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DOI

[10.1109/VLSIC.2018.8502363](https://doi.org/10.1109/VLSIC.2018.8502363)

Publication date

2018

Document Version

Accepted author manuscript

Published in

2018 Symposium on VLSI Circuits Digest of Technical Papers

Citation (APA)

Jiang, H., Amani, S., Vogel, J. G., Shalmany, S. H., & Nihtianov, S. (2018). A 117DB in-Band CMRR 98.5DB SNR Capacitance-to-Digital Converter for Sub-NM Displacement Sensing with an Electrically Floating Target. In *2018 Symposium on VLSI Circuits Digest of Technical Papers* (Vol. 2018-June, pp. 159-160). Article 8502363 IEEE. <https://doi.org/10.1109/VLSIC.2018.8502363>

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A 117dB In-band CMRR 98.5dB SNR Capacitance-to-Digital Converter for Sub-nm Displacement Sensing with an Electrically Floating Target

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Abstract— This paper describes a high-performance Capacitance-to-Digital Converter (CDC) for sub-nm displacement sensing with an electrically floating target. Intended to be integrated into a displacement sensor probe, the CDC consumes only 560 μ W. It achieves 98.5 dB SNR in a 1ms conversion time. With a sensing \varnothing 8 mm probe and a 25 μ m stand-off distance from the target, it achieves 0.18 nm resolution. Moreover, it offers an in-band Common-mode Rejection Ratio (CMRR) higher than 117 dB, providing decent electric field interference immunity.

Index Terms— Capacitance-to-Digital Converter (CDC); sub-nm displacement sensing; chopping; energy-efficient; electric field interference immunity; readout.

I. INTRODUCTION

Fully contactless displacement sensing with a sub-nm resolution is essential in high-precision mechatronic systems, such as imaging systems with target on a moving chuck [1]. Conventionally, the displacement sensor is positioned and aligned manually, which is time-consuming and costly. It becomes more difficult if the sensor is located at an inaccessible location in the machine. Thus, a standalone displacement sensor with autonomously position and align capability is of great interest. A displacement sensor, consisting of a thermal stepper system and an eddy-current based displacement-to-digital converter (DDC), has been proposed [2,3]. However, in order to mitigate the skin-effect and to attain sub-nm resolution within 1ms of conversion time, a high excitation frequency (>100 MHz) was applied [3]. This resulted in relatively high power dissipation (>10 mW) which can cause displacement errors due to self-heating, as the DDC has to be closely integrated with the eddy-current coil in the sensor head.

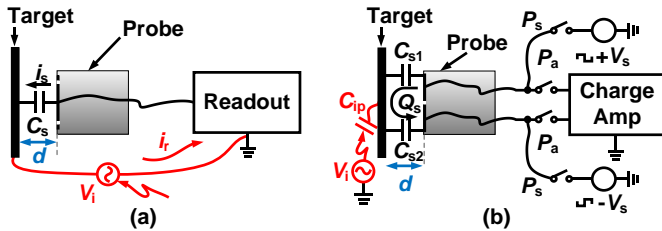


Fig. 1. Conventional capacitive displacement sensing system (a), Contactless capacitive displacement sensing with a floating target (b).

Capacitive DDCs are potentially more energy-efficient than eddy-current DDCs. However, conventional capacitive DDCs operate by connecting the target in a closed-loop configuration as shown in Fig. 1a [4-8]. This means that the target electrode must be connected to the same ground as the interface electronics, which is a major drawback compared to the eddy-current DDCs, as this makes the capacitive sensor not fully contactless. Even worse, the ground loop incorporating the target and the input of the electronic interface is susceptible to electromagnetic interference and acts as a source of parasitic capacitance, both of which degrade the sensor's performance.

To minimize the loop while offering fully contactless displacement sensing, readouts with electrically floating targets have been proposed

[9, 10] (Fig.1b). The sensor readout employs two identical capacitive sensing electrodes, positioned close to the target electrode. This results in two capacitors in series ($C_{s1}=C_{s2}=C_s$), whose magnitudes equally vary with the distance, d , to the target. By exciting the capacitors with anti-phase voltages $\pm V_s$, the value of C_s can be obtained by measuring the charge Q_s . Compared to the conventional capacitive readout approach, it significantly reduces the area of the sensing loop and thus minimizes the error caused by the interfering magnetic fields [5]. However, such readouts with electrically floating targets are relatively power-hungry compared to conventional capacitive DDCs [7]. Moreover, any mismatch between the two sensing electrodes degrades the readout's CMRR and thus its electric field interference immunity [10]. To reduce the error caused by the misalignment of the probe and the target, the DDC needs a multi-channel input to obtain an out-of-plane tilt angle.

This paper presents a 3-channel capacitive DDC, with electrically floating target sensing capability [11], that overcomes these challenges while demonstrating an energy-efficiency comparable to the state-of-the-art capacitive DDCs which don't support the use of electrically floating targets [4-8]. With a sensing probe size smaller than that in [3], it achieves a 0.18 nm resolution in a 1ms conversion time, at a 25 μ m stand-off distance to the target. Moreover, the interface consumes only 0.56 mW, which is 16 times lower than the state-of-the-art eddy-current DDC [3].

II. OPERATION PRINCIPLE

A. Thermal Slider Actuator

To obtain an accurate displacement measurement, alignment of capacitive sensors is crucial. Self-alignment functionality integrated into the sensors reduces the cost of the conventional manual alignment, especially when they are installed at inaccessible locations in a machine. For this purpose, the *Thermal Slider Actuator* (TSA) was developed [1].

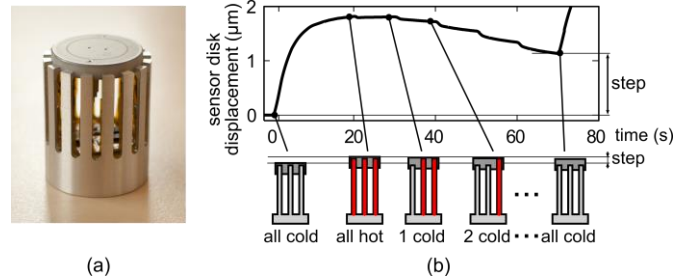


Fig. 2. Thermal Slider Actuator (TSA) with twelve fingers (a) and a thermal cycle example and the resulting displacement profile (b) [1].

Fig. 2a shows the actuator structure of the TSA. The position adjustment of the sensing probe is based on the thermal actuation of a number of fingers that clamp the probe. Fig. 2b shows an example of a thermal cycle of the fingers that leads to net displacement of the sensor probe. Heating of all elements together generates elongation by

thermal expansion and moves the sensor probe to a new position. After that, the fingers are passively cooled one-by-one. When each finger cools, it slips over the probe surface, while the probe is kept in position by the other fingers. After the full thermal cycle, the probe has made a displacement step, while all fingers have cooled down and have returned to their original length. Because the actuation mechanism is fully switched off after positioning and the clamping is friction-based, the alignment obtained after actuation is maintained very stably [1].

B. Measurement Method for Misalignment Correction

Although the displacement with a floating target can be measured using two electrodes, misalignment of the probe and the target would lead to a sensing error. For this reason, a three-channel method is proposed. A third electrode is added to the probe (Fig. 3). Then, the probe capacitances can be measured through three channels CH: CH1 ($C_{s1}+C_{s2}$), CH2 ($C_{s1}+C_{s3}$), and CH3 ($C_{s2}+C_{s3}$). As the tilt error causes mismatching errors among the measured results of CH1, CH2, and CH3, the mismatching errors can then be used to minimize the tilt misalignment with the aid of self-alignment functionality of the TSA, matching the results of CH1, CH2, and CH3.

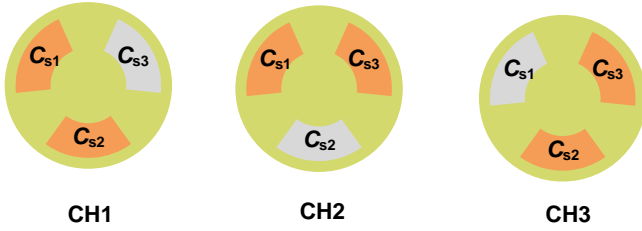


Fig. 3. Three electrode measurement for misalignment correction.

III. FLOATING CAPACITIVE SENSING READOUT

In practical implementations, the parasitic capacitance between the floating target and its surrounding environment (C_{ip} up to 500 fF) introduces a major challenge. It forms a path for interfering electric fields, primarily created by mains lines (50/60 Hz). As shown in Fig. 1b, the sensor front-end consists of a differential charge integrator with switched-capacitor excitation. C_{s1} and C_{s2} are periodically

charged, delivering a signal charge $Q_s = (C_{s1}+C_{s2}) \cdot (C_{s1}+C_{s2})V_{dd}$ to the integrator. An interfering signal V_i then couples into the target electrode via C_{ip} , resulting in a common-mode signal that will be rejected by the differential charge integrator.

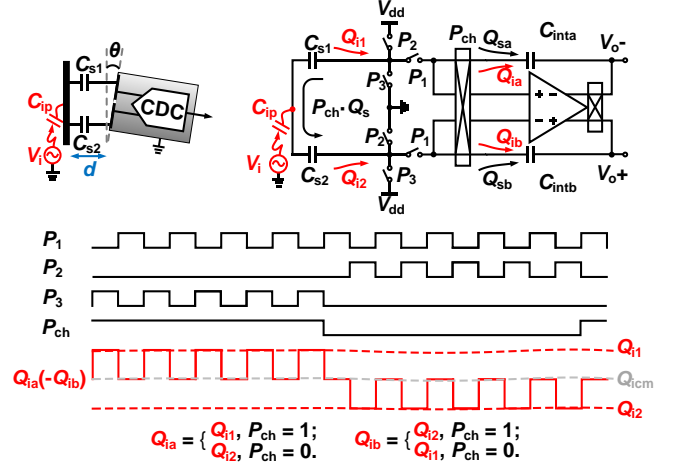


Fig. 4. Differential charge integrator with mismatched electrodes.

However, a mismatch between the sensing electrodes ($C_{s1} \neq C_{s2}$), due to fabrication errors and/or alignment errors (θ), results in a deteriorated CMRR [10]. In this work, even with mismatched electrodes, a high CMRR is achieved by chopping the signal charge Q_i and the integrating capacitors C_{inta} and C_{intb} (see Fig. 4). The input switches P_1 - P_3 are controlled by the chopping signal P_{ch} , preserving the integration operation for C_s measurement. Due to the action of the input chopper, C_{inta} and C_{intb} continuously swap their position, such that C_{inta} always accumulates negative charge (Q_{sa}), while C_{intb} always accumulates positive charge (Q_{sb}). At the same time, the mismatched interference charges (Q_{i1} and Q_{i2}), as well as the offset and the 1/f noise of the integrator, are up-modulated to the out-of-band frequencies which will be filtered in digital domain.

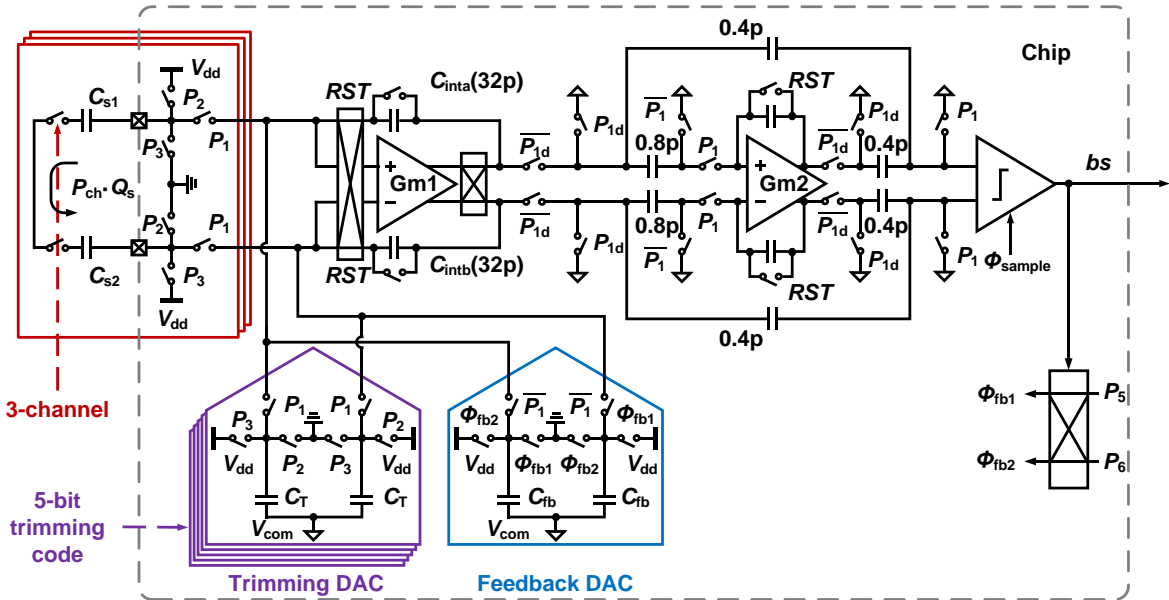


Fig. 5. Block diagram of the proposed three-channel CDC.

In contrast to [10], which first converts Q_s into a proportional voltage and then digitizes it, this work directly embeds the charge integrator with sensing electrodes into a CDC, improving energy efficiency and reducing the number of potential error sources [5]. As shown in Fig. 5, the CDC is based on a second-order feedforward 1-bit sigma-delta modulator. The modulator's sampling frequency f_s is 1 MHz, enough to achieve the target resolution with an OSR of 1000, and the chopping frequency is set at 10 kHz to minimize quantization noise fold-back [12]. The differential signal charge Q_s is counterbalanced by a feedback DAC, in which $C_{fb}=10$ pF. Depending on the output bitstream, it delivers a charge of $\pm C_{fb}V_{dd}/2$. This charge-balancing results in an output bitstream with an average value of C_s/C_{fb} . To maximize the use of the CDC's available dynamic range, the modulator uses a programmable 5-bit trimming DAC to cancel the baseline capacitance, where $C_{T-max}=31$ pF.

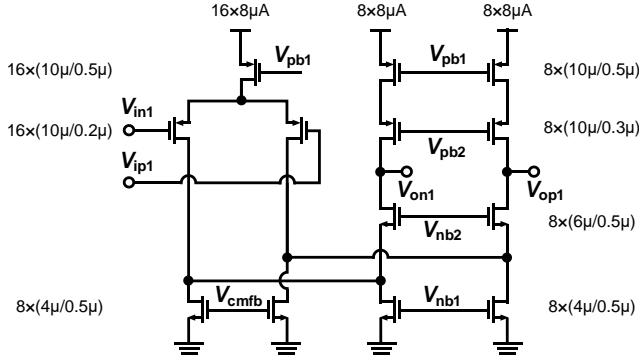


Fig. 6. The schematic of the 1st OTA and transistor-level parameters.

As shown in Fig. 6, the first integrator is based on a folded-cascode OTA with an 84 dB DC gain and a transconductance about 1 mS to ensure the stability and accuracy for the worst case when the baseline cancellation capacitance is fully used. To achieve a lower intrinsic $1/f$ noise corner frequency for lower chopping frequency, PMOS input pairs have been chosen. The biasing circuitry and common mode feedback circuitry are not shown for simplicity. A passive summation network at the input of the quantizer combines the outputs of second integrator with that of the first integrator via the feedforward capacitors as shown in Fig. 5. The quantizer is implemented as a dynamic latch preceded by two preamplifiers. Each preamplifier provides a gain of 5 while consuming 3 μ A.

IV. MEASUREMENT RESULTS

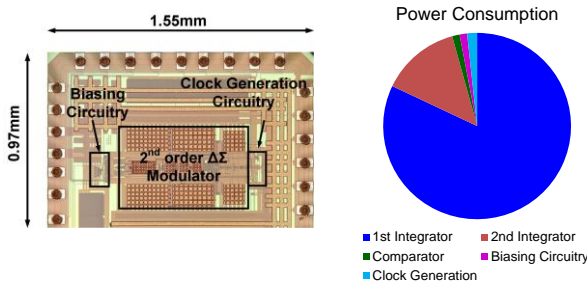


Fig. 7. Die micrograph of the CDC and power breakdown.

The CDC is realized in the 0.18 μ m standard CMOS process and tested with sensing electrodes designed on PCB. The chip occupies an active area of 0.5 mm² and draws 313 μ A, dominated by the 1st

integrator, from a 1.8 V supply (Fig. 7). For flexibility, the decimation filter and digital controlling are implemented off-chip.

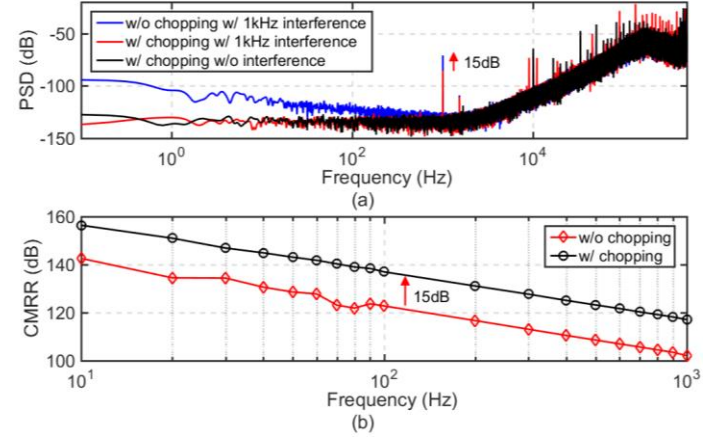


Fig. 8. PSD of the CDC's bitstream (a) and measured CMRR (b).

Fig. 8a shows the power spectral density (PSD) of the output bitstream. The CDC is thermal-noise-limited up to 1 kHz and achieves 42aF resolution with a 10 pF dynamic range in a 1 ms conversion time. The electric field interference rejection capability is tested by injecting interferences of different frequencies into the floating electrode through a 10 pF capacitor (C_{ip} in Fig. 5) driven by a sinusoidal voltage of 20 Vpp. This is equivalent to injecting a 400Vpp interference through a 500fF capacitor, an order of magnitude larger than what is expected in practical applications. The CMRR, defined as the ratio between the power of the input interference signal and its power at the CDC output, is used to evaluate the interference rejection performance. As shown in Fig. 8a, most of the interference power at 1 kHz is rejected by the differential architecture while chopping further suppresses the errors due to the mismatched electrodes ($< 1\%$), reducing the interference tone by 15 dB. As shown in Fig. 8b, the in-band CMRR with chopping is more than 117 dB.

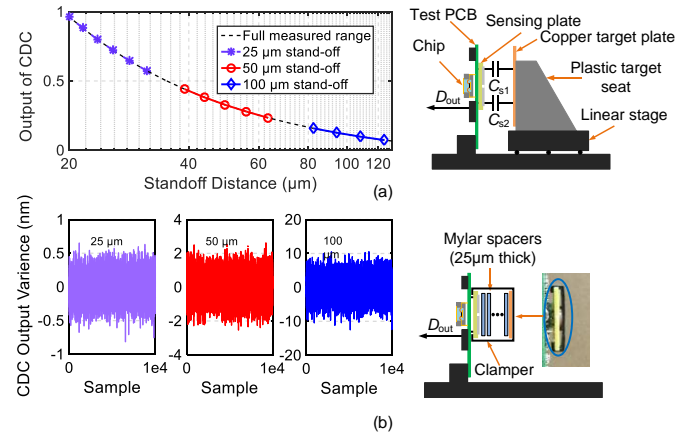


Fig. 9. Measured displacement transfer characteristics (a) and the outputs of the CDC (10,000 decimated samples) with different stand-offs along (b).

As shown in Fig. 9a, an electrically floating target is moved relative to the probe, back and forth, over a number of logarithmically spaced steps between 20 μ m and 130 μ m in two cycles and the displacement transfer characteristic is measured. To evaluate displacement resolution, the target electrode is firmly positioned using a clamp and Mylar spacer foil to avoid mechanical interference. The displacement

sensing resolutions, obtained from the standard deviation of 10,000 decimated samples, are found to be 0.18 nm, 0.72 nm, and 3 nm at standoffs of 25 μm , 50 μm and 100 μm , respectively (Fig. 9b). The real-time output of the CDC is shown in Fig. 10a. The measured backlash of the system is below 0.4%. The relative inaccuracy is about 0.2% (Fig. 10b).

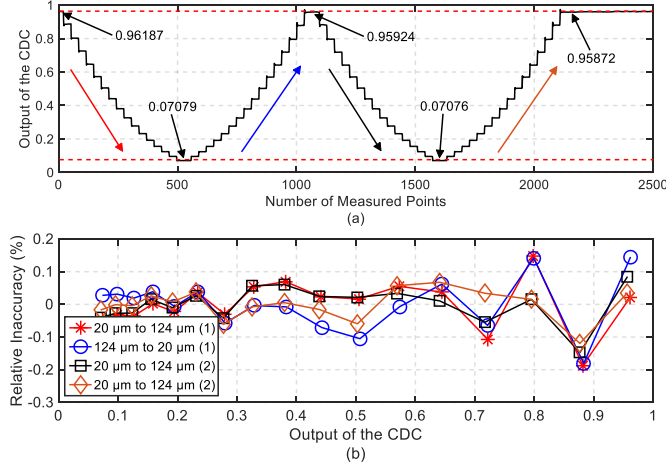


Fig. 10. Real-time measurement (a) and Relative inaccuracy (b).

The current consumption of the CDC versus supply voltage is shown in Fig. 11a. The CDC can work with a supply down to 1.5 V, achieving a capacitance resolution better than 61 aFrms, which is mainly limited by the kT/C noise (Fig. 11b).

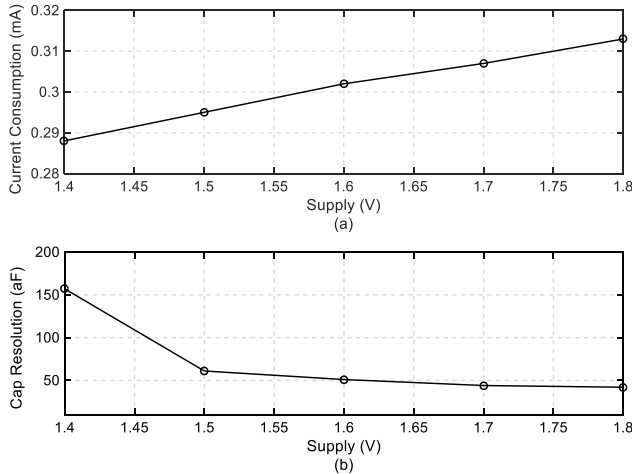


Fig. 11. Current Consumption vs. Supply (a) and Resolution vs. Supply (b).

TABLE I. Performance Summary and Comparison

	This work	[5]	[6]	[7]	[8]	[10]
Technology (μm)	0.18	0.35	0.35	0.16	0.16	--
Floating target	Yes	No	No	No	No	Yes
Power (mW)	0.56	14.9	0.76	0.003	0.014	121*
Cap-range (pF)	0-10	8-12	6-22	0-3.8	0-8	0-10
SNR (dB)	98.5	84.8	102.6	114.6	80.9	81.3
Conversion time (ms)	1	0.02	10.5	100	6.86	0.1
FoM (pJ/Conv.-step)	8.0	20.9	74	0.76	10.4	369*

* Without considering the power consumption of the ADC.

Table I summarizes the performance of the CDC and the state-of-the-art. Compared to conventional CDCs [5-8], the proposed CDC offers floating target sensing capability, while achieving a similar energy-efficiency. Moreover, compared to a state-of-the-art inductive DDC [3], it achieves 10 \times better resolution with 16 \times less power consumption.

CONCLUSION

An energy-efficient CDC for a capacitive position sensor, with an electrically floating target, has been designed, implemented, and tested in a standard 0.18 μm CMOS technology. The interface embeds the push-pull principle in a second-order $\Sigma\Delta$ Modulator. Experimental results show that it achieves a 98.5 dB SNR within 1 ms conversion time. The proposed CDC has an in-band CMRR higher than 117 dB and achieves comparable resolution FoM while being the only design offering floating target sensing capability.

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