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## A 0.5-3GHz Receiver with a Parallel Preselect Filter Achieving 120dB/dec Channel Selectivity and +28dBm Out-of-Band IIP3

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Recent sub-6GHz receivers (RXs) attempted to realize RF channel selection at the RX input for suppressing large close-in blockers. Although mixer-first RXs can achieve sharp RF filtering and good out-of-band (OOB) linearity, they suffer from large noise figure (NF) and high LO leakage [1][2]. Alternatively, [3]-[5] exploited an N-path notch filter around an LNTA to simultaneously achieve low NF and a moderate channel selection at the RX input. However, their OOB IIP<sub>3</sub> and blocker 1dB compression point (B<sub>1dB</sub>) are at least 10dB worse than the mixer-first RXs. This paper proposes an LNTA-based RX that shows a similar OOB linearity as prior art mixer-first RXs without sacrificing NF. This is achieved by (1) adding a parallel preselect filter at the RX input to improve the RF selectivity and achieve +6dBm B<sub>1dB</sub>; (2) proposing third-order RF and baseband filters to attenuate close-in blockers by a 120dB/dec roll-off; (3) introducing a feedback network to reduce the in-band (IB) gain fluctuations to <0.5dB.

Fig.1(a) shows a simplified block diagram of prior art LNTA-based RXs [3]-[5], where a notch filter (Z<sub>N</sub>) is placed across the LNTA to provide RF selectivity through Miller theorem, while passive mixers and transimpedance amplifiers (TIAs) downconvert and further filter the input signal. However, when Z<sub>N</sub> is replaced with an ideal 3<sup>rd</sup>-order bandstop filter, the simulated roll-offs of the RX input voltage gain (G<sub>IN</sub>) and input impedance (Z<sub>IN</sub>) are much smaller than the ideal 60dB/dec (see Fig.1(c)-(e)). Note that the LNTA's effective load (Z<sub>L</sub>) and its open-loop gain (G<sub>M</sub>Z<sub>L</sub>) drop by 20dB/dec at OOB frequencies due to the TIAs' low-pass input impedance and the transparency of passive mixers. Hence, the desired Miller effect at the RX input is corrupted by Z<sub>N</sub> interaction with the LNTA's open-loop gain variations at OOB frequencies, degrading the filtering shape and slope.

To resolve that, as shown in Fig.1(b), a preselect filter (PF) consisting of a transconductance stage (G<sub>M,P</sub>) and bandstop filter (Z<sub>N</sub>) is added in *parallel* with the RX chain. The LNTA is also exempted from the RF filtering task by removing its notch filter. The PF shunts the blocker current to ground and realizes an RF selectivity directly at the RX input, thus relaxing LNTA linearity requirements. Besides, since no consecutive stages load the PF, its output loading is now constant over frequency, and the Miller shunt equivalent is only defined by Z<sub>N</sub>. Hence, by using the same Z<sub>N</sub> in this structure, G<sub>IN</sub> now sharply drops by the ideal 60dB/dec slope and immediately reaches its far-out value, significantly improving OOB IIP<sub>3</sub> and B<sub>1dB</sub> (see Fig.1(c)-(e)). Moreover, the PF in the absence of large blockers can be turned off to reduce RX power consumption and NF.

To realize Z<sub>N</sub> with tunable filters, we propose to employ a 3<sup>rd</sup>-order high-pass filter and translate its impedance to RF by using the transparency of the passive mixers, as shown in Fig.2. The ground ports of the inductors driven by 180° out-of-phase clocks (i.e., φ<sub>i</sub> and φ<sub>i+M/2</sub> where i=1...M/2) are then connected to halve the number of baseband (BB) inductors, which each of them is later implemented with a differential gyrator and a capacitor. In the presence of strong blockers at far-out frequencies, a large voltage swing appears at the source terminal of the switches, disrupting the PF operation due to switch failures. Consequently, M shunt capacitors (C<sub>B</sub>) are added at those nodes to further attenuate the far-out blockers, thus improving the OOB B<sub>1dB</sub> by ~3dB.

Fig.3(a) shows the proposed RX block diagram in which the PF first suppresses the blockers and LNTA converts the filtered input voltage to a current, which is then down-converted by passive mixers driven with 8-phase non-overlapping clocks. The TIAs in Fig.3(b) employ a 3<sup>rd</sup>-order high-pass filter as their feedback impedance to convert the BB currents to voltages and further filter the OOB blockers. The TIA's BB inductors are realized as in the PF. Finally, the harmonic rejection is implemented by a weighted combination of the TIAs' outputs.

Due to the G<sub>M,P</sub> delay, the RX frequency response suffers from a slight center frequency shift and a few dB of peaking near the lower edge of the passband, as shown in Fig.3(c, e). This delay introduces an undesired feedback current injected into the RX input, which effectively changes Z<sub>IN</sub> at the passband edges in opposite directions.

To resolve that, we inserted a translational feedback (TF) path by up-converting BB signals at TIAs outputs with 90° phase shift (× j) and feeding them back to the RX input via R<sub>m</sub> resistors and C<sub>m</sub> capacitor. As shown in Fig.3(d, e), the TF creates peaking at the passband's upper edge and shifts the RX transfer function to higher frequencies with an offset related to the RX bandwidth (BW<sub>RF</sub>) and ω<sub>LO</sub>R<sub>m</sub>C<sub>m</sub>. Therefore, a flat IB gain can be achieved as the effects of two impedance peakings due to the G<sub>M,P</sub> delay and TF cancel out each other. Note that R<sub>m</sub> value is determined by the 50Ω matching and C<sub>m</sub> is chosen to achieve a flat IB gain. In the low-noise mode, where the PF is off, the RX characteristics are different, and thus, another TF path with a different LO phase relation is used to keep the passband gain flat.

The 40nm RX prototype occupies a core area of 0.8mm<sup>2</sup>. As shown in Fig.4(a,b), the measured in-band S<sub>11</sub> and RX gain (G<sub>RX</sub>) are respectively better than -19dB and 33.5dB over the 0.5-to-3GHz input frequency range. To demonstrate the PF impact on Z<sub>IN</sub>, S<sub>11</sub> is measured in Fig.4(c) while sweeping G<sub>M,P</sub>. OOB S<sub>11</sub> increases with G<sub>M,P</sub> and reaches -4.5dB. The NF measures down to 2.6dB (3.1dB) at 0.5GHz, and up to 3.9dB (4.7dB) at 3GHz in the low-noise (linear) mode (Fig.4(d)). Fig.4(e) shows the G<sub>RX</sub> transfer function in three different scenarios. First, the PF and BB inductors in TIAs are disabled. Hence, the system just relies on the single real pole of the TIAs, showing only ~20dB/dec roll-off. In the 2<sup>nd</sup> case, by enabling the BB inductors in the TIAs, the 3<sup>rd</sup>-order LPFs with complex poles are activated. Hence, the passband is flattened and the G<sub>RX</sub> roll-off increases to ~60dB/dec. Finally, activating PF realizes another 3<sup>rd</sup>-order filtering and increases the G<sub>RX</sub> transition roll-off to ~120dB/dec. When the frequency exceeds the gyrators' BW, the slope of the transition band returns to ~20dB/dec. As shown in Fig.4(f), when the PF is on (off) and the TF is disabled (enabled), a gain peaking at the lower (upper) edge of the passband is observed. When both blocks are activated, they cancel each other and the IB gain ripple is <0.5dB.

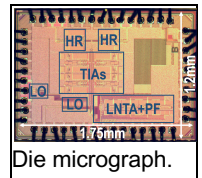
As shown in Fig.5(a,b), thanks to the RX 6<sup>th</sup>-order channel selectivity, IIP<sub>3</sub>/IIP<sub>2</sub> improves from -13/+18dBm IB to +18.7/+77dBm OOB at the adjacent channel, Δf/BW<sub>RF</sub>=1, where Δf is the frequency offset from the LO. As depicted in Fig.5(c,d), B<sub>1dB</sub> improves from -5dBm to +5.5dBm by activating the PF, and NF only degrades by 5dB when RX faces a +5dBm blocker at Δf/BW<sub>RF</sub>=3.3. Fig.5(e) shows the measured EVM of a 100MS/s 64QAM OFDM signal with a 10dB PAPR versus its power (P<sub>s</sub>). Thermal noise dominates EVM at P<sub>s</sub><-50dBm, the LO phase noise then kicks in and saturates the EVM to -34dB, and finally, EVM degrades due to the RX IB linearity at P<sub>s</sub>>-35dBm. With the same input signal at P<sub>s</sub>=-60dBm, the RX EVM is only degraded by 2dB when facing a 0dBm blocker at Δf/BW<sub>RF</sub>=3.3.

As shown in the comparison table in Fig.6, this work outperforms other LNTA-based RXs [3]-[5] in filtering order, OOB IIP<sub>3</sub> and B<sub>1dB</sub>, while showing a competitive NF in the low-noise mode. Compared to mixer-first [1][2] and filtering-by-aliasing [6] RXs, only [6] offers a better OOB IIP<sub>3</sub> but at much higher NF, and lower BW, and operating frequency while its passband is not flat.

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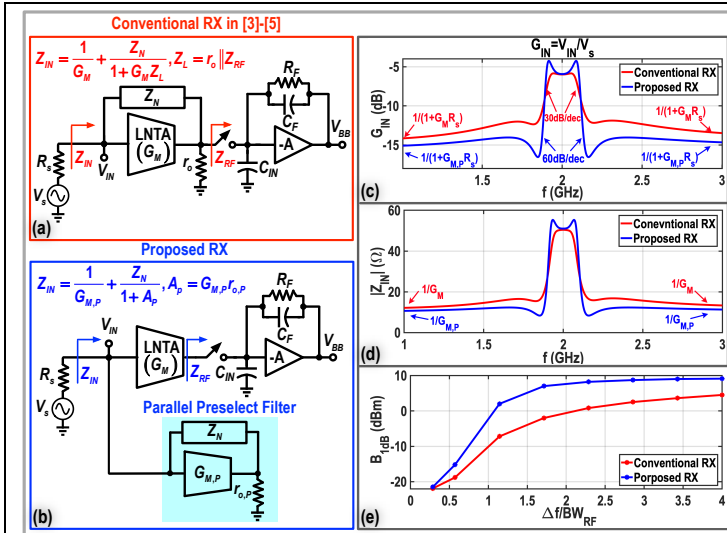


Fig. 1. (a) Conventional LNTA-based RXs [3]-[5] and (b) proposed RX with a PF; the simulation results of (c)  $G_{IN}$ , (d)  $Z_{IN}$ , and (e)  $B_{1dB}$  of those structures when  $Z_N$  is an ideal 3<sup>rd</sup>-order bandstop filter.

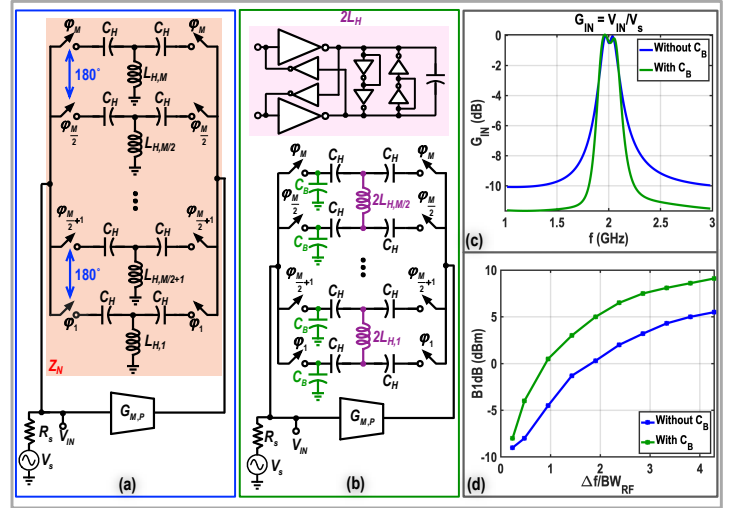


Fig. 2. (a) Initial and (b) final implementation of the preselect filter employing passive mixers and 3<sup>rd</sup>-order high pass filters; Simulated (c)  $G_{IN}$  and (d)  $B_{1dB}$  of the proposed structure with/without  $C_B$ .

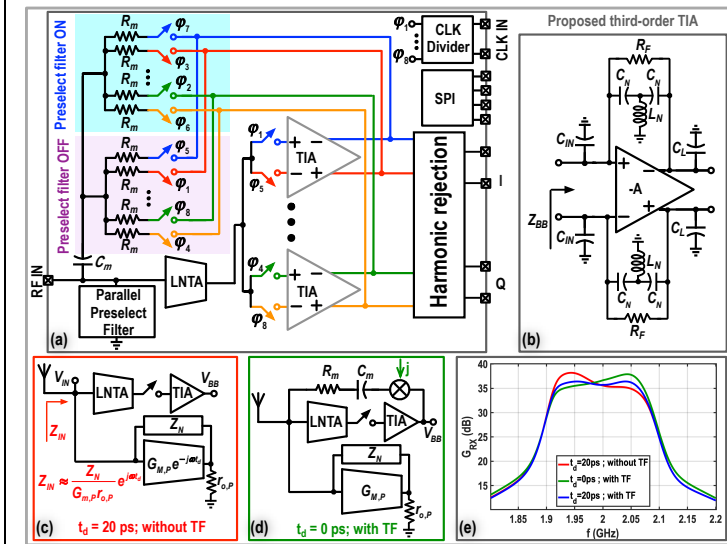


Fig. 3. Block diagram of the proposed (a) RX and (b) 3<sup>rd</sup>-order TIA; (c) effect of the  $G_{M,P}$  delay on  $Z_{IN}$ , (d) proposed translational feedback path, and (e) simulated  $G_{RX}$  in different scenarios.

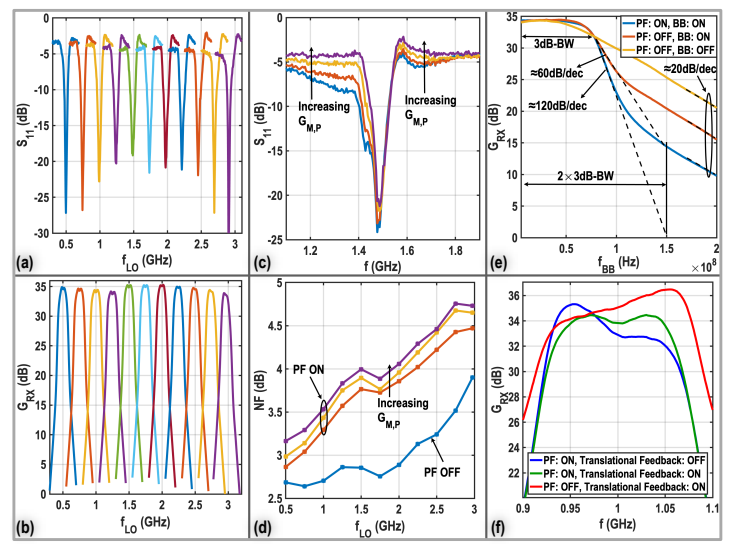


Fig. 4. Measured (a)  $S_{11}$  and (b)  $G_{RX}$  versus LO frequency; Effect of the PF on (c)  $S_{11}$  and (d) NF; Measured gain transfer function (e) and passband response of the receiver in different scenarios (f).

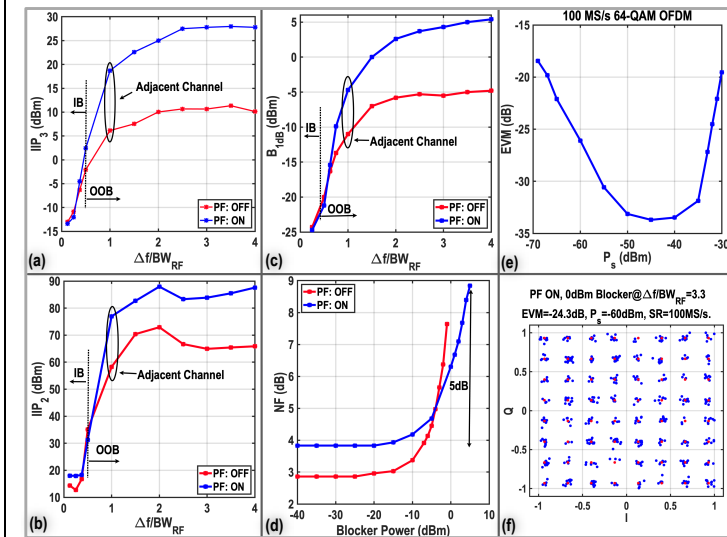


Fig. 5. Measured (a)  $IIP_3$ , (b)  $IIP_2$ , (c)  $B_{1dB}$ , (d) blocker NF when the PF is OFF/ON; (e) Measured EVM versus input signal power, (d) Measured EVM when facing a 0dBm blocker at  $\Delta f/BW_{RF} = 3.3$ .

	This Work		Wang	Razavi	Montazerolghaem	Pini	Krishnamurthy	Bu
	Low Noise	Linear	JSSC 2021[3]	VLSI 2021[4]	ISSCC2021[5]	JSSC2020[1]	SSCL2021[2]	JSSC2021[6]
Architecture	LNTA Based	Linear	LNTA Based	LNTA Based	LNTA Based	Mixer First	Mixer First	Slice-Based
Technique	Preselect filter and third order TIA	Linear	Gm boosting N-path filter	Harmonic rejecting	Programmable zeros and second-order TIA	Third Order Current Mode Filtering	High Order Impedance + N-Path	Filter by Aliasing
Technology	40 nm CMOS	45 nm FDSON	28 nm	40nm CMOS	28 nm CMOS	28 nm CMOS	28 nm CMOS	28 nm
$f_{LO}$ (GHz)	0.5 - 3	0.2 - 2	0.4 - 6	0.4 - 3.2	0.5 - 2	0.2 - 3.5	0.1 - 1	0.1 - 1
Gain (dB)	25-45	40	54	36	32.4	16.3	10	10
Flat BW	Yes	No	No	Yes	Yes	Yes	Yes	No
Single Ended Input	Yes	Yes	Yes	Yes	No	Yes	Yes	Yes
Supply (V)	1.3	1.2/1.6	-	1.3/1.2	1.8/1.2	1.4/1.2	0.9	0.9
Active Area (mm <sup>2</sup> )	0.8	1.05	1.9	0.6	0.16	1.5	1.3	1.3
NF (dB)	2.6 - 3.9	3.1 - 4.7	2.1-2.5	2.1/4.2 <sub>3</sub>	2.7 - 3.6	5.5 <sup>†</sup>	6.5 - 12	10.8
0dBm BNF (dB)	N/A	6.5	6.7	5.3/7.1 <sub>1</sub>	8.4	10	9	14
BW <sub>1</sub> (MHz)	180	150	20	0.2 - 160	160	260	30	5 - 20
Filtering-order (dB/dec)	-60	-120	-40	-60	-60	-60	-80	-60 <sup>‡</sup>
RF Selectivity (dB/dec)	N/A	-60	-20	-20	-20	-20	-80	N/A
OOB IP <sub>2</sub> (dBm)	7.5	22.6	5	9.4	10	21	23	30
OOB IP <sub>3</sub> (dBm)	70.4	82.7	48	N/A	60	70	N/A	82
$B_{1dB}$ (dBm)	-5	5	N/A	N/A	-5	3	10	12.1
LO Leakage (dBm) at 1GHz	-90	-76	-85	N/A	-77	N/A	N/A	-85
EVM (dB)	-27.6 <sup>†</sup>	-26.3 <sup>†</sup>	N/A	-25.3 <sup>†</sup>	-26.4 <sup>†</sup>	N/A	N/A	N/A
0dBm Blocker EVM (dB)	N/A	-24.3 <sup>†</sup>	N/A	N/A	N/A	N/A	N/A	N/A
Power (mW)	74	96	68-95	23 - 49	58.5	21.6 + 7.8 mW/GHz	100 mW at 1GHz	21 - 31 Channel

Fig. 6. Performance comparison with state-of-the-art receivers.