

### A study of in-situ sediment flocculation in the turbidity maxima of the Yangtze Estuary

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# 1 A study of in-situ sediment flocculation in the turbidity maxima of

## 2 the Yangtze Estuary

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- 30 Highlights:
- In-situ floc properties are examined in the turbidity maxima of the Yangtze
   Estuary.
- 2. Flocculation exhibits strong temporal and vertical variations over a tidal cycle.
- 34 3. Turbulence exerts major control on flocculation in this case.
- 35 4. Tidally varying flocculation has implication on siltation in the estuarine turbidity36 maxima.

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#### 60 Abstract

In order to improve our understandings of temporal and vertical variations of 61 sediment flocculation dynamics within the turbidity maxima (TM) of the highly turbid 62 Yangtze Estuary (YE), we deployed LISST-100C, a laser instrument for in-situ 63 monitor of the sizes and concentrations of flocculated particles in a wet season. Field 64 data in terms of vertical profiles of flow velocity, suspended sediment concentration 65 (SSC), salinity, flocculated particle size distribution and volume concentration were 66 67 obtained, based on field works conducted at consecutive spring, moderate, and neap tides. 68

Data analyses show that the mean floc diameters  $(D_M)$  were in the range of 14-95 69 µm, and flocculation exhibited strong temporal and vertical variations within a tidal 70 cycle and between spring-neap cycles. Larger D<sub>M</sub> were observed during high and low 71 slack waters, and the averaged floc size at neap tide was found 57% larger than at 72 spring tide. Effective density of flocs decreased with the increase of floc size, and 73 fractal dimension of flocs in the YE was mainly between 1.5 and 2.1. We also 74 estimated the settling velocity of flocs by 0.04-0.6 mm s<sup>-1</sup> and the largest settling 75 velocity occurred also at slack waters. Moreover, it is found that turbulence plays a 76 dominant role in the flocculation process. Floc size decreases significantly when the 77 shear rate parameter G is >2-3 s<sup>-1</sup>, suggesting the turbulence breaking force. 78 Combined effects of fine sediment flocculation, enhanced settling process, and high 79 sediment concentration resulted in a large settling flux around high water, which can 80 in part explain the severe siltation in the TM of the YE, thus shedding lights on the 81 82 navigation channel management.

Keywords: Sediment flocculation; Floc settling; Turbidity maxima; the Yangtze
Estuary.

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#### 90 1. Introduction

Flocculation plays an important role in cohesive sediment transport, which has been 91 observed in various natural aquatic environments, including fresh and saline waters 92 (Eisma, 1986; Droppo and Ongley, 1992; Dyer and Manning, 1999). Transportation of 93 fine-grained suspended sediment is heavily dependent on the formation of flocs and 94 their enhanced settling velocities which are orders of magnitude larger than that of the 95 primary particles (Dyer, 1989; Whitehouse et al., 2000; Manning, 2004; Mehta, 2013). 96 97 Therefore modelling and predicting cohesive sediment behavior demand good understandings of flocculation and floc settling processes (Soulsby et al., 2013). Since 98 flocs are dynamic during transportation and they are highly fragile, traditional water 99 sampling method may disrupt the flocs and unable to get the real properties of flocs in 100 field. Hence in-situ instruments and techniques were needed and well developed, e.g., 101 photography and video system (Eisma et al., 1990; Fennessy et al., 1994a; Manning 102 and Dyer, 1999), and in-situ laser diffraction particle sizers (Agrawal and Pottsmith, 103 2000; Mikkelsen and Pejrup, 2001). The LISST (Laser In-Situ Scattering and 104 105 Transmissiometry) is such an in-situ instrument widely used for flocculation studies (Mikkelsen and Pejrup, 2001; Fugate and Friedrichs, 2002; Xia et al., 2004; Curran et 106 al., 2007; Guo and He, 2011; Markussen and Andersen, 2014). It is user-friendly and 107 easy to handle in obtaining floc size distributions and volume concentrations. 108 Moreover, LISST can be used to collect flocs information at different water depths 109 and in a broader space scale, much easier and more quickly and cost efficient than 110 using of cameras. 111

Brownian motion, differential settling, and fluid shears are three fundamental 112 113 factors causing collision and aggregation of primary particles (Tsai et al., 1987). Many researches in the early periods had concluded that effects of Brownian motion 114 on flocculation in estuarine and coastal environments were negligible (McCave, 1984; 115 Partheniades, 1993; van Leussen, 1994). And the effect of differential settling was 116 found much small through experiments by Stolzenbach and Elimelech (1994). 117 Therefore, a number of researches focused on the effects of turbulent shears, turbidity, 118 salinity, and biochemical processes on the development of flocs (Droppo and Ongley, 119

1992; Milligan and Hill, 1998; Winterwerp, 1998; Dyer and Manning, 1999; van 120 Leussen, 1999). Fennessy et al. (1994b) reported that high current shears exert 121 controlling influence on flocculation processes based on field data and Mietta et al. 122 (2009) suggested that mean floc size increases with increasing organic matter content 123 based on laboratory examinations and so on. van der Lee (2000) found that an 124 increase in floc size with increasing suspended sediment concentration (SSC) in the 125 Dollard Estuary, which disagrees with the results of Burban et al. (1989). Dyer (1989) 126 127 provided a classical conceptual diagram on variations of floc size with SSC and turbulent shear, which showed that low shear promotes floc growth due to collision 128 whereas a high shear leads to floc break-up, and the floc size increases with 129 increasing SSC in quiescent water, however, larger flocs formed at higher 130 concentrations are easily disrupted by shears. The conceptual model was confirmed 131 by some works but did not meet all the situations and most of the researches were 132 conducted in the low turbidity environments with SSC smaller than about 0.5 g l<sup>-1</sup> 133 (Milligan and Hill, 1998; Manning and Dver, 1999; Xia et al., 2004; Markussen and 134 135 Andersen, 2014; Sahin, 2014). It thus still needs more work in highly turbid systems to further extend our understandings of flocculation dynamics. 136

This study is devoted to examining flocculation in the estuarine turbidity maxima 137 (TM) of the Yangtze Estuary (YE), a river- and tide-controlled muddy system with 138 high SSC. Based on the laboratory and field researches, it was found that floc size 139 increased with increase of SSC below 10 g  $l^{-1}$ , and the optimum salinity range for 140 flocculation was 4-15‰ and the critical current velocity for flocculation was about 141 40-50 cm s<sup>-1</sup> in the YE (Zhang et al., 1995; Guan et al., 1996; Jiang et al., 2002; Tang, 142 2007; Wan et al., 2015). But most of the existed researches in the YE were from lab 143 experiments, and less research had been focused on the variation of flocculation 144 through water column in spring-neap tidal cycles. 145

Training works in the North Passage (NP) of the YE in the aim to achieve a 12.5 m deep-water navigation channel lead to a huge amount of dredging requirement (60-100 million m<sup>3</sup> every year) (Xie et al., 2010; Song and Wang, 2013). It is thus eagerly to know where the sediments come from and how the sediments deposit in the NP. Since the NP locates in the estuarine TM zone of the YE which is characterized by high SSC of fine sediment, understandings of flocculation processes and their impacts on sediment transport will benefit searching for answers of why siltation is such high in the NP. We aim to get a better understanding of flocculation dynamics in the estuary, and the purposes of this study are to reveal floc properties at different tidal phases in the TM of the YE, and identify its implications on the channel siltation from the point view of flocculation.

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### 158 2. Field work and methodology

### 159 **2.1. Introduction to the Yangtze Estuary**

The YE is a meso-tidal estuary with a mean tidal range of 2.66 m and spring tidal 160 range up to 5 m. The annual river flow is approximately 9,000 km<sup>3</sup> (1950-2010) at 161 Datong, a station about 640 km landward the estuary mouth, and the water discharge 162 at Datong is usually used to represent discharge to the YE. The mean and maximal 163 water discharges in 2014 are about 28,000 m<sup>3</sup> s<sup>-1</sup> and 56,300 m<sup>3</sup> s<sup>-1</sup>, respectively. And 164 the decadal maximal discharge at Datong is  $65,100 \text{ m}^3 \text{ s}^{-1}$  (2005-2014). The 165 morphology of the YE is featured by three bifurcations and four outlets (see Figure 166 1a). The NP is now a man-made 12.5 m (below reference level) deep-water 167 navigational channel. The NP is 92.2 km long and the observation site located in the 168 middle part of this channel, where most back-silting occurred in recent years (Figure 169 1b). 170

The tide is irregularly semi-diurnal and the mean ebb tide duration is approximately 7.5 hours. Water depth is about 13 m (below mean water level) at the observation site and peak ebb and flood current velocities are 2.8 m s<sup>-1</sup> and 1.8 m s<sup>-1</sup> at spring tide, and peak ebb and flood velocities are 1.6 m s<sup>-1</sup> and 1.2 m s<sup>-1</sup>, respectively, at neap tide. The median diameters of suspended primary particles are mainly about 6-9  $\mu$ m, and constitutes of particles include about 40% clay, 54% silt, and 6% sand in this area.

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Fig. 1. Map of the morphology of the Yangtze estuary and the field observation site(black circle dot). Bathymetric contours of 5 and 10 shown are in meters referenced tothe lowest low water.

#### 183 2.2. In-situ measurements

Field works were conducted between July 13 and July 23 (wet season) in 2014, 184 including spring, moderate, and neap tidal conditions. River discharges are about 185 46,000 m<sup>3</sup> s<sup>-1</sup> at Datong during the field survey. A shipboard downward-looking 186 ADCP (Acoustic Doppler Current Profilers) was used to measure current velocity. 187 The sampling interval was 10 s and the bin size was 0.5 m. In-situ flocculated particle 188 size distributions were measured with the LISST-100 (type C), and the range of 189 particle size that the LISST-100C can differentiate is 2.5-500 µm with an accuracy of 190 1 phi. The LISST is based on light transmittance through a sample volume of water, it 191 emits and records the laser in 32 scattering angle ranges, and then the signal is 192 inverted to a volume distribution over 32 rings (Agrawal and Pottsmith, 2000). The 193 194 LISST was lowered through the water column at a steady speed from 0.5 m below surface to 1 m above the bottom at a depth interval of about one-fifth of water depth 195 every hour. LISST was set to sample every 5 seconds, and it measured at each layer 196

(totally 6 layers) for at least 1 minute. A volume 1.2 liters of water sample was also
collected every hour at 7 different water depths (0, 0.2, 0.4, 0.6, 0.8, 0.9 and 1.0 water
depth) with an alpha water sampler, which was used for analyzing salinity, SSC, and
primary particle size distribution.

Primary particle sizes were analyzed by a Coulter Counter after removing organic 201 material and destroying flocs with sonification. By removing organic materials with 202 hydrogen peroxide in lab, information of primary particles of both the macroflocs and 203 microflocs could be obtained (Van Leussen, 1999). SSC were determined by filtration 204 through pre-weighed filters, then the filters were dried at 60°C for eight hours. The 205 organic matter contents of the sediment collected in spring and neap tide were 206 determined through ignition at 450°C for 6 hours, results showed that organic matter 207 in the mud of YE was about  $3\% (\pm 1\%)$ . 208

209

### 210 2.3. Data processing

#### 211 Floc properties

The LISST-100C recorded in-situ particle size distributions every 5 seconds, and then the raw data were analyzed by the LISST-SOP (version 5.00). The processed data were averaged over 1 min in each layer in order to eliminate short-term variations (Mikkelsen and Pejrup, 2001). The mean floc diameter  $D_M$  was calculated from the volume concentration distribution, and mean effective density of floc  $\Delta \rho$  was calculated as below (Fettweis, 2008):

218 
$$\Delta \rho = \rho_F - \rho_W = \frac{M_P}{V_F} - \rho_W = \left(1 - \frac{\rho_W}{\rho_P}\right) \frac{M_P}{V_F}$$
(1)

where  $\rho_F$  is floc density,  $\rho_W$  is water density,  $V_F$  is the floc volume concentration derived from LISST and  $M_P$  indicates the mass suspended sediment concentration measured through filtration of water samples.  $\rho_P$  is primary particle density which is estimated to be 2,570 kg m<sup>-3</sup>, given a density of 1,300 kg m<sup>-3</sup> for organic matter (Markussen and Andersen, 2013) and an organic matter content of 3% (mass ratio) determined by loss on ignition. The effective densities of flocs are used here to indicate the ability of the flocs being suspended in the water in counteracting the 226 buoyancy.

Based on the self-similar fractal entities, it was proposed by Kranenburg (1994)that:

$$\Delta \rho \propto (\rho_P - \rho_W) (\frac{D_M}{d})^{nf-3}$$
(2)

where *d* is the diameter of the primary particle and *nf* is the floc fractal dimension, a mathematical parameter used as an indicator of particle morphology. The fractal dimension of flocs usually varies between about 1.4 for large fragile flocs, and about 2.2 for strong flocs (Kranenburg, 1994).

During this investigation, all the mean floc diameters were smaller than 100  $\mu$ m, thus the Stokes' formula was used to estimate settling velocity as follows:

$$\omega_s = \frac{D_M^2 \Delta \rho g}{18\mu}$$
 (3)

where g is the acceleration due to gravity and  $\mu$  is the molecular viscosity of water.

### 238 Turbulent shear

The shear rate parameter G in the logarithmic velocity layer was calculated in accordance with Pejrup and Mikkelsen (2010):

241 
$$G = \sqrt{\frac{u_*^3(1-z/H)}{v\kappa z}}$$
 (4)

where *v* is the kinematic viscosity,  $\kappa$  is Von Karman's constant ( $\kappa$ =0.41), *z* is the height above bed, *H* is the water depth and  $u_*$  is the friction velocity, it can be calculated through:

245 
$$u_* = \frac{u_b \kappa}{\ln\left(z/z_0\right)} \tag{5}$$

246  $u_b$  is the current velocity,  $z_0$  is the roughness length, and  $z_0$  is assumed to be constant 247 of 3 mm in this work. This value has been used in the simulation of sediment 248 transport in the turbidity maxima of the YE (Ge et al., 2012).

249

#### 250 **3. Results**

### 251 **3.1. Hydrodynamics and sediment concentrations**

Figure 2 shows vertically and time varying mean flow velocity, near bottom turbulence, salinity, SSC, and mean floc size at spring and neap tides.

254 During the field survey period, there were no strong winds, so the influence of wind

and wind-generated waves can be ignored. Turbulent shear in the water was mainlycaused by flow velocity and varied with tidal phases.

The time series of current velocities suggested that tidal waves were asymmetrical with an ebb-dominance, the maximum vertically-averaged ebb flow velocities were 2.3 m s<sup>-1</sup> and 1.4 m s<sup>-1</sup> at spring and neap tides, respectively (Figures 2a, and 2e). During flood period, acceleration and deceleration stages were also asymmetrical. It only took about 2 hours from low water slack (LWS) to maximum flood, which was one half of the decelerating time. However, the time of accelerating and decelerating during ebb was almost the same.

Vertical variations of salinity and SSC over time are presented also in Figure 2. 264 Salinity was lower than 2‰ most of the time, and the maximum salinity was about 11‰ 265 at both spring and neap tides. Large salinity only lasted a few hours around high water 266 slack (HWS). At spring tide, the smallest SSC through the water column was about 267 0.1 g  $l^{-1}$  and SSC was larger than 0.3 g  $l^{-1}$  most of the time. The mean SSC was 268  $0.68\pm0.28$  g l<sup>-1</sup> and there were four peaks of SSC during the investigation period of 269 270 two tidal cycles. Increased current velocity led to high near-bed turbulent shears and resulted in sediment resuspension around the peak flood periods. During the shift 271 from flood to ebb, the near bottom SSC increased fast, and the largest SSC reached 7 272 g 1<sup>-1</sup>. High SSC in the surface of water column dropped rapidly around HWS which 273 can be attributed to flocculation-enhanced settling. At neap tide, SSC became smaller 274 compared to spring tide. The maximum bottom SSC was  $1.73 \text{ g} \text{ l}^{-1}$  and the averaged 275 SSC over the whole tidal cycle was 0.24±0.12 g l<sup>-1</sup>. Overall SSC increased with 276 277 increased bottom shear stress.





Fig. 2. (a, e) Vertical mean flow velocity and turbulent shear (ebb is positive), (b, f) salinity in ‰, (c, g) suspended sediment concentration in g  $l^{-1}$ , and (d, h) distribution of mean floc size in µm. Left panels are results at spring tide, and right four panels are the results at neap tide.

### 284 **3.2. Floc parameters**

#### 285 Mean floc diameter

The  $D_M$  varied between 14 and 95  $\mu$ m in a tidal cycle at spring tide with a mean value of 27±13  $\mu$ m (Figure 2d). The mean  $D_M$  was 43±10  $\mu$ m at neap tide, about 57% larger than spring tide. Floc sizes varied largely in a tidal cycle. Larger flocs developed around slack waters of low turbulent intensity, and flocs were larger during HWS than LWS. It was found that the largest flocs systematically occurred with the peaks of salinity around HWS. Floc size increased from surface to near bottom during slack water, though, part of the near bottom data were not obtained as a result of too high turbidity for LISST to work normally. Smaller flocs persisted at time with strong turbulence, particularly around peak flood and ebb tides.

295

### 296 Vertical distribution and particle size distribution (PSD)

Figures 3a, and 3b show vertical profiles of mean floc sizes during different typical tidal phases at spring tide. Flocs were smallest in size when current magnitudes were maximal and flocs at different depths were larger during the slack water period.  $D_M$ was uniform at all depths at both flood and ebb acceleration and peak velocity periods. However,  $D_M$  increased from surface layer to near bottom layer during the time of deceleration and slack waters, which can be ascribed to differential settling when the turbulent shear was low.

304 Figures 3c and 3d show PSDs of in-situ flocs at peak flood and LWS and the averaged PSD of primary particles. The PSD of primary particles is unimodal with a 305 peak at about 10 µm, but that of in-situ flocs detected by LISST are mainly bimodal. 306 One peak of the floc PSD corresponds to 10 µm as the PSD of primary particles, 307 whereas the second peak of floc PSD around 30-90 µm is more prominent. The larger 308 peak at LWS increased from surface to bottom layers, the same tendency as D<sub>M</sub> 309 profile. Note that there were raised tails at both ends of the distribution curves, 310 because of the presence of flocs beyond the confined measurement range (2.5-500 311 312  $\mu$ m) of LISST. These flocs with diameter <2.5  $\mu$ m and >500  $\mu$ m are likely to cause over- or under-estimation of D<sub>M</sub> to some degree, respectively. Voulgaris and Meyers 313 (2004) tried to minimize this effect by creating additional rings corresponding to 314 larger size bands, and it turned out that this measure did not cause a big difference 315 because the dominant portion of flocs are detected by LISST. For that reason, the 316 impact of the tails on the PSD of flocs was not treated. 317



Fig. 3. Panels (a) and (b) show vertical variations of mean floc size in different tidal phases, and panels (c) and (d) show size distributions of both dispersed and flocculated particles. Panel (c) shows the results at peak flood and (d) shows the results at LWS at spring tide. PP indicates primary particle, and H is the water depth.

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### 324 Effective density and settling velocity of flocs

The effective density of flocs calculated by equation (1) varied between 60 and 450 325 kg m<sup>-3</sup>. It tended to decrease with increasing floc size and the mean effective density 326 of all the flocs observed was 215 kg m<sup>-3</sup> (Figure 4). However, there was a wide 327 scattering of effective density for a certain D<sub>M</sub>. Most of the floc size was in the range 328 of 30-60 µm, and the largest spread of effective density also occurred in this range, 329 indicating that the effective densities of flocs with the same D<sub>M</sub> might change 330 significantly due to various floc structures. The fractal dimension nf was estimated to 331 be in the range of 1.5-2.1, and the best fit is *nf*=1.8 in this field survey (see Figure 4). 332 An fractal dimension of 1.8 was smaller than the average nf=2 concluded by 333 Winterwerp (1998) through published researches in the Ems Estuary, the North Sea 334

(van Leussen, 1994), and the Tamar Estuary (Fennessy et al., 1994a), indicating thatflocs in the YE might be more fragile.



337 338

Fig. 4. Variations of effective density with mean floc size.

Settling velocities of flocs varied between 0.04 and 0.6 mm s<sup>-1</sup> in this study, and it 339 increased with increasing  $D_M$  (see Figure 5). Most of the settling velocities were in 340 the range of 0.08-0.3 mm s<sup>-1</sup>. Note that the same floc size group might have settling 341 342 velocities varying in a big range, and on the other hand flocs of varying sizes may have the same settling velocity due to the difference in floc structure and density. It 343 was found that most of the large settling velocities occurred at water slack periods, 344 which were marked as red circles in Figure 5. The averaged settling velocity at water 345 slack periods was 0.2 mm s<sup>-1</sup>, which was 67% larger than the averaged result of 0.12 346 mm s<sup>-1</sup> in the other time. Shi et al. (2003) calculated settling velocities of 0.4-4.1 mm 347 s<sup>-1</sup> based on Rouse SSC profiles in the YE. But vertical SSC profiles have many kinds 348 of patterns as a result of the complex hydrodynamics in estuaries, thus the Rouse SSC 349 profile is not representative in a tidal system. The research of Shao et al. (2010) 350 showed that high sediment concentrations caused by resuspension will mislead to 351 greater settling velocity through Rouse equation, and this may be the reason that the 352 smallest and largest settling velocity calculated by Shi et al. (2003) was much larger 353 than the estimation in this study (0.04 mm s<sup>-1</sup> and 0.6 mm s<sup>-1</sup>, respectively). 354



355

Fig. 5. Variations of settling velocities of flocs with  $D_M$ . Red and black circles were results at water slack periods and other time, respectively. Dashed lines of 1,600, 160 and 16 represent the effective density isolines of spherical quartz particles, the unit is kg m<sup>-3</sup>, and grey lines show the relationships of settling speed and size under different floc fractal dimensions.

### 362 4. Discussion

#### 363 4.1. Flocculation processes

It is known that transportation of suspended cohesive sediment is mainly driven by the cycle of suspension, flocculation, settling, deposition, erosion, and resuspension (Eisma, 2012). Flocculation process plays a key role in this cycle as it can affect the size and density of suspended particles, and control the settling velocity of sediment. Meanwhile, heavy mental, contaminants, and pollutants can easily adhere to flocs, thus the fate and transport of flocs could also have great effects in biochemical matter transport.

Large flocs or macroflocs (>125 um, Eisma, 1986) have a larger diameter and smaller density, and potentially larger settling velocity, thus tend to account for most of the vertical settling flux (Fennessy et al., 1997; Manning and Dyer, 2007). On the other hand, the large flocs are more fragile, less denser and can be easily broken down into smaller ones. On the contrary, smaller flocs or microflocs (<125 um) usually have a denser structure, higher density, and are more resilient to turbulent breaking force,
and they have a high potential to aggregate again to form larger flocs (Dyer and
Manning, 1999).

Data collected in this field survey revealed floc dynamic variations within a tidal 379 cycle and the spring-neap cycle. The variances of mean floc size through the water 380 column shown in Figures 2d and 2h indicated active flocculation and break-up 381 processes in the YE. The  $D_M$  ranged between 14-95  $\mu$ m and 20-80  $\mu$ m during spring 382 383 tide and neap tide, respectively. However, the variations of primary particle size were much more limited, with median size around 6-8  $\mu$ m. Meanwhile, the bimodal PSDs 384 of flocs (see Figures 3c and 3d) with a small peak at around 10 µm similar to that of 385 primary particles indicated that a part of inert particles are still disaggregated in the 386 natural environments, and other parts of primary populations flocculated and formed a 387 more significant larger peak, varying from 30 to 90 µm. 388

Within a tidal cycle, it was found that large flocs occurred systematically around slack water at both spring and neap tides, and the averaged floc size around water slack was  $45\pm20 \ \mu\text{m}$  at spring tide. However, it was only  $22\pm5 \ \mu\text{m}$  at other tidal phases. Between spring-neap tidal cycles, although the maximal floc size observed at spring tide was a little larger, the averaged floc size during neap tidal cycles was 57%larger.

During slack waters, it was interesting to find that flocs tended to develop from surface to bottom (Figure 3b), suggesting that differential settling could dominate the flocculation process during these low shear stress periods (Chen et al., 1994; Fugate and Friedrichs, 2003). Laboratory experiments with sediment samples of a mud content of 70% by Wendling et al. (2015) exhibited that settling velocity at the bottom of the settling column was 20 times larger than that at surface, also indicating significant flocculation occurred during settling in still water.

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#### 403 **4.2.Controls on in-situ flocculation**

#### 404 *Effects of Turbulent Shear*

405 Among many influential factors, turbulence is thought to be the controlling factor

determining maximum floc sizes in tidal cycles (Winterwerp, 1998; Dyer and 406 Manning, 1999). It is also widely known that there is a critical shear stress beneficial 407 to flocculation mostly. Below this threshold, an increase in turbulence strength would 408 increase the collision frequency of particles, thus leading to stronger aggregation. 409 Increase of turbulence strength beyond the threshold would break up fragile flocs 410 (Eisma, 1986; Manning and Dyer, 1999; Winterwerp, 2002), and resulting in floc 411 disruption. The critical shear stress was found in many published works, including 412 413 laboratory experiments by Manning and Dyer (1999) and field study by Sahin (2014) and Markussen and Andersen (2014), and in their researches, a critical shear value of 414 0.35 N m<sup>-2</sup> (G $\approx$ 27 s<sup>-1</sup>), G $\approx$ 20 s<sup>-1</sup>, and G of about 4 s<sup>-1</sup> was obtained, respectively, 415 suggesting a big range of variations. 416

In this study, Figure 6 shows the relationship between D<sub>M</sub> and turbulent dissipation 417 parameter G. The regression of our field data did not quantify critical shear well, and 418 an overall negative relationship between  $D_M$  and G was got, with the regression 419 parameter  $R^2=0.64$ . A plateau of floc size for G values <3 s<sup>-1</sup> was identified, and when 420 turbulent shear became larger than 3 s<sup>-1</sup>, D<sub>M</sub> decreased significantly with the 421 increasing G, once turbulent shear G exceeded 40-50 s<sup>-1</sup>, D<sub>M</sub> through water column 422 were only about 20 µm, suggesting that almost all flocs excepted the strongest small 423 ones broke up under high turbulent shear. 424

The largest vertically-averaged  $D_M$  of flocs is about 70  $\mu$ m in the present work, 425 which is much smaller than many other field observations conducted in less turbid 426 427 estuaries (Eisma and Li, 1993; Curran et al., 2007; Wang et al., 2013). As we know, floc size is the result of dynamic equilibrium between flocculation and break-up 428 429 processes, and biological factors could mediate both processes. On one hand, the 430 organic material can adhere to primary particles, change their surface charge, and increase the probability of cohesion after collision (Mietta et al., 2009). On the other 431 432 hand, Winterwerp and van Kesteren (2004) showed that many organic matters contain 433 long polymers, which might connect different particles through 'bridging'. Thus the increasing of organic matter could increase turbulent shear threshold in breaking flocs. 434 In this study, low content of organic matter about 3% in the high turbid YE might 435



437

Fig. 6. Variations of vertical averaged  $D_M$  with turbulent shear. Red and black circles are results at water slack periods and other time, respectively.

### 441 Impacts of SSC

442 Increasing SSC within a range will lead to increased particle collision frequency and enhance floc growth (Eisma and Li, 1993; van der Lee, 2000). However, the 443 effects of SSC on flocculation were found insignificant in some lab and field work 444 (Milligan and Hill, 1998; Xia et al., 2004). Moreover, Burban et al. (1989) found that 445 median floc size decreased as the SSC increased in their experiments. Note that these 446 observations were confined to environments with SSC around 0.05-0.25 g  $l^{-1}$ , which 447 was smaller than the typical turbidity range in this study, i.e., 0.05-1.1 g l<sup>-1</sup>. A wide 448 scatter of D<sub>M</sub> corresponds to SSC was shown in Figure 7. Floc size tended to increase 449 with increasing SSC at water slack periods and a negative relationship was found in 450 other tidal periods. However, it is noticed that both the correlations are poor ( $R^2=0.23$ ) 451 and  $R^2=0.08$ , respectively). We think that the highly variable estuarine environments 452 might lead to the opposite tendency and poor correlations between floc size and SSC. 453 As flocculation is influenced by both physical and biochemical processes, and many 454 factors that have effects on the processes can be dependent on each other and change 455 simultaneously (e.g., turbulence, SSC, salinity, and organic matter). Moreover, SSC is 456

related to the resuspension process, which plays an important role in determining nearbottom floc size distributions (Fugate and Friedrichs, 2003).



459

460 Fig. 7. Variations of mean floc size with SSC. Red and black circles are results at461 water slack periods and other time, respectively.

462

#### 463 **4.3. Implications for siltation**

Floc settling velocity and SSC both varied significantly in a tidal cycle. The largest 464 settling velocity occurred around HWS in the NP, and it was found that SSC 465 decreased sharply after HWS at spring tide (see Figure 2c). The rapid decreasing of 466 SSC was mainly caused by fast settling of large flocs that developed during low 467 turbulence intensity. We estimated vertical settling flux by multiplying SSC and 468 settling velocity of flocs. At neap tide, the time varying settling flux ranged between 469 0.01-0.07 g m<sup>-2</sup> s<sup>-1</sup>, and the averaged settling flux was 0.032 g m<sup>-2</sup> s<sup>-1</sup> (Figure 8). The 470 averaged value at spring tide was  $0.066 \text{ g m}^{-2} \text{ s}^{-1}$ , and the settling fluxes at HWS and 471 LWS at spring tide were about 0.3 and 0.1 g  $m^{-2} s^{-1}$ , respectively, which was a few 472 times larger than the settling fluxes in the other periods. The fast settling speed of 473 large flocs especially around HSW together with high SSC through water column 474 resulted in huge settling flux around high water. Uncles et al. (2010) observed similar 475 phenomenon in the Tamar Estuary that a rapid reduction of SSC on flood tides within 476 2.5 h of HW. Moreover, the upward flux around HW was small, indicating that the 477

478 settling of large flocs around HW played a controlling role in the deposition of 479 suspended sediment. And after the fast settling of particles at HWS, large amounts of 480 sediment are confined predominantly within the bottom layer, thus they will not be 481 easily transported seaward in the following ebb period. These would lead to rapid 482 accumulation of sediment in the study area.



483



Fig. 8. Time varying settling fluxes of sediment at spring and neap tides.

485

### 486 **5.** Conclusions

This study examined in-situ flocculation dynamics based on data of current and sediment properties in the TM of the YE. Floc diameter was measured by a LISST-100C, and settling velocity was estimated based on floc size and effective density.

Mean floc diameters varied between 14-95 μm and flocculation exhibited strong temporal and vertical variations within a tidal cycle and between spring-neap cycles. Large flocs occurred systematically around slack waters and floc sizes increased from surface to bottom during the deceleration and slack water periods. The averaged floc size at neap tide was 57% larger than at spring tide. Effective density of flocs decreased with the increase of floc size, and fractal dimension of floc in the YE was mainly between 1.5 and 2.1.

498 Turbulent shear plays a dominant role in controlling processes of flocs aggregation

and break-up. Floc size decreased significantly with the increase of turbulent shear when turbulent shear was beyond the range of 2-3 s<sup>-1</sup>. Correlations between SSC and floc size was poor suggesting SSC is not significant in controlling flocculation in this study based on the field data.

Settling velocity of flocs ranged between 0.04-0.6 mm s<sup>-1</sup> and it changed in different tidal phases. The largest settling flux happened at the HWS during spring tide, which was caused by fast settling of large flocs together with high SSC. This mechanism might be an important factor leading to rapid accumulation of sediment at the study area where serious back-siltation happened during the wet season. Future work is needed to examine the flocculation sensitivity to the physical parameters in a more quantified manner with laboratory experiments.

510

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