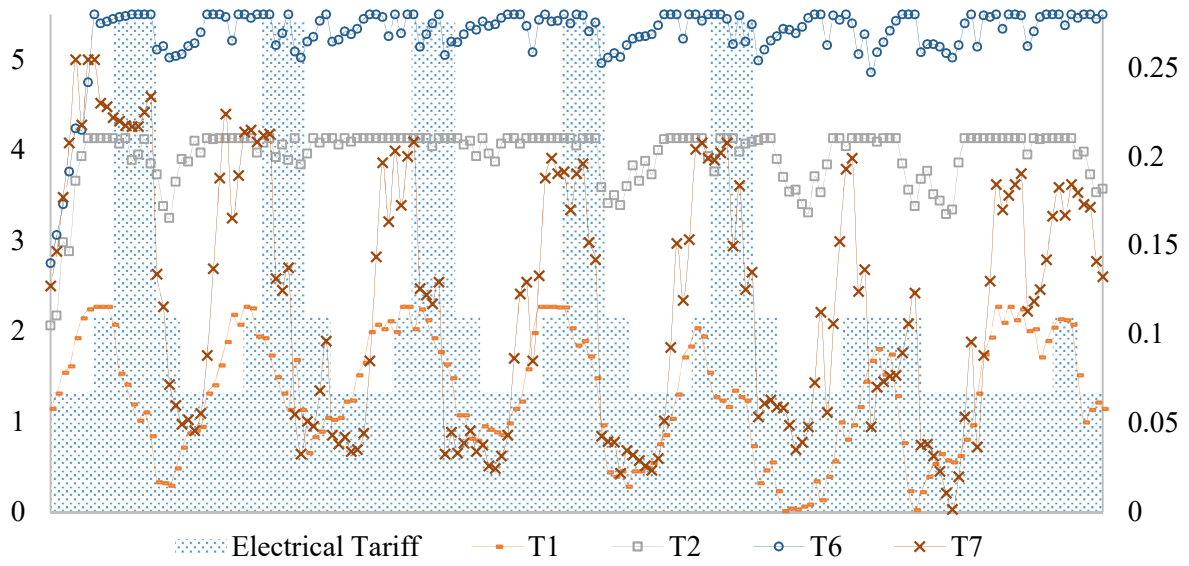
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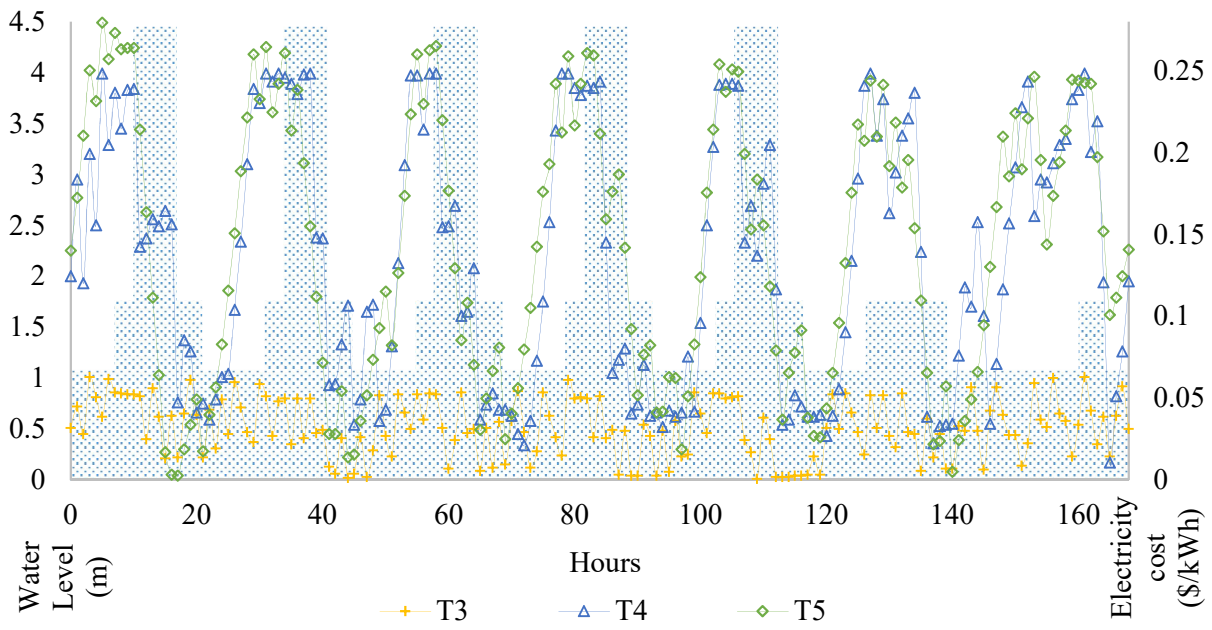
Fig. 2. C-Town Network (adapted from Price and Ostfeld (2016))

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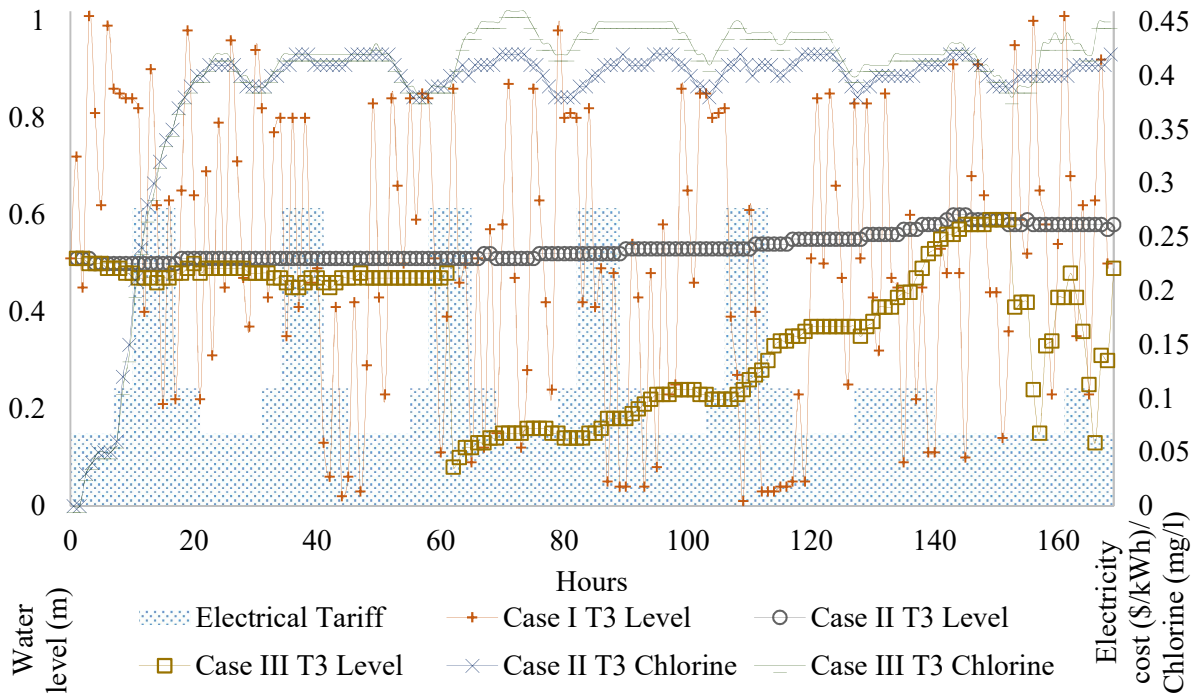
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Fig. 3. Electrical tariff and optimum tanks' levels for Case I

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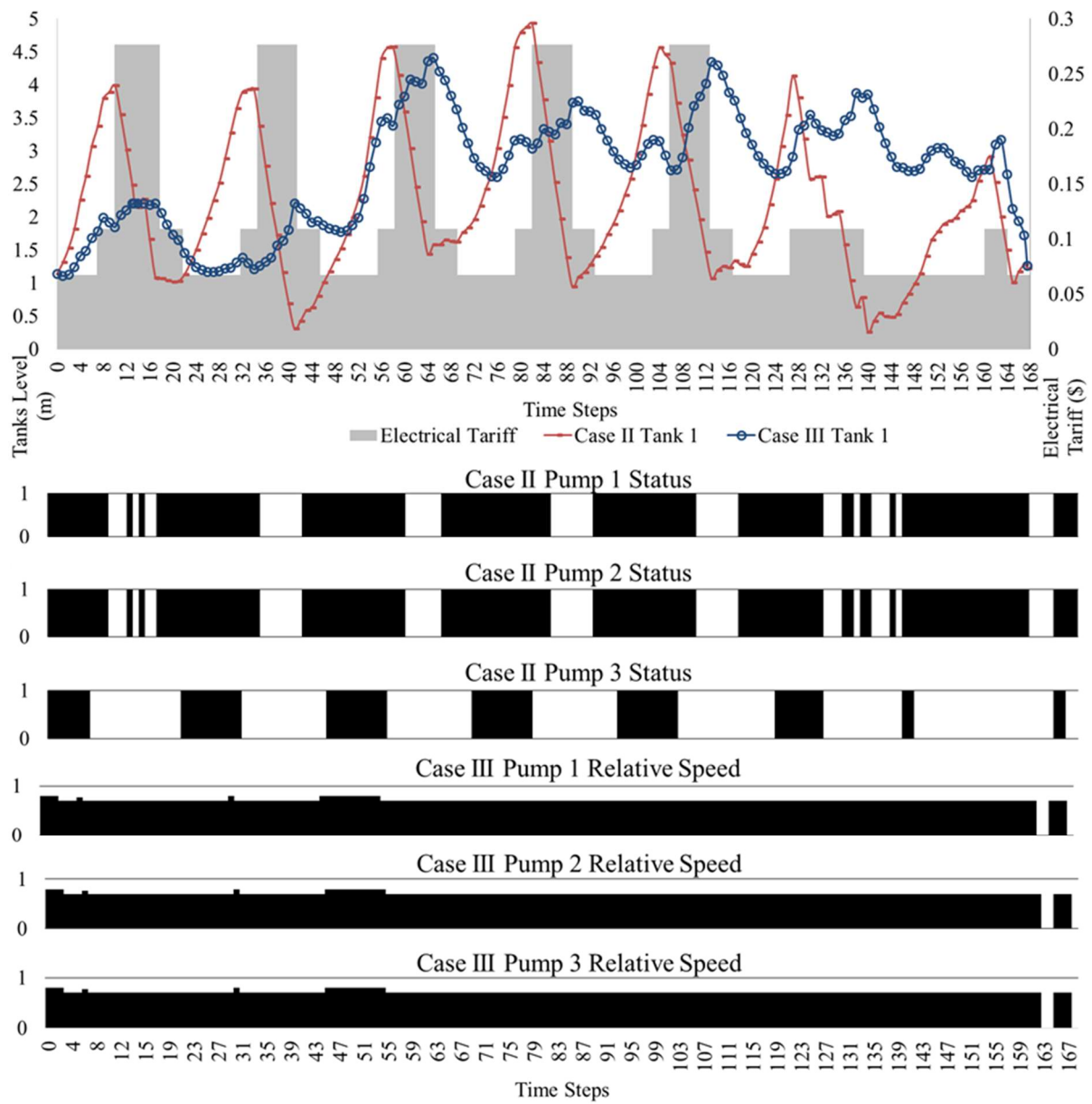
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Fig. 4. Optimum water level for tank T3 in Cases I, II, and III and residual chlorine in tank T3 in Cases II and III



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838 **Fig. 5.** Tank T1 water level and pumps P1, P2, P3 status/relative speed in Cases II and III

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