

## Sensitivity analysis of a minimum lateral control speed prediction system

Bouwman, F.; Stroosma, O.; in 't Veld, A.C.; Mulder, Max

**DOI**

[10.2514/6.2023-0798](https://doi.org/10.2514/6.2023-0798)

**Publication date**

2023

**Document Version**

Final published version

**Published in**

AIAA SciTech Forum 2023

**Citation (APA)**

Bouwman, F., Stroosma, O., in 't Veld, A. C., & Mulder, M. (2023). Sensitivity analysis of a minimum lateral control speed prediction system. In *AIAA SciTech Forum 2023* Article AIAA 2023-0798 (AIAA SciTech Forum and Exposition, 2023). <https://doi.org/10.2514/6.2023-0798>

**Important note**

To cite this publication, please use the final published version (if applicable). Please check the document version above.

**Copyright**

Other than for strictly personal use, it is not permitted to download, forward or distribute the text or part of it, without the consent of the author(s) and/or copyright holder(s), unless the work is under an open content license such as Creative Commons.

**Takedown policy**

Please contact us and provide details if you believe this document breaches copyrights. We will remove access to the work immediately and investigate your claim.

# Sensitivity analysis of a minimum lateral control speed prediction system

F. Bouwman\*, O. Stroosma †, A.C. in 't Veld‡ and M. Mulder§  
*Delft University of Technology, Delft, Zuid-Holland, 2600 HS, The Netherlands*

**Preventing Loss of Control In-flight (LOC-I) in commercial and general aviation is an active research area with numerous proposed solutions. One of these solutions aims to prevent lateral LOC-I, a special type of LOC-I, by presenting a roll-performance based minimum lateral control speed to the pilot in roll-limited situations, such as single-engine failure scenarios in multi-engine aircraft. This minimum lateral control speed is predicted by a system, named the  $V_c$  Prediction System (VPS), which continually predicts the minimum lateral control speed  $V_c$  at which an aircraft can still obtain a certain roll angle within a certain amount of time. It consists of three components; a linear model, a parameter estimation method and a  $V_c$  prediction model. These VPS components were designed for a simulation model of the Piper Seneca. This study analyzes the sensitivity of the VPS design to a change in aircraft dynamics and simulation model complexity by redesigning this system for a high-fidelity simulation model of the Fokker 50. The results show that both aircraft favor a small linear model and the Modified Kalman Method for parameter estimation. The original  $V_c$  prediction model however gives higher  $V_c$  prediction errors for the Fokker 50 than for the Piper Seneca. By simplifying the original  $V_c$  prediction model a stable, smooth and relatively accurate  $V_c$  prediction for the Fokker 50 can be obtained.**

## I. Nomenclature

$Y$	=	Sideforce
$l$	=	Rolling moment
$n$	=	Yawing moment
$\phi$	=	Roll angle
$\phi_{req}$	=	Required roll angle
$\delta_a, \delta_r$	=	Aileron, Rudder deflection
$C$	=	Coefficient, Correction
$\beta$	=	Sideslip angle
$T$	=	Thrust, Tail, Time period
$t$	=	Time
$G$	=	Aileron gearing
$b$	=	Wing span
$V$	=	Velocity
$V_{mca}$	=	Minimum control speed air
$V_c$	=	Minimum lateral control speed
$V_{cL}$	=	Minimum lateral control speed for a left roll
$V_{cR}$	=	Minimum lateral control speed for a right roll
$V_0$	=	Current air speed
$V_{TAS}$	=	True air speed
$\rho$	=	Air density
$m$	=	Mass
$L$	=	Left

\*M.Sc. Graduate, Section of Control & Simulation, Faculty of Aerospace Engineering, Delft University of Technology; f.bouwman@tudelft.nl

†Researcher, Section of Control & Simulation, Faculty of Aerospace Engineering, Delft University of Technology; o.stroosma@tudelft.nl

‡Assistant Professor, Section of Control & Simulation, Faculty of Aerospace Engineering, Delft University of Technology; a.c.intveld@tudelft.nl

§Professor, Section of Control & Simulation, Faculty of Aerospace Engineering, Delft University of Technology; m.mulder@tudelft.nl, Associate fellow AIAA.

$a$	=	Acceleration
$TO$	=	Tail off
$p$	=	Roll rate
$q$	=	Pitch rate
$r$	=	Yaw rate
$f$	=	Flap
$x$	=	x-direction in the body axes
$y$	=	y-direction in the body axes
$z$	=	z-direction in the body axes
$D$	=	Discriminant
$P$	=	Constant
$Q$	=	Constant
$R$	=	Right, Constant
$S$	=	Wing area, Constant

## II. Introduction

Loss of Control In-flight (LOC-I) is one of the major causes of fatal accidents in commercial aviation.[1] It occurs when an aircraft uncontrollably deviates from its intended flight path, which usually follows from a complex chain of events such as structural or mechanical failures, loss of airspeed or combinations of these causes. Due to the variety and complexity of these causes, finding a universal solution for preventing LOC-I is difficult. Most of the proposed solutions in the research area of preventing LOC-I therefore focus on a particular subset of LOC-I. Lateral LOC-I is an example of such a subset. It typically occurs after an asymmetric aircraft failure, such as a single-engine failure in a multi-engine aircraft, wing damage or loss of aileron or rudder control. One of the proposed solutions for preventing lateral LOC-I was published by Koolstra in 2017, which aims to aid pilots in manual recovery from roll-limited situations.[2] Koolstra argued that, after an asymmetric aircraft failure, pilots need an indicator of the lateral-directional control limits. Currently the only lateral-directional control limit used by pilots is the minimum control speed air ( $V_{mca}$ ). It is defined in the US Electronic Code of Federal Regulations Title 14 §25.149 as the minimum velocity at which straight flight can be maintained with a maximum roll angle of 5 degrees, when the critical engine is inoperative and the non-critical engine operates at full power.[3] The problem with preventing lateral LOC-I using  $V_{mca}$  is that  $V_{mca}$  only applies after an engine failure in a multi-engine aircraft. It does not incorporate other asymmetric failures. Additionally,  $V_{mca}$  does not provide an indication of the aircraft's manoeuvrability, as it is determined for a static equilibrium condition. To solve this problem Koolstra designed a system, named the  $V_c$  Prediction System (VPS), that continually predicts the minimum lateral control speed  $V_c$  of an aircraft based on the estimated roll performance. It consists of three components; a linear model which models the aircraft's lateral dynamics, a parameter estimation method which estimates the parameters of the linear model online and a  $V_c$  prediction model which uses the estimated parameters to predict  $V_c$ . Koolstra carefully designed the linear model, the parameter estimation method and the  $V_c$  prediction model based on simulations that were done using a low-fidelity simulation model of the Piper Seneca.[2] The suitability of Koolstra's VPS design for other aircraft and more complex simulation models is currently unknown.

The aim of this study is to analyze how sensitive the Piper Seneca VPS design is to a change in aircraft dynamics and simulation model complexity. This is achieved by repeating Koolstra's VPS design process with a simulation model of the Fokker 50 and comparing the resulting Fokker 50 VPS design to the Piper Seneca VPS design. The main difference between these aircraft is that the Piper Seneca is a light aircraft mainly used in general aviation, whereas the Fokker 50 is a mid-size passenger aircraft mainly used in commercial aviation. Furthermore, the Fokker 50 simulation model used for this study has a higher fidelity than the Piper Seneca simulation model used in Koolstra's research [2], as it is based on and validated with extensive flight test data. It is therefore interesting to investigate if the Fokker 50 VPS can use the same linear model, parameter estimation method and  $V_c$  prediction model as the Piper Seneca VPS to provide an accurate prediction of  $V_c$ . The VPS is redesigned for the Fokker 50 by selecting the optimal combination of linear model and parameter estimation method, and by evaluating the accuracy of the  $V_c$  prediction model based on 48 different failure simulations using the Fokker 50 simulation model. This study focuses on the sensitivity of these three VPS components only, which means that aircraft state estimation and presenting the predicted  $V_c$  to the pilots is beyond the scope.

This paper consists of eight sections. In Section III the differences in aircraft states and control inputs between the Piper Seneca and the Fokker 50 in a roll-limited simulation model are identified. Following a short description of

Koolstra's VPS design in Section IV, the VPS is redesigned for the Fokker 50 in Section V by reselecting the linear model and the parameter estimation method, and calculating its  $V_c$  prediction error for various combinations of linear model and parameter estimation method using Koolstra's  $V_c$  prediction model. These resulting  $V_c$  prediction errors for the Fokker 50 are relatively large compared to the  $V_c$  prediction errors of the Piper Seneca. Therefore a simplified  $V_c$  prediction model is derived in Section VI. Section VII discusses the results of the redesigned VPS. Based on the differences between the Piper Seneca VPS design and the Fokker 50 VPS design, Section VIII concludes on how sensitive the VPS design is to a change in aircraft dynamics and simulation model complexity.

### III. Minimum control speed air

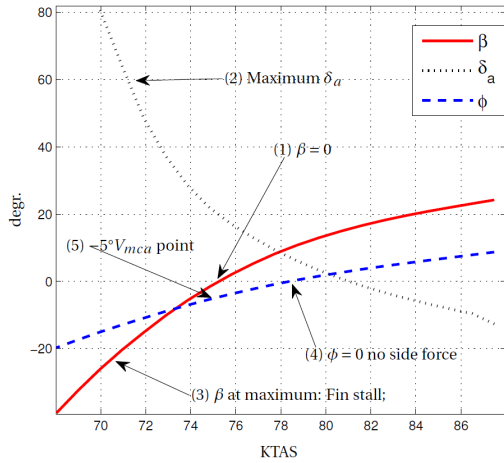
The starting point of the VPS sensitivity analysis is to identify the differences in aircraft states and control inputs between the Piper Seneca and the Fokker 50 in a roll-limited equilibrium situation. These differences are used to predict how the change in aircraft dynamics affects the Fokker 50 VPS redesign in Section V. For this study the most interesting roll-limited situation is a single-engine failure, because Koolstra designed the VPS to replace  $V_{mca}$ . Therefore the states and control inputs of the Piper Seneca and the Fokker 50 are determined for straight flight, with the critical engine inoperative and the propeller feathered and the non-critical engine at full throttle. This is done by solving the three lateral non-dimensional equations of motion numerically for sideforce, rolling moment and yawing moment, in which the four independent variables are roll angle, sideslip angle, aileron deflection and rudder deflection. To solve three equations for four unknowns, one variable needs to be fixed to a constant value. The flight scenario determines which variable is fixed. After a single-engine failure in a twin-turboprop aircraft two scenarios are chosen for investigation. In the first scenario the pilot maintains a zero sideslip angle for drag minimization, which means that the sideslip angle is fixed at zero. In the second scenario the aircraft is flying so slow that the pilot has to give full rudder input to maintain a constant heading, which means that the rudder is fixed at its maximum deflection. Koolstra determined the states and control inputs of the Piper Seneca in the full rudder deflection scenario, with the left engine at full throttle and the right engine inoperative and the propeller feathered. His most relevant results are summarized and elaborated upon in Section III.A. Similarly, the states and control inputs of the Fokker 50 for the full rudder deflection scenario are determined in Section III.B.

#### A. Piper Seneca states and control inputs

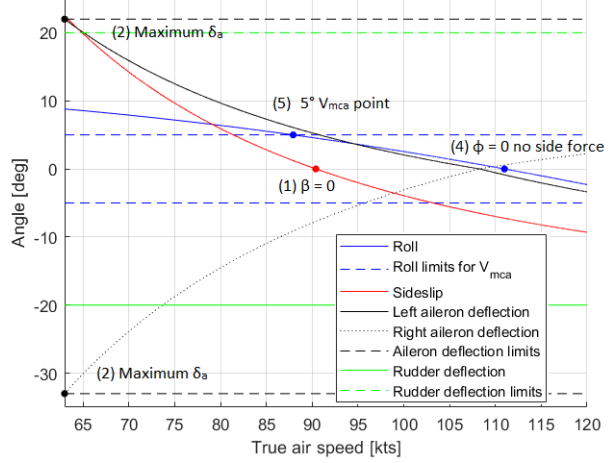
Koolstra determined the states and control inputs of the Piper Seneca after a single-engine failure numerically by solving the three lateral non-dimensional equations of motion for the sideslip angle, aileron deflection and roll angle, assuming straight and level flight with full rudder deflection.[2] As the Piper Seneca has counter-rotating propellers, it does not have a critical engine. The right engine was therefore randomly selected as the inoperative engine and the left engine was set to full throttle. The results are plotted in Figure 1a.[2] It highlights various points of interest; point 1 where the sideslip angle is zero for drag minimization, point 2 where maximum aileron deflection is reached, point 3 where the fin stalls, point 4 where the roll angle is zero for passenger comfort and point 5 where the roll angle decreases below -5 degrees, which is defined as  $V_{mca}$ . It is observed that the slopes of the required sideslip and roll angles and the required aileron deflection at  $V_{mca}$  are steep. At the velocity of  $V_{mca}$ , the slopes of the required sideslip angle, roll angle and aileron deflection are 4.0, 1.7 and -5.3 degrees per knot respectively. This indicates that lateral LOC-I in the Piper Seneca occurs rapidly with respect to air speed once  $V_{mca}$  is reached.

#### B. Fokker 50 states and control inputs

Similarly, the states and control inputs of the Fokker 50 after a single-engine failure are determined numerically for the full rudder deflection scenario by solving the three lateral non-dimensional equations of motion over a velocity range with full rudder deflection. This approach is similar to Koolstra's approach, but with a different model to fit the dynamics of the Fokker 50, different lateral aerodynamic and control coefficients and different engine data. The three lateral non-dimensional equations of motion are derived in Section 4-2-1 of [4], labeled as Equation 4-28. These equations are adjusted to represent steady straight flight and to account for asymmetric thrust. Terms which contain rotational rates, rotational accelerations and linear accelerations are therefore assumed to be zero, and thrust terms for each engine are added. The resulting equilibria in sideforce, rolling moment and yawing moment, denoted by subscripts  $Y$ ,  $l$  and  $n$  are reflected by Equations 1, 2 and 3. In these equations the variables are roll angle  $\phi$ , sideslip angle  $\beta$ , left and right aileron deflections  $\delta_{aL}$  and  $\delta_{aR}$ , rudder deflection  $\delta_r$  and left and right thrust coefficients  $C_{TL}$  and  $C_{TR}$ . Each of these variables has a corresponding aerodynamic or control coefficient. Their values are determined from the data



(a) Piper Seneca.[2]



(b) Fokker 50.

**Fig. 1 Numerically determined roll angle, sideslip angle and control surface deflections as a function of air speed during straight and level flight with the left engine inoperative and the propeller feathered, the right engine at full throttle and full rudder deflection.**

tables documented in [5], which have been generated from wind tunnel experiments and flight test data. These data tables are linearized around zero to obtain constant coefficients. Furthermore, the equations contain forces and moments caused by the thrust forces of the left and right engines and propellers  $T_L$  and  $T_R$  to account for asymmetric thrust. They are not non-dimensionalized like the other terms, because the required engine coefficients are not available from Fokker documentation. Instead, the engine forces and moments are identified from the Fokker 50 simulation model at two engine settings; inoperative with the propellers feathered and at full throttle. They are then modeled as a function of air speed and inserted into Equations 1, 2 and 3. Please note that the engine forces and moments are not the same as the coefficients related to the thrust coefficients  $C_{T_L}$  and  $C_{T_R}$ . These coefficients account for the propeller slipstream effects on the fuselage and tail, while the engine forces and moments account for the direct thrust of the propeller and jet stream, and the drag of the engine inlet.

$$\frac{mg}{\frac{1}{2}\rho V^2 S} \cdot \phi + (C_{Y_{\beta_{TO}}} + C_{Y_{\beta_T}}) \cdot \beta + C_{Y_{\delta_{aL}}} \delta_{aL} + C_{Y_{\delta_{aR}}} \delta_{aR} + C_{Y_{\delta_r}} \delta_r + C_{Y_{C_{TL}}} C_{TL} + C_{Y_{C_{TR}}} C_{TR} + \frac{Y_{T_L}}{\frac{1}{2}\rho V^2 S} + \frac{Y_{T_R}}{\frac{1}{2}\rho V^2 S} = 0 \quad (1)$$

$$0 + (C_{l_{\beta_{TO}}} + C_{l_{\beta_T}}) \cdot \beta + C_{l_{\delta_{aL}}} \delta_{aL} + C_{l_{\delta_{aR}}} \delta_{aR} + C_{l_{\delta_r}} \delta_r + C_{l_{C_{TL}}} C_{TL} + C_{l_{C_{TR}}} C_{TR} + \frac{l_{T_L}}{\frac{1}{2}\rho V^2 S b} + \frac{l_{T_R}}{\frac{1}{2}\rho V^2 S b} = 0 \quad (2)$$

$$0 + (C_{n_{\beta_{TO}}} + C_{n_{\beta_T}}) \cdot \beta + C_{n_{\delta_{aL}}} \delta_{aL} + C_{n_{\delta_{aR}}} \delta_{aR} + C_{n_{\delta_r}} \delta_r + C_{n_{C_{TL}}} C_{TL} + C_{n_{C_{TR}}} C_{TR} + \frac{n_{T_L}}{\frac{1}{2}\rho V^2 S b} + \frac{n_{T_R}}{\frac{1}{2}\rho V^2 S b} = 0 \quad (3)$$

The left and right aileron deflections  $\delta_{aL}$  and  $\delta_{aR}$  are modeled separately such that their corresponding control coefficients can be taken directly from [5]. Since this leads to an extra independent variable, an extra equation is needed to solve the three lateral non-dimensional equations of motion. Equation 4 relates the left aileron deflection to the right aileron deflection by the gearing  $G$ . In reality this gearing varies along the entire deflection range of the ailerons. However, to keep the model quasi-linear, it is assumed to be constant in each direction of deflection. Its value depends on the sign of the deflection to ensure that a maximum upward deflection of -33 degrees of the left aileron equals a maximum downward deflection of 22 degrees of the right aileron and vice versa.

$$\delta_{a_L} - G \cdot \delta_{a_R} = 0 \quad \text{with } G = \begin{cases} \frac{22}{-33} & \text{when } \delta_{a_L} \geq 0 \\ \frac{-33}{22} & \text{when } \delta_{a_L} < 0 \end{cases} \quad (4)$$

Geometric and mass properties of the Fokker 50 which are required to solve the three lateral non-dimensional equations of motion are listed in Table 1. Furthermore, the gear and flaps are assumed to be fully retracted.

**Table 1 Fokker 50 properties used in the three lateral non-dimensional equations of motion for the numerical determination of the states and control inputs after a single-engine failure.**

Property	Symbol	Value	Unit
Mass	m	17000	kg
Wing area	S	70	m <sup>2</sup>
Wing span	b	29	m

Using these data, the three lateral non-dimensional equations of motion are solved for the Fokker 50 for the case of a full rudder deflection of -20 degrees. The left engine is inoperative and the propeller is feathered, and the right engine is set to full throttle, because the left engine is the critical engine of the Fokker 50. The results are shown in Figure 1b. Comparing this figure to Figure 1a shows three differences. First, the plot is mirrored around the x-axis, because for the Fokker 50 the left engine is inoperative instead of the right engine. Second, point 3 which indicates fin stall is not indicated, because the drag of the vertical tail increases the yawing moment generated by the vertical tail when the sideslip angle increases even after the fin stalls, as shown in Figure 7.2.3b of [5]. Third, the slopes of the required roll and sideslip angles at  $V_{mca}$  for the Fokker 50 are a factor 10 smaller than for the Piper Seneca, with values of -0.5 and -0.2 degrees per knot respectively. This means that lateral LOC-I due to a single-engine failure occurs more gradually in the Fokker 50 than in the Piper Seneca when the air speed decreases. More importantly, the slope of the total aileron deflection at  $V_{mca}$  is only 0.8 degrees per knot, compared to -5.3 degrees per knot for the Piper Seneca. Since the  $V_c$  prediction model predicts the velocity at which a certain roll performance can be achieved based on the estimated available aileron deflection, this could lead to larger  $V_c$  prediction errors for the Fokker 50.

## IV. Description of the VPS

A short description of Koolstra's Piper Seneca VPS design is given to gain a better understanding of its design. The VPS predicts the velocity  $V_c$  at which the aircraft can obtain a certain roll angle within a certain amount of time during a maximum performance roll to the left or to the right.[2] It uses three lateral equations of motion to capture the aircraft's roll dynamics in the linear model described in Section IV.A. The parameters of this linear model are estimated online by one of four parameter estimation methods listed in Section IV.B. The estimated parameters are then used by the  $V_c$  prediction model described in Section IV.C to predict  $V_c$ . The three sections below do not only describe these three VPS components in detail, they also explain which options were considered by Koolstra for the design of the Piper Seneca VPS. Please refer to Chapters 4, 5 and 6 of [2] for the full derivation and design of the Piper Seneca VPS.

### A. Linear model

The linear model was derived by Koolstra to model the aircraft's lateral dynamics during a maximum performance roll.[2]. It consists of three lateral equations of motion around an arbitrary reference point to account for an unknown location of the center of gravity due to a structural failure. They were derived from Newton's second law, using a first order Taylor expansion to model the external forces. The entire linear model, which calculates the sideslip angle derivative  $\dot{\beta}$ , the roll angular acceleration  $\dot{p}$  and the yaw angular acceleration  $\dot{r}$  is stated below in Equations 5, 6 and 7 respectively. It should be noted that the terms  $T_L$  and  $T_R$  represent the torque percentages generated by the engines over the third power of the true air speed. This third power is required to obtain constant model parameters for an aircraft with turboprop engines.[2]

$$\begin{aligned} \frac{b\dot{\beta}}{V} = & Y_{\beta}\beta + Y_{\phi}\phi + Y_p \cdot \frac{pb}{2V} + Y_r \cdot \frac{rb}{2V} + Y_{\delta_a}\delta_a + Y_{\delta_r}\delta_r + Y_{T_L}T_L + Y_{T_R}T_R + Y_{a_z}\frac{a_z b}{2V^2} + Y_{\delta_f}\delta_f + \\ & Y_x \left( \frac{pb}{2V} \frac{rb}{2V} - 0.5 \frac{\dot{r}b^2}{2V^2} \right) + Y_y \left( \left( \frac{pb}{2V} \right)^2 + \left( \frac{rb}{2V} \right)^2 \right) + Y_z \left( \frac{qb}{2V} \frac{rb}{2V} - 0.5 \frac{\dot{p}b^2}{2V^2} \right) + Y_{\delta_a^3}\delta_a^3 + Y_{\beta^2}\beta^2 \end{aligned} \quad (5)$$

$$\begin{aligned} \frac{b^2\dot{p}}{2V^2} = & l_{\beta}\beta + l_{\phi}\phi + l_p \cdot \frac{pb}{2V} + l_r \cdot \frac{rb}{2V} + l_{\delta_a}\delta_a + l_{\delta_r}\delta_r + l_{T_L}T_L + l_{T_R}T_R + l_{a_z}\frac{a_z b}{2V^2} + l_{\delta_f}\delta_f + \\ & l_{\dot{q}}\frac{\dot{q}b^2}{2V^2} + l_{pq}\frac{pb}{2V}\frac{qb}{2V} + l_{qr}\frac{qb}{2V}\frac{rb}{2V} + l_{pr}\frac{pb}{2V}\frac{rb}{2V} + l_{q^2}\left(\frac{qb}{2V}\right)^2 + l_{r^2}\left(\frac{rb}{2V}\right)^2 + l_{p^2}\left(\frac{pb}{2V}\right)^2 + \\ & l_{a_x}\frac{a_x b}{2V^2} + l_{a_y}\frac{a_y b}{2V^2} + l_{\delta_a^3}\delta_a^3 + l_{\beta^2}\beta^2 \end{aligned} \quad (6)$$

$$\begin{aligned} \frac{b^2\dot{r}}{2V^2} = & n_{\beta}\beta + n_{\phi}\phi + n_p \cdot \frac{pb}{2V} + n_r \cdot \frac{rb}{2V} + n_{\delta_a}\delta_a + n_{\delta_r}\delta_r + n_{T_L}T_L + n_{T_R}T_R + n_{a_z}\frac{a_z b}{2V^2} + n_{\delta_f}\delta_f + \\ & n_{\dot{q}}\frac{\dot{q}b^2}{2V^2} + n_{pq}\frac{pb}{2V}\frac{qb}{2V} + n_{qr}\frac{qb}{2V}\frac{rb}{2V} + n_{pr}\frac{pb}{2V}\frac{rb}{2V} + n_{q^2}\left(\frac{qb}{2V}\right)^2 + n_{r^2}\left(\frac{rb}{2V}\right)^2 + n_{p^2}\left(\frac{pb}{2V}\right)^2 + \\ & n_{a_x}\frac{a_x b}{2V^2} + n_{a_y}\frac{a_y b}{2V^2} + n_{\delta_a^3}\delta_a^3 + n_{\beta^2}\beta^2 \end{aligned} \quad (7)$$

After this linear model was derived, Koolstra's main goal was to select the terms which resulted in the highest prediction accuracy of a maximum performance roll. Together these selected terms would form the optimal model for the Piper Seneca VPS. To prepare for the term selection process, the entire linear model was split up into a basic model containing 10 terms and 14 remaining additional terms. The 10 terms of the basic model are listed in Table 2a. Table 2b lists the 14 additional terms.

**Table 2 List of the linear model terms, including term identifiers and the corresponding model parameters.[2]**

ID	Term	Parameter			ID	Term	Parameter		
		Sideslip	Roll	Yaw			Sideslip	Roll	Yaw
B1	$\beta$	$Y_{\beta}$	$l_{\beta}$	$n_{\beta}$	1	$\frac{pb}{2V}\frac{rb}{2V} - 0.5\frac{\dot{r}b^2}{2V^2}$	$Y_x$	-	-
B2	$\phi$	$Y_{\phi}$	$l_{\phi}$	$n_{\phi}$	2	$\left(\frac{pb}{2V}\right)^2 + \left(\frac{rb}{2V}\right)^2$	$Y_y$	-	-
B3	$\frac{pb}{2V}$	$Y_p$	$l_p$	$n_p$	3	$\frac{qb}{2V}\frac{rb}{2V} - 0.5\frac{\dot{p}b^2}{2V^2}$	$Y_z$	-	-
B4	$\frac{rb}{2V}$	$Y_r$	$l_r$	$n_r$	4	$\frac{\dot{q}b^2}{2V^2}$	-	$l_{\dot{q}}$	$n_{\dot{q}}$
B5	$\delta_a$	$Y_{\delta_a}$	$l_{\delta_a}$	$n_{\delta_a}$	5	$\frac{pb}{2V}\frac{qb}{2V}$	-	$l_{pq}$	$n_{pq}$
B6	$\delta_r$	$Y_{\delta_r}$	$l_{\delta_r}$	$n_{\delta_r}$	6	$\frac{qb}{2V}\frac{rb}{2V}$	-	$l_{qr}$	$n_{qr}$
B7	$T_L$	$Y_{T_L}$	$l_{T_L}$	$n_{T_L}$	7	$\frac{pb}{2V}\frac{rb}{2V}$	-	$l_{pr}$	$n_{pr}$
B8	$T_R$	$Y_{T_R}$	$l_{T_R}$	$n_{T_R}$	8	$\left(\frac{qb}{2V}\right)^2$	-	$l_{q^2}$	$n_{q^2}$
B9	$\frac{a_z b}{2V^2}$	$Y_{a_z}$	$l_{a_z}$	$n_{a_z}$	9	$\left(\frac{rb}{2V}\right)^2$	-	$l_{r^2}$	$n_{r^2}$
B10	$\delta_f$	$Y_{\delta_f}$	$l_{\delta_f}$	$n_{\delta_f}$	10	$\left(\frac{pb}{2V}\right)^2$	-	$l_{p^2}$	$n_{p^2}$
<b>(a) Basic linear model terms.[2]</b>					11	$\frac{ba_x}{2V^2}$	-	$l_{a_x}$	$n_{a_x}$
					12	$\frac{ba_y}{2V^2}$	-	$l_{a_y}$	$n_{a_y}$
					13	$\delta_a^3$	$Y_{\delta_a^3}$	$l_{\delta_a^3}$	$n_{\delta_a^3}$
					14	$\beta^2$	$Y_{\beta^2}$	$l_{\beta^2}$	$n_{\beta^2}$
					<b>(b) Additional linear model terms.[2]</b>				

## B. Parameter estimation method

Since the VPS requires the parameters to be estimated online, the parameter estimation method needs to be recursive. Koolstra considered four parameter estimation methods for the Piper Seneca VPS: Recursive Least Squares (RLS) [6], a Forgetting Algorithm with Damping (FAD) with  $\lambda = 0.995$  and  $\delta = 200$  [7], the Modified Kalman Method (MKM) [6] and a Forgetting Algorithm (FA) with  $\lambda = 0.9975$  [8][9]. Koolstra selected one of these four parameter estimation methods by combining them with three linear model sizes and calculating the means and standard deviations of the  $V_c$  prediction errors of each combination. Based on these values, it was concluded that the combination of the basic linear model and the MKM formed the optimal configuration of the Piper Seneca VPS.

## C. $V_c$ prediction model

The  $V_c$  prediction model predicts at which velocity  $V_c$  the aircraft can obtain the required roll angle  $\phi_{req}$  within the time period  $T$  using the estimated linear model parameters. To obtain a  $V_c$  prediction from these inputs Koolstra derived a model which predicts the roll angle  $\phi$  as a function of time  $t$  during a maximum performance roll to the left and to the right.[2] The starting point of the derivation is Equation 6. All but the roll rate and the aileron terms are temporarily discarded from the right-hand side of this equation, under the assumptions that the sideslip angle and the yaw rate are zero, and that the load factor and the engine settings are constant. This results in the first order linear differential equation shown in Equation 8. To account for the discarded model terms, the aileron deflection  $\delta_a$  is changed to the total available aileron deflection  $\delta_{a_{av}}$ , which is the available aileron travel for the maximum performance roll.

$$\frac{b^2 \dot{p}}{2V^2} = l_p \cdot \frac{pb}{2V} + l_{\delta_a} \delta_{a_{av}} \quad (8)$$

After solving this differential equation for the roll rate as function of time using  $p(0) = 0$  radians per second as an initial condition, integrating once more using  $\phi(0) = \phi_0$  radians as an initial condition, and substituting  $\phi(T) = \phi_{req} + \phi_0$ , the total available aileron deflection  $\delta_{a_{av}}$  is calculated and substituted. This total available aileron deflection  $\delta_{a_{av}}$  is defined as the difference between the aileron limits, the current aileron deflection  $\delta_{a_{V_0}}$  and 9 corrections denoted by  $C$  in Table 3. For the definitions and equations of these corrections please refer to [2].

**Table 3** Corrections for the total available aileron deflection  $\delta_{a_{av}}$  used in the  $V_c$  prediction model.[2]

Correction	Description	Correction	Description
$C_1$	Roll constant at $V = 0$	$C_6$	Asymmetric mass
$C_2$	Change of roll constant with $V_{TAS}$	$C_9$	Roll coupling
$C_3$	Current $\dot{p}$ and $p$	$C_{10}$	Maximum $\delta_r$ in OEI
$C_4$	Thrust asymmetry	$C_{11}$	Adverse yaw
$C_5$	Maximum $\delta_r$		

Finally the total available aileron deflection for a maximum performance left and right roll is predicted with Equations 9 and 10 respectively. The independent variables in these equations are the predicted  $V_c$  for a left roll  $V_{cL}$  and the predicted  $V_c$  for a right roll  $V_{cR}$ . If valid solutions for  $V_{cL}$  and  $V_{cR}$  exist, a damped and limited Newton's method is used to determine  $V_{cL}$  and  $V_{cR}$ . This damped and limited Newton's method uses the predicted  $V_c$  from the previous time step as a starting point, and does only one iteration per time step with a maximum velocity step of 0.5 meters per second. This is different from the regular Newton's method, which keeps iterating until the solution has converged to a certain velocity error. In addition to limiting and damping Newton's method, the resulting values of  $V_{cL}$  and  $V_{cR}$  are clipped between 25 meters per second and the current velocity  $V_0 + 15$  meters per second to remove peaks from the predicted  $V_c$ . If no valid solution to Equations 9 or 10 exists,  $V_{cL}$  or  $V_{cR}$  is set to 25 meters per second. Finally the output of the  $V_c$  prediction model, which is the predicted  $V_c$ , is found by taking the maximum value of  $V_{cL}$  and  $V_{cR}$ .



$$0 = -\phi_{reqL} + (C_1 \cdot V_{cL} + C_2 \cdot V_{cL}^2) \cdot \left( \frac{-2 \cdot l_{\delta a}}{b \cdot l_p} \right) \cdot \left[ \delta_{amax} - \delta_{av_0} + C_3 - C_4 \cdot \left( \frac{V_0^2}{V_{cL}^2} - 1 \right) - C_6 \cdot \left( \frac{V_0^2}{V_{cL}^2} - 1 \right) - C_{5L} - C_{9L} - C_{10L} - C_{11L} \right] \quad (9)$$

$$0 = -\phi_{reqR} + (C_1 \cdot V_{cR} + C_2 \cdot V_{cR}^2) \cdot \left( \frac{-2 \cdot l_{\delta a}}{b \cdot l_p} \right) \cdot \left[ \delta_{amin} - \delta_{av_0} + C_3 - C_4 \cdot \left( \frac{V_0^2}{V_{cR}^2} - 1 \right) - C_6 \cdot \left( \frac{V_0^2}{V_{cR}^2} - 1 \right) - C_{5R} - C_{9R} - C_{10R} - C_{11R} \right] \quad (10)$$

The exact same  $V_c$  prediction model is used for the redesign of the Fokker 50 VPS in Section V, except for the inputs  $\phi_{req}$  and  $T$ . The Piper Seneca VPS as designed by Koolstra used a required roll angle of  $\phi_{req} = 30$  degrees within a time period of  $T = 1.5$  seconds. Table XXVIII in Mil. Spec. 1797 prescribes that the Fokker 50, a class II-L aircraft, in category C conditions requires  $\phi_{req} = 30$  degrees of roll in  $T = 1.8$  seconds or less for level 1 handling qualities.[10] These values are therefore used as inputs for Koolstra's  $V_c$  prediction model instead.

## V. Fokker 50 VPS redesign

The VPS is redesigned for the Fokker 50 by repeating Koolstra's VPS design process described in Chapters 5 and 6 of [2]. The design process consists of three steps. First, in Section V.A the 48 hypothetical failure simulations that Koolstra used are introduced, and on which the Fokker 50 VPS design choices were based, are simulated using the Fokker 50 simulation model. Second, in Section V.B additional terms of the linear model described in Section IV.A are selected, which leads to three suggested linear models. Third, in Section V.C these three linear models are combined with the four parameter estimation methods listed in Section IV.B, to determine which combination gives the lowest  $V_c$  prediction error with Koolstra's  $V_c$  prediction model.

### A. Failure simulations

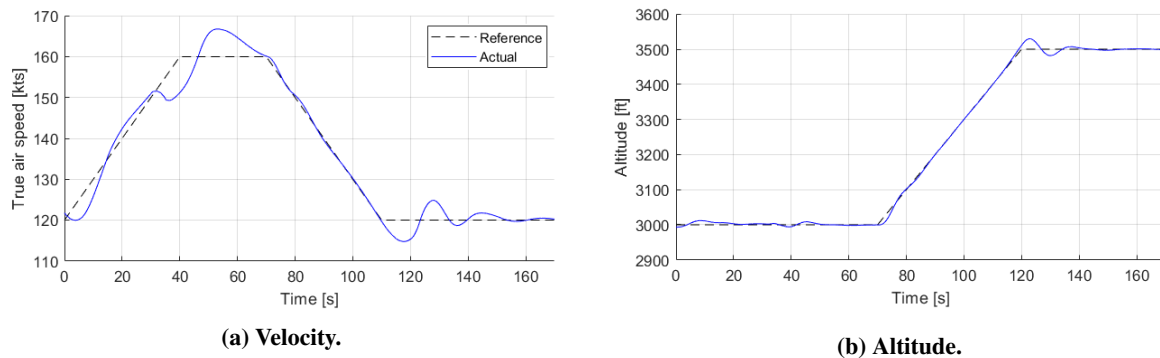
The first step in redesigning the VPS for the Fokker 50 is to generate data on which linear model term selection and parameter estimation method selection is based. Koolstra performed 48 different simulations with the Piper Seneca simulation model controlled by an autopilot. During these simulations the autopilot tracked altitude and velocity reference trajectories for 170 seconds. After that, the aircraft performed a maximum performance roll. Four different variations were added to the simulations by introducing the failures listed in Table 4, switching turbulence on or off, giving one or two sinusoidal input signals on the control surfaces to excite the system and by performing the maximum performance roll to the left or right.

**Table 4 List of the failure types, roll direction during the maximum performance roll and the amount of simulations out of the total of 48 simulations.[2]**

Failure	Roll direction	Runs	Failure	Roll direction	Runs
No failure	Left and right	8	Asymmetry left wing heavy	Right	4
50% loss of aileron	Left and right	8	Asymmetry right wing heavy	Left	4
100% loss of rudder	Left and right	8	Rudder hardover to the left	Right	4
Left engine failure	Right	4	Rudder hardover to the right	Left	4
Right engine failure	Left	4			

These 48 simulations are recreated with the Fokker 50 simulation model by tracking similar altitude and velocity reference signals using a custom-made autopilot. The four variations are replicated as well. First, the failures listed

in Table 4 are activated after 30 seconds. 50% loss of aileron and 100% loss of rudder are simulated by fixing the right aileron and the rudder at zero degrees deflection. Rudder hardover is simulated by fixing the rudder at maximum deflection. Left wing and right wing mass asymmetries are simulated by shifting the center of gravity 0.25 meters to the left and right. Second, turbulence is generated using the Joint Aviation Regulations (JAR) turbulence generator that was included in the Fokker 50 simulation model. Third, input signals are applied after 30 and optionally 90 seconds to all primary lateral controls including the throttle levers as a single sine wave with an amplitude of 10 degrees and a period of 5 seconds. Fourth, the maximum performance rolls are simulated by applying an instant minimum or maximum aileron deflection after 170 seconds. To provide an example of the trajectory of one of the 48 simulations, the velocity and altitude of a simulation with 50% loss of aileron, no turbulence, one set of control inputs and a left roll is plotted in Figures 2a and 2b. These figures are similar to Figures 5.2b and 5.2c presented in [2], which present the velocity and altitude of the Piper Seneca during the same simulation.



**Fig. 2** Trajectory of the Fokker 50 during a simulation with 50% loss of aileron, no turbulence, one set of control inputs and a maximum performance roll to the left.

## B. Linear model selection

The second step in redesigning the VPS for the Fokker 50 is to reselect the linear model based on the 48 failure simulations, which is done by repeating the selection process described in Chapter 5 of [2]. In the derivation of the  $V_c$  prediction model Koolstra obtained linear models for the sideslip angle derivative, the roll angular acceleration and the yaw angular acceleration. These three models contain a total of 24 different terms, which altogether form the **entire model**. To determine which of these terms are most capable of predicting the model outputs during the maximum performance rolls, Koolstra selected 10 terms to form a **basic model**. The 14 additional terms were either accepted or omitted through a selection process.

This selection process works as follows. The data from the simulations up to the maximum performance roll were used to estimate the parameters of the linear model offline using an Ordinary Least Squares (OLS) estimator. With these estimated parameters the three model outputs during the maximum performance roll were predicted. Each of these three model outputs were integrated once with the Runge Kutta method, to obtain predictions of the sideslip angle, the roll rate and the yaw rate during the maximum performance roll. The measured sideslip angle, roll rate and yaw rate at the start of the maximum performance roll were the initial conditions for this integration. Next, the accuracy of the predicted sideslip angle, roll rate and yaw rate was evaluated with one of six term selection methods, and the decision to accept or omit a term was made. Accepting or omitting additional model terms was a sequential process. Starting with the basic model, each additional model term was sequentially added to the current model and subjected to one of six selection methods. Based on the outcome, the additional term was either definitely added to the current linear model, or omitted. Therefore the order in which terms were added to the linear model affected the decision of accepting or omitting the remaining additional model terms. The intermediate result was a **final model** for each of the 48 simulations, which contained the additional terms that were accepted for that particular simulation. The desired end result was a single **optimal model**, which was obtained by composing a list of selection rates for each additional term over all 48 simulations and selecting three terms with relatively high selection rates to form the optimal model for the Piper Seneca. Finally this optimal model was evaluated based on its prediction errors.

This process is repeated to obtain similar results for the Fokker 50. The process starts by selecting the additional

linear model terms using a one of Koolstra's six term selection methods in Section V.B.1. Based on the resulting selection rates of the additional terms in the final models, an optimal model for the Fokker 50 is formed in Section V.B.2. This optimal model is evaluated based on its prediction errors in Section V.B.3.

### 1. Term selection method

The final models for each failure simulation of the Fokker 50 are found by choosing and applying one of the six selection methods used by Koolstra to the simulated data.[2] Initially Koolstra considered four different statistical selection methods, which all had a tendency to over-fit. These methods selected too many additional terms, which increased the prediction errors of the final models. Two 'Alternate methods' were therefore developed based on the Prediction Sum of Squares (PRESS) method, which uses one part of a data set to train the model and the other part to quantify the prediction accuracy by squaring and summing the prediction errors.[11] The two 'Alternate methods' do the same, using the first part of the data set to estimate the model parameters and the maximum performance roll to rate the increase in prediction accuracy based on five evaluation steps. In these five steps, each additional term is judged on three criteria. The first criterion is that the additional term must have a collinearity lower than 95% with other terms that have already been selected. The second criterion is that the improvement in the Sum of Squared Errors (SSE) of the predicted sideslip angle, roll rate or yaw rate must be higher than the prediction uncertainty originating from the uncertainty of the OLS parameter estimate. The third criterion is that the increased prediction accuracy must be significant, with a margin of at least one percent improvement of the SSE. The difference between the two 'Alternate methods' is that the regular 'Alternate method' selects terms based on the sideslip, roll and yaw equations, whereas the 'Alternate method -  $\phi$ ' selects terms based on the roll equation only. Koolstra decided to select the model terms with the regular 'Alternate method', because the 'Alternate method -  $\phi$ ' might give inaccurate predictions for sideslip and yawing motion. Therefore the regular 'Alternate method' is used to select the terms of the optimal model for the Fokker 50 as well.

### 2. Optimal model selection

Using Koolstra's regular 'Alternate method' as a selection method the selection rates of the additional terms in the final models are found, which finally leads to the optimal model containing the most relevant additional terms. The selection rates for the additional terms in the final models are shown in Table 5, along with the selection rates found by Koolstra for the additional terms of the Piper Seneca.[2] Two differences between the selection rates for Piper Seneca and the Fokker 50 are observed. First, the mean selection rate for additional terms of the yaw equation is more than

**Table 5 Selection rates for the additional sideslip model terms.**

ID	Term	Selection rates [%]	
		Piper Seneca	Fokker 50
1	$\frac{pb}{2V} \frac{rb}{2V} - 0.5 \frac{rb^2}{2V^2}$	0.0	18.8
2	$(\frac{pb}{2V})^2 + (\frac{rb}{2V})^2$	<b>20.8</b>	33.3
3	$\frac{qb}{2V} \frac{rb}{2V} - 0.5 \frac{pb^2}{2V^2}$	0.0	43.8
13	$\delta_a^3$	22.9	<b>39.6</b>
14	$\beta^2$	14.6	4.2
<b>Mean</b>		<b>11.7</b>	<b>27.9</b>

twice as small for the Fokker 50, which means that the additional yaw terms do not improve the yaw rate prediction for the Fokker 50 as much as for the Piper Seneca. The opposite is true for the sideslip and roll equations. Second, term 13, which is the term that represents non-linear aileron effects, is an important term for the roll equation of the Fokker 50. Since the output of the VPS is based on the predicted roll performance, this term is included in the optimal model for the Fokker 50, whereas Koolstra selected terms 2, 5 and 10 for the optimal model of the Piper Seneca. In short, the optimal model for the Fokker 50 is a combination of the basic model and additional term 13.

**Table 6 Selection rates for the additional roll and yaw model terms.**

ID	Term	Selection rates [%]		ID	Term	Selection rates [%]	
		Piper Seneca	Fokker 50			Piper Seneca	Fokker 50
4	$\frac{\dot{q}b^2}{2V^2}$	0.0	10.4	4	$\frac{\dot{q}b^2}{2V^2}$	10.4	0.0
5	$\frac{pb}{2V} \frac{qb}{2V}$	<b>12.5</b>	10.4	5	$\frac{pb}{2V} \frac{qb}{2V}$	<b>37.5</b>	6.3
6	$\frac{qb}{2V} \frac{rb}{2V}$	0.0	2.1	6	$\frac{qb}{2V} \frac{rb}{2V}$	22.9	6.3
7	$\frac{pb}{2V} \frac{rb}{2V}$	6.3	2.1	7	$\frac{pb}{2V} \frac{rb}{2V}$	25.0	8.3
8	$(\frac{qb}{2V})^2$	2.1	8.3	8	$(\frac{qb}{2V})^2$	14.6	6.3
9	$(\frac{rb}{2V})^2$	8.3	6.3	9	$(\frac{rb}{2V})^2$	22.9	6.3
10	$(\frac{pb}{2V})^2$	<b>31.3</b>	18.8	10	$(\frac{pb}{2V})^2$	<b>41.7</b>	12.5
11	$\frac{ba_x}{2V^2}$	4.2	6.3	11	$\frac{ba_x}{2V^2}$	0.0	4.2
12	$\frac{ba_y}{2V^2}$	4.2	2.1	12	$\frac{ba_y}{2V^2}$	8.3	2.1
13	$\delta_a^3$	2.1	<b>47.9</b>	13	$\delta_a^3$	18.8	<b>22.9</b>
14	$\beta^2$	4.2	22.9	14	$\beta^2$	20.8	12.5
<b>Mean</b>		<b>6.8</b>	<b>12.5</b>	<b>Mean</b>		<b>20.3</b>	<b>8.0</b>

(a) Roll equation. (b) Yaw equation.

### 3. Optimal model evaluation

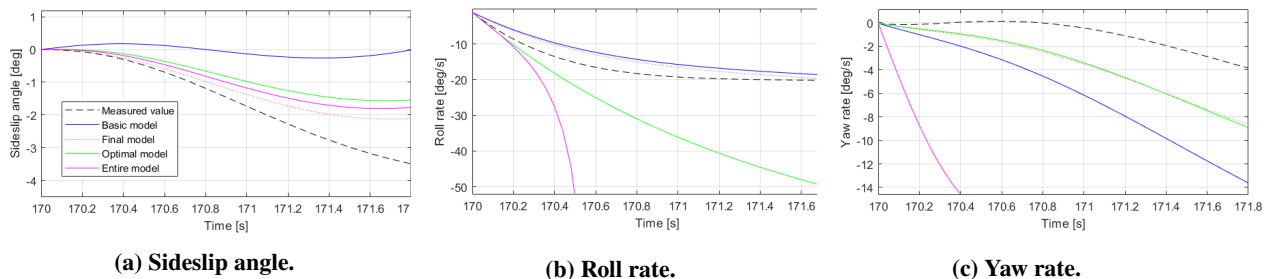
The prediction errors of this newly found optimal model are compared to the prediction errors of the basic model, the final models and the entire model to evaluate the performance of the optimal model. These prediction errors are obtained by taking the mean SSE of the sideslip angle, roll rate and yaw rate over all 48 simulations. The results for the Piper Seneca in Table 7a show that the final models have a lower prediction error than the basic model, which means that the term selection process improved the overall prediction accuracy.[2] Also, the optimal model has a higher prediction error than the basic model, which was described by Koolstra as over-fitting. Similar results are obtained for the Fokker 50 in Table 7b. The final models have a lower prediction error than the basic model, while the optimal model shows mixed results.

**Table 7 Sum of the squared prediction errors for the three model equations of the basic model, the final models and the optimal model for the Fokker 50.**

Variable	Model			Variable	Model		
	Basic	Final	Optimal		Basic	Final	Optimal
$\beta$ [rad <sup>2</sup> ]	0.4453	0.3494	1.0528	$\beta$ [rad <sup>2</sup> ]	0.4453	0.3494	1.0528
$p$ [(rad/s) <sup>2</sup> ]	0.0226	0.0160	0.0719	$p$ [(rad/s) <sup>2</sup> ]	0.0226	0.0160	0.0719
$r$ [(rad/s) <sup>2</sup> ]	0.0127	0.0077	0.0211	$r$ [(rad/s) <sup>2</sup> ]	0.0127	0.0077	0.0211

(a) Piper Seneca.[2] (b) Fokker 50.

To obtain a more visual representation of the prediction errors of the linear model, the model outputs during the maximum performance roll of one of the 48 simulations are plotted next to the measured values. The predicted sideslip angle, roll rate and yaw rate during the maximum performance roll of the simulation with mass asymmetry with a heavy right wing, no turbulence, one set of control inputs and a maximum performance roll to the left are plotted in Figure 3. The figure shows that the basic and final models are able to predict the roll rate relatively accurately, the optimal model over-estimates the roll rate and the entire model even diverges. At this stage the basic model therefore seems to be the most suitable for predicting a maximum performance roll of the Fokker 50. Following Koolstra's VPS design process however, the basic model, the optimal model and the entire model are all considered for the selection of the optimal configuration of the Fokker 50 VPS in Section V.C.



**Fig. 3** Outputs of the linear models during a maximum performance roll with mass asymmetry with a heavy right wing, no turbulence, one set of control inputs and a maximum performance roll to the left.

### C. Parameter estimation method selection

The third step in redesigning the VPS for the Fokker 50 is to choose a configuration of linear model and parameter estimation method which gives the lowest  $V_C$  prediction error. Koolstra analyzed a total of 48 configurations for the VPS; three model sizes, four parameter estimation methods, two normalization options of the engine model and two initial velocities of 80 and 120 knots.[2] This study limits itself to 12 configurations; three linear model sizes and four parameter estimation methods. The normalization option is not considered, because it hardly affected the  $V_C$  prediction error of the VPS for the Piper Seneca. Furthermore, only the initial velocity of 120 knots is used, because the initial velocity of 80 knots is lower than the stall speed of the Fokker 50. The 12 combinations of linear model and parameter estimation method therefore consist of three linear models; the basic model, the optimal model and the entire model, and the four parameter estimation methods described in Section IV.B. These 12 configurations are all re-implemented in the Fokker 50 VPS, and they are used to calculate estimates of the linear model parameters online in each of the 48 simulations. With these estimated model parameters the  $V_C$  prediction model predicts  $V_C$  for each of the 12 configurations in each of the 48 simulations based on the maximum performance rolls. The final result is the mean and standard deviation of the  $V_C$  prediction error for each of the 12 configurations over the 48 simulations, from which the optimal VPS configuration is selected.

To calculate the  $V_C$  prediction error, the predicted  $V_C$ , which is the output of the VPS, needs to be compared with the actual  $V_C$ , which is the actual velocity at which the required roll angle of 30 degrees is reached within precisely 1.8 seconds during a maximum performance roll. The problem however, is that it would require a sequence of maximum performance rolls at a range of air speeds to determine the actual  $V_C$  numerically. Koolstra found a solution by reversing the problem, using the measured change in roll angle achieved within the time period  $T$  during the maximum performance roll in each of the 48 simulations as input for the  $V_C$  prediction model, defining the resulting  $V_C$  as the predicted  $V_C$ , and defining the true air speed 0.5 seconds before the maximum performance roll as the actual  $V_C$ . Through this reversal, the predicted  $V_C$  can be compared directly to the actual  $V_C$ . This results in the mean and standard deviation of the  $V_C$  prediction error over each of the 48 simulations for each VPS configuration. Koolstra's results for the Piper Seneca in Table 8a show that four linear model and parameter estimation method combinations give a mean  $V_C$  prediction error of nearly 2.0 meters per second.[2] Koolstra decided to select the MKM and the basic linear model as the optimal configuration. The results for the Fokker 50 in Table 8b however show that the  $V_C$  prediction error is almost an order of magnitude larger for all configurations.

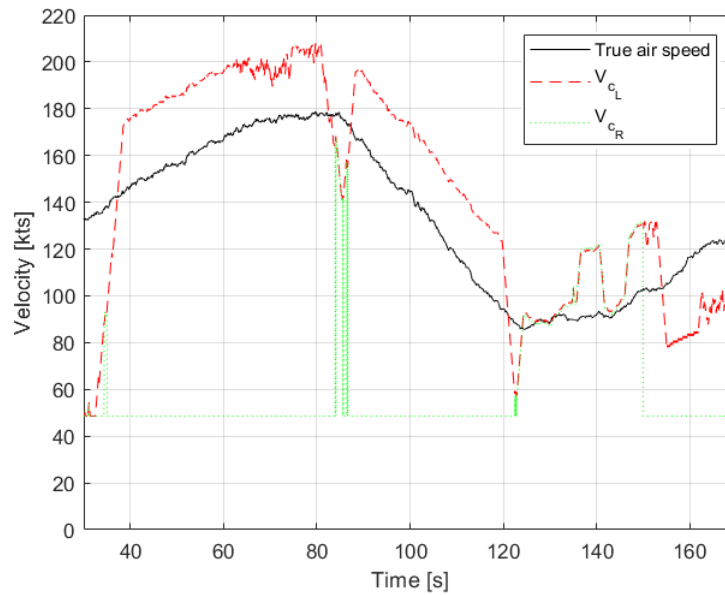
The cause of these disappointing results for the  $V_C$  prediction errors of the Fokker 50 is found by plotting the predicted  $V_C$  during the left engine failure simulation with one set of control inputs, turbulence on and the maximum performance roll to the right. Figure 4 plots the values of  $V_{CL}$  and  $V_{CR}$  as a function of time, as well as the true air speed using the basic model, the MKM and Koolstra's  $V_C$  prediction model. It clearly shows that the  $V_C$  prediction model struggles to find a stable solution. The  $V_C$  prediction model clips the  $V_C$  predictions to values between 25 and the true air speed plus 15 meters per second. Since Section V.B.3 showed that the basic model accurately predicts the maximum performance roll, these large prediction errors must be caused by the  $V_C$  prediction model. Variations to Koolstra's  $V_C$  prediction model were therefore applied, such as setting all corrections and the current aileron deflection in Equations 9 and 10 to zero. This led to a mean prediction error of 5.2 meters per second with a standard deviation of 7.3 meters per second for the combination of basic model and MKM, hinting that Koolstra's  $V_C$  prediction model might be too complex for the Fokker 50 VPS. Therefore a new, simplified  $V_C$  prediction model was derived.

**Table 8 Means and standard deviations of the  $V_c$  prediction errors for each VPS configuration in meters per second.**

Model	Size		RLS	FAD	MKM	FA	Model	Size		RLS	FAD	MKM	FA
Basic	10	Mean	2.1	3.0	<b>2.2</b>	2.8	Basic	10	Mean	11.7	9.6	14.4	10.5
		SD	2.3	3.3	<b>2.3</b>	2.7			SD	10.7	16.0	17.4	11.1
Optimal	13	Mean	2.0	3.0	2.2	2.9	Optimal	11	Mean	12.7	26.3	15.6	13.3
		SD	2.1	3.3	2.3	2.5			SD	11.4	17.4	17.6	16.2
Entire	22	Mean	5.6	15.0	5.4	7.9	Entire	24	Mean	12.6	31.2	18.4	13.1
		SD	7.4	8.2	6.3	10.8			SD	10.6	11.6	21.0	16.2

(a) Piper Seneca.[2]

(b) Fokker 50.



**Fig. 4 Predicted  $V_{cL}$  and  $V_{cR}$  during the left engine failure simulation with one set of control inputs, turbulence on and a maximum performance roll to the right using the basic model, the MKM and Koolstra's  $V_c$  prediction model.**

## VI. Simplified $V_c$ prediction model

A simplified  $V_c$  prediction model is derived to decrease the  $V_c$  prediction errors of the redesigned Fokker 50 VPS. The starting point of the derivation is the same as for Koolstra's  $V_c$  prediction model; Equation 6. Koolstra's approach to solving this equation was to substitute the total available aileron deflection  $\delta_{av}$  for most of the right-hand terms, solving the resulting first order linear differential equation algebraically, and applying corrections for the available aileron travel later on. For simplification purposes however, this derivation solves Equation 6 directly for the roll angle  $\phi$  as a function of time  $t$  without substituting  $\delta_{av}$ , such that no corrections are required. All aircraft states, control inputs and air path variables which are not derivatives of the roll angle are assumed to be constant during a maximum performance roll of 1.8 seconds. As a result, this equation can be re-written in the form of Equation 11 with the current roll angle and roll rate as initial conditions and the constants  $P$ ,  $Q$ ,  $R$  and  $S$  defined in Equations 12 to 15.

$$P\ddot{\phi}(t) + Q\dot{\phi}(t) + R\phi(t) = S \quad \text{with } \phi(0) = \phi_0 \quad (11)$$

$$\dot{\phi}(0) = p_0$$

$$P = \frac{b^2}{2V^2} \quad (12)$$

$$Q = -\frac{b}{2V}l_p \quad (13)$$

$$R = -l_\phi \quad (14)$$

$$S = l_\beta\beta + l_r \cdot \frac{rb}{2V} + l_{\delta_a}\delta_a + l_{\delta_r}\delta_r + l_{T_L}T_L + l_{T_R}T_R + l_{a_z}\frac{a_z b}{2V^2} + l_{\delta_f}\delta_f \quad (15)$$

This equation is a second order non-homogeneous linear differential equation with initial conditions  $p(0)=p_0$  and  $\phi(0)=\phi_0$ . It can have three different algebraic solutions, depending on the value of the discriminant  $D$  in Equation 16.[12].

$$D = Q^2 - 4PR \quad (16)$$

When  $D > 0$  the roots of the auxiliary equation are real and distinct, which means that the general solution is given by Equation 17 with the constants defined in Equations 18 to 21. Substituting  $\phi(T) = \phi_0 + \phi_{req}$  leads to Equation 22.

$$\phi(t) = c_1 e^{r_1 t} + c_2 e^{r_2 t} + \frac{S}{R} \quad (17)$$

$$r_1 = \frac{-Q - \sqrt{D}}{2P} \quad (18)$$

$$r_2 = \frac{-Q + \sqrt{D}}{2P} \quad (19)$$

$$c_2 = \frac{p_0 - r_1(\phi_0 - \frac{S}{R})}{r_2 - r_1} \quad (20)$$

$$c_1 = \phi_0 - \frac{S}{R} - c_2 \quad (21)$$

$$0 = -(\phi_0 + \phi_{req}) + c_1 e^{r_1 T} + c_2 e^{r_2 T} + \frac{S}{R} \quad (22)$$

When  $D = 0$  the auxiliary equation has only one real root, which means that the general solution is given by Equation 23 with the constants defined in Equations 24 to 26. Substituting  $\phi(T) = \phi_0 + \phi_{req}$  leads to Equation 27.

$$\phi(t) = c_1 e^{r_1 t} + c_2 t e^{r_1 t} + \frac{S}{R} \quad (23)$$

$$r_1 = \frac{-Q}{2P} \quad (24)$$

$$c_1 = \phi_0 - \frac{S}{R} \quad (25)$$

$$c_2 = p_0 - r_1 c_1 \quad (26)$$

$$0 = -(\phi_0 + \phi_{req}) + c_1 e^{r_1 T} + c_2 T e^{r_1 T} + \frac{S}{R} \quad (27)$$

When  $D < 0$  the roots of the auxiliary equation ( $\alpha - \beta i$  and  $\alpha + \beta i$ ) lie in the complex plane, which means that the general solution is given by Equation 28 with the constants defined in Equations 29 to 32. Substituting  $\phi(T) = \phi_0 + \phi_{req}$  leads to Equation 33.

$$\phi(t) = e^{\alpha t} \cdot [c_1 \cos(\beta t) + c_2 \sin(\beta t)] + \frac{S}{R} \quad (28)$$

$$\alpha = \frac{-Q}{2P} \quad (29)$$

$$\beta = \frac{\sqrt{-D}}{2P} \quad (30)$$

$$c_1 = \phi_0 - \frac{S}{R} \quad (31)$$

$$c_2 = \frac{p_0 - \alpha c_1}{\beta} \quad (32)$$

$$0 = -(\phi_0 + \phi_{req}) + e^{\alpha T} \cdot [c_1 \cos(\beta T) + c_2 \sin(\beta T)] + \frac{S}{R} \quad (33)$$

Depending on the value of the discriminant, Equation 22, 27 or 33 is solved for  $V_{CL}$  and  $V_{CR}$  with the bisection method. For a left roll  $\phi_{req}$  is equal to -30 degrees and  $\delta_a$  is equal to the minimum aileron deflection. For a right roll  $\phi_{req}$  is equal to 30 degrees and  $\delta_a$  is equal to the maximum aileron deflection. For all other aircraft states and control inputs the currently measured values are used. The bisection method has velocity bounds of 30 to 300 meters per second and a convergence error of 0.1 meters per second. The reason why the lower velocity bound is not 0 meters per second, is that these equations can have two solutions between 0 and 300 meters per second, the first of which usually lies between 0 and 30 meters per second. Additionally, these equations are undefined for  $V = 0$ . By using the bisection method instead of Newtons method, there is no need to calculate any function derivatives.

With this simplified  $V_c$  prediction model the mean  $V_c$  prediction errors are re-evaluated for the basic linear model and each of the four parameter estimation methods. The results in Table 9 show an improved  $V_c$  prediction accuracy for the MKM. The forgetting algorithms on the other hand perform worse. In an effort to improve their performance their forgetting factors are changed to 0.9 and 1.0. As a result, the mean  $V_c$  prediction error of the FAD changes to 193.5 and 22.9 meters per second respectively. The mean  $V_c$  prediction error of the FA is much less sensitive to these changes, with 100.5 and 95.8 meters per second respectively.

Model	Size		RLS	FAD	MKM	FA
Basic	10	Mean	15.8	152.7	<b>5.2</b>	95.8
		SD	14.0	92.3	<b>6.4</b>	82.8

**Table 9** Means and standard deviations of the  $V_c$  prediction errors for each VPS configuration of the Fokker 50 in meters per second using the simplified  $V_c$  prediction model.

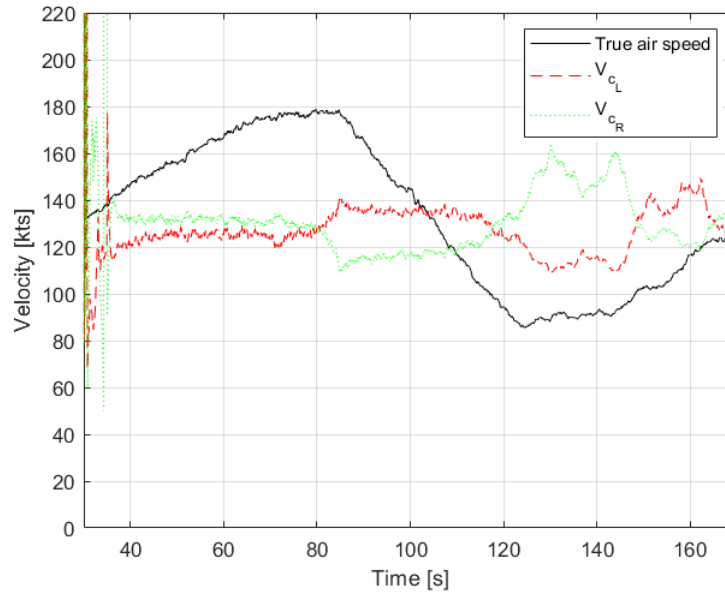
These results show that the optimal configuration for the Fokker 50 VPS is the basic model, the MKM and the simplified  $V_c$  prediction model. The values of  $V_{CL}$  and  $V_{CR}$  predicted by this VPS configuration during the left engine failure simulation with one set of control inputs, turbulence on and a maximum performance roll to the right is plotted in Figure 5. The MKM needs the first five seconds to find realistic parameter estimates. After that, the predicted  $V_c$  settles around 120 knots. This plot shows that the simplified  $V_c$  prediction model provides a more stable  $V_c$  prediction for the Fokker 50 than the  $V_c$  prediction model of the Piper Seneca VPS. Also, the mean  $V_c$  prediction error of 5.2 meters per second is better than the  $V_c$  prediction errors using Koolstra's  $V_c$  prediction model from Table 8b, but still twice as large as the smallest mean  $V_c$  prediction error for the Piper Seneca from Table 8a.

## VII. Discussion

The final step in the sensitivity analysis is to discuss what the results from the redesign of the Fokker 50 VPS mean for the sensitivity of the VPS to a change in aircraft dynamics and simulation model complexity. Since the VPS consists of three components, the sensitivity of each of these components is discussed separately below. It should be noted that this discussion is only based on two data points; the Piper Seneca VPS design and the Fokker 50 VPS design.

In Section V.B it was found that both the Piper Seneca and the Fokker 50 favor the basic linear model. The basic linear model is able to accurately predict the roll rate of both the Piper Seneca and the Fokker 50 during a maximum





**Fig. 5 Predicted  $V_{c_L}$  and  $V_{c_R}$  during the left engine failure simulation with one set of control inputs, turbulence on and a maximum performance roll to the right using the basic model, the MKM and the simplified  $V_c$  prediction model.**

performance roll. Even though the used Fokker 50 simulation model is more complex than the Piper Seneca simulation model, adding additional terms did not improve the prediction accuracy but led to over-fitting. The linear model of the VPS is therefore not sensitive to a change in aircraft dynamics and simulation model complexity.

The four parameter estimation methods showed similar  $V_c$  prediction errors for the Fokker 50 when they were used in combination with Koolstra's  $V_c$  prediction model in Table 8b. The same is true for the  $V_c$  prediction errors of the Piper Seneca in Table 8a. Comparing these two tables shows that the relative differences between the four parameter estimation methods are small for both aircraft. When these four parameter estimation methods were combined with the simplified  $V_c$  prediction model however in Table 9, the two forgetting algorithms caused diverging  $V_c$  predictions. This means that the simplified  $V_c$  prediction model favors parameter estimation methods with covariance matrix resets after failure detection such as RLS and MKM, because they provide stable and steady parameter estimates. This comparison however analyzes the sensitivity of the parameter estimation methods to a change in  $V_c$  prediction model. The sensitivity of the parameter estimation method to a change in aircraft dynamics and simulation model complexity is displayed in relative differences in Tables 8a and 8b, and the fact that the MKM was selected for the VPS of both aircraft. The parameter estimation methods are therefore not sensitive to a change in aircraft dynamics and simulation model complexity either.

The  $V_c$  prediction model from the Piper Seneca VPS however is very sensitive to a change in aircraft dynamics and simulation model complexity, as it struggled to find a stable and accurate  $V_c$  prediction when it was implemented in the Fokker 50 VPS. Especially the corrections in Equations 9 and 10 seemed to suit the dynamics of the Piper Seneca only. Even after deriving a simplified  $V_c$  prediction model, the mean  $V_c$  prediction error for the Fokker 50 was still more than twice as large as the mean  $V_c$  prediction error for the Piper Seneca. This was already expected after analyzing the states and control inputs of the two aircraft in a roll-limited situation in Section III, but based on only two data points it is uncertain whether this is the only reason for the decrease in  $V_c$  prediction accuracy.

## VIII. Conclusion

In this paper the sensitivity of the Piper Seneca VPS design to a change in aircraft dynamics and simulation model complexity has been analyzed using a Fokker 50 simulation model. By repeating Koolstra's VPS design process for the Fokker 50 it was shown that no changes to the linear model are required, since the basic linear model accurately predicts a maximum performance roll for both aircraft. Also, the MKM was selected for both aircraft, because this parameter estimation method gives the lowest  $V_c$  prediction errors. The  $V_c$  prediction model is very sensitive to a change in aircraft

dynamics and simulation model complexity. Whether this high sensitivity can be attributed to the change in aircraft dynamics, the change in simulation model complexity or both, can not be concluded based on the results from this study. This study has however provided a first indication that the Piper Seneca VPS is not generally applicable to all aircraft types and/or simulation models. It is only a first indication, because this sensitivity analysis is only based on two data points; the Piper Seneca VPS design and the Fokker 50 VPS design.

For further research it is therefore recommended to implement the VPS in the simulation model of another aircraft type, such as a long-range passenger jet or a large transport aircraft. If the results show that the VPS consisting of the basic linear model, the MKM and the simplified  $V_c$  prediction model is the optimal VPS configuration for this new aircraft type as well, this would indicate that the simplification of the  $V_c$  prediction model has made the VPS more applicable to a wider range of aircraft dynamics. Otherwise it should be investigated which specific dynamic features of an aircraft determine the applicability the  $V_c$  prediction model.

### Acknowledgements

The authors would like to thank Dr. H. J. Koolstra for inspiring this research and for explaining the results of his research. Our gratitude also goes to Ing. D. van Os from Fokker Services for providing the Fokker 50 simulation model and for his continued support for the Fokker 50 simulation model project.

### References

- [1] Belcastro, C. M., and Foster, J. V., "Aircraft Loss-of-Control Accident Analysis," AIAA Guidance, Navigation, and Control Conference, 2010.
- [2] Koolstra, H. J., "Preventing Loss of Aircraft Control: Aiding pilots in manual recovery from roll-limited situations." Ph.D. thesis, Delft University of Technology, 2017.
- [3] *Electronic Code of Federal Regulations: Title 14 Aeronautics and Space*, Federal Aviation Administration, Department of Transportation, ????
- [4] Mulder, J. A., van Staveren, W. H. J. J., van der Vaart, J. C., de Weerd, E., de Visser, C. C., in 't Veld, A. C., and Mooij, E., "Flight Dynamics," March 2013. Lecture notes AE3202.
- [5] van den Bovenkamp, N., van Dijk, M., and Ham, D., "Aerodynamic data base for the Fokker F27 Mk050," Tech. Rep. L-27-218, Fokker Services BV, mar 2002.
- [6] Graupe, D., *Identification of Systems*, 2<sup>nd</sup> ed., Robert E. Krieger Publishing Company, 1976.
- [7] Kamali, C., Pashilkar, A. A., and Rao, J. R., "Evaluation of Recursive Least Squares algorithm for parameter estimation in aircraft real time applications," *Aerospace Science and Technology*, Vol. 15, 2011, pp. 165–174.
- [8] Mayne, D. Q., "Optimal Non-stationary Estimation of the Parameters of a Linear System with Gaussian Inputs," *Journal of Electronics and Control*, Vol. 14, No. 1, 1963, pp. 101–112.
- [9] Raol, J. R., Girija, G., and Singh, J., *Modelling and Parameter Estimation of Dynamic Systems*, Control, Robotics and Sensors, The Institution of Engineering and Technology, 2004.
- [10] Anon, "Flying Qualities of Piloted Aircraft," Tech. rep., Department of Defense, 1997.
- [11] Allen, D. M., "The Relationship between Variable Selection and Data Augmentation and a Method for Prediction," *Technometrics*, Vol. 16, No. 1, 1974, pp. 125 – 127.
- [12] Stewart, J., *Calculus Early Transcendentals*, 7<sup>th</sup> ed., Brooks/Cole, 2012.